1 Isolation and characterization of a floral homeotic gene in *Fraxinus nigra* causing earlier
2 flowering and homeotic alterations in transgenic *Arabidopsis*

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Abstract

Reproductive sterility, which can be obtained by manipulating floral organ identity genes, is an important tool for gene containment of genetically engineered trees. In *Arabidopsis*, *AGAMOUS* (*AG*) is the only C-class gene responsible for both floral meristem determinacy and floral organ identity, and its mutations produce sterility. As a first step in an effort to develop transgenic sterile black ash (*Fraxinus nigra*), an *AG* ortholog in black ash (*FnAG*) was isolated using reverse transcription polymerase chain reaction and rapid amplification of cDNA ends. Analysis of the deduced amino acid sequence showed a typical MIKC structure of type II plant MADS-box protein with a highly conserved MADS-domain. Phylogenetic analysis revealed that *FnAG* had a close relationship with *AG* orthologs from other woody species. *FnAG* transcript was mainly expressed in reproductive tissues, but rarely detected in the vegetative tissues, consistent with the ABC model for floral development. A functional analysis was performed by ectopic expression of *FnAG* driven by the CaMV 35S promoter in transgenic *Arabidopsis*. Transformed plants showed homeotic conversions of carpeloid sepals and stamenoid petals. Curled leaves, reduced plant size, and earlier flowering were also observed in transgenic *Arabidopsis*. These data indicated that the *FnAG* functions in the same way as *AG* in *Arabidopsis*. These results provide the framework for targeted genome editing of black ash, an ecologically and economically important wetland species.

Keywords: *AGAMOUS*, black ash, ectopic expression, flowering, *Fraxinus nigra*, MADS.

1. Introduction

Black ash (*Fraxinus nigra* Marsh.) is an economically and ecologically important hardwood species in northeastern North America. The wood is used for cabinets, paneling, flooring, and is preferred by Native Americans for making splints for basketry (Benedict 2001; Beasley and Pijut 2013). Black ash also provides food and habitat for wildlife (Leopold et al. 1998) and this species has a great ecological impact, especially in riparian ecosystems (Nisbet et al. 2015). Black ash flowers are perfect or dioecious; they occur in panicles that arise from leaf scar axils produced the previous year (Gucker 2005). The flowers appear before the leaves.

Emerald ash borer (EAB; *Agrilus planipennis*), an invasive wood-boring beetle from Asia, threatens all North American ash species including black ash with devastating economic and ecological impacts (Poland and McCullough 2006; Kovacs et al. 2011). In order to manage this aggressive pest and conserve *Fraxinus* spp., there have been numerous calls for genetically engineered ash trees resistant to the EAB. Concerns about transgene flow and its potential impact on the environment, however, limit the widespread acceptance and regulatory approval of transgenic trees (van Frankenhuyzen and Beardmore 2004). Reproductive sterility, obtained by disrupting flower development, is one of several efficient strategies for gene containment in transgenic crops and trees (Daniell 2002; Brunner et al. 2007).

Previous studies in model plants established the well-known ABC model to describe the genetic mechanism regulating flower development (Schwarz-Sommer et al. 1990; Coen and Meyerowitz 1991; Meyerowitz et al. 1991). This model proposed that three classes of homeotic genes act in combination to control floral organ identity: A-class alone controls the formation of sepals; A- and B-classes trigger petal development; B- and C-classes regulate the formation of stamens; and C-class alone directs the formation of carpels. The ABC model has been extended

1 by adding D-class for ovule development (Angenent et al. 1995) and E-class which was required
2 for petal, stamen, and carpel development (Pelaz et al. 2000; Honma and Goto 2001). According
3 to the ABCDE model, MADS-box proteins interact with DNA to form multimeric complexes
4 that regulate the development of different floral organs (Honma and Goto 2001).

5 MADS-box genes are a superfamily of transcription factors found in fungi, animals, and
6 plants. They are distinguished as type I and type II (Alvarez-Buylla et al. 2000; Gramzow et al.
7 2010). The type II MADS-box genes in plants encode MIKC-type proteins that consist of four
8 domains: a highly conserved MADS (M) domain for DNA binding; an intervening (I) domain for
9 the selective formation of DNA-binding dimers; a keratin (K) domain for the formation of an
10 amphipathic helix that promotes protein-protein interaction; and the most variable region, the C-
11 terminal (C) domain, the function of which is not yet known (Theissen et al. 2000). There are
12 around 100 MADS-box genes in flowering plants, but *AGAMOUS* (*AG*) is the only C-class gene
13 found in *Arabidopsis*. A flower of the *Arabidopsis ag* mutant shows petals and new flowers
14 instead of stamens and carpels, respectively, while overexpression of *AG* induces homeotic
15 changes of sepals to carpels, and petals to stamen (*apetala2* (*ap2*)-like phenotype) indicating that
16 *AG* was involved in both floral meristem determinacy and floral organ identity (Yanofsky et al.
17 1990; Mizukami and Ma 1992). *AG* homologs have been isolated and studied in a variety of
18 species including woody plants, such as poplar (Brunner et al. 2000), black cherry (Liu et al.
19 2010), green ash (Du and Pijut 2010), radiata pine (Liu 2012), London plane tree (Zhang et al.
20 2013), and pecan (Zhang et al. 2016). Ectopic expression of *AG* homologs in transgenic plants
21 resulted in homeotic conversion of sepals and petals into carpels and stamens, respectively,
22 which confirmed their function as a C-class floral organ identity gene (Benedito et al. 2004; Du

and Pijut 2010; Wang et al. 2012; Liu et al. 2013; Zhang et al. 2016). *AG* homologs from black ash have not been described.

In the present study, an *AG* ortholog from black ash (*FnAG*) was isolated and characterized as a first step to achieve gene containment in transgenic black ash. Functional homology to *AG* was tested by ectopic expression of *FnAG* in *Arabidopsis thaliana* (with *ap2*-like phenotype in the two outer floral whorls).

2. Materials and methods

2.1. Plant materials

Flowers and leaves were collected in April 2014 from mature male and female *Fraxinus nigra* trees at the Purdue Wildlife Area, Purdue University, and the Ross Hills Park, West Lafayette, IN, USA. Leaves and stems from in vitro shoot cultures of black ash maintained as described by Beasley and Pijut (2013) were also collected for RNA extraction. Samples were immediately frozen in liquid nitrogen and stored at -80°C until used for analysis.

Arabidopsis thaliana Col-0 ecotype seeds were obtained from the Arabidopsis Biological Resource Center (ABRC) at The Ohio State University, Columbus, OH, USA. Seeds were stratified for 3 days at 4°C in the dark to break seed dormancy, and then sown onto Murashige and Skoog (1962) (MS; M499, PhytoTechnology Laboratories, Shawnee Mission, KS) medium supplemented with 10 g L^{-1} sucrose, 0.5 g L^{-1} 2-morpholinoethanesulfonic acid (MES), 8 g L^{-1} Bacto agar, pH 5.7 in $150 \times 15\text{ mm}$ petri dishes. Seeds germinated on agar medium were incubated at 25°C under a 16 h photoperiod ($\sim 100\text{ }\mu\text{mol m}^{-2}\text{ s}^{-1}$) provided by cool-white fluorescent bulbs. The germinated seedlings were grown for 2 weeks, and then transferred to water-saturated soil in pots covered with a plastic film to maintain high humidity and placed in the greenhouse under long-day conditions. The plastic film was removed after 2 days.

2.2. Isolation of an AG ortholog from black ash

Total RNA was extracted from the leaves of in vitro shoot cultures using the RNeasy Plant Mini Kit (Qiagen, Valencia, CA, USA) according to manufacturer's instructions. Re-suspended RNA was treated with DNase I (Thermo Fisher Scientific, Grand Island, NY, USA) in order to remove genomic DNA, and the first-strand cDNA was synthesized from 1 µg total RNA using SuperScript III First-Strand Synthesis System (Thermo Fisher Scientific) and an oligo-dT primer. Degenerate primers AGP1 and AGP2 (Table 1) were designed based on other AG nucleotide sequences to amplify the internal fragment spanning part of the MADS-domain and the K-domain (Du and Pijut 2010). Reverse transcriptase polymerase chain reaction (RT-PCR) was performed with a 25 µl PCR mixture containing 2.5 µl 10× PCR buffer (5 PRIME, Gaithersburg, MD, USA), 1 µl 10 mM dNTP, 1 µl 10 µM AGP1 and AGP2 primers, respectively, 2 µl cDNA, and 0.25 µl 5 U µl⁻¹ Taq polymerase (5 PRIME). The cycling program consisted of an initial denaturation at 94 °C for 2 min, followed by five cycles of 94 °C for 30 s, 42 °C for 30 s, 72 °C for 1 min, 35 additional cycles of 94 °C for 30 s, 47 °C for 30 s, 72 °C for 1 min, and a final extension at 72 °C for 10 min. A single strong band of expected size (258-bp) was purified with QIAquick Gel Extraction Kit (Qiagen), and was then cloned into pGEM-T Easy vector (Promega, Fitchburg, WI, USA) for sequencing at the Purdue University Genomic Center (West Lafayette, IN, USA). Based on the partial internal sequence of *FnAG*, two sets of gene specific primers were designed to perform 5'- and 3'-rapid amplification of cDNA ends (RACE) (FirstChoice RLM-RACE; Life Technologies, Grand Island, NY, USA). For the first-round PCR, AGP3 and AGP4 (Table 1) were used as 5'- and 3'-RACE outer primers, respectively. For the second-round PCR, AGP5 and AGP6 (Table 1) were used as 5'- and 3'-RACE inner primers, respectively. The obtained fragments from the second round PCR were cloned into pGEM-T Easy vectors for

sequencing, and then assembled to determine the full-length cDNA sequence. To amplify a complete coding sequence (CDS) and genomic sequence of *FnAG*, the first-strand cDNA and genomic DNA were used as a template, respectively, for PCR using AGP7 and AGP8 (Table 1) as forward and reverse primers, respectively. Unless noted otherwise, all PCR reactions were performed using Phusion High-Fidelity DNA polymerase (New England Biolabs, Ipswich, MA, USA) according to manufacturer's instructions for PCR mixture preparations, and all the cycling programs consisted of an initial denaturation at 98 °C for 30 s, followed by 35 cycles of 98 °C for 10 s, 58 °C for 30 s, 72 °C for 1 min, and a final extension at 72 °C for 10 min. The gene structure was determined by aligning the CDS and genomic DNA sequence of *FnAG*.

2.3. Phylogenetic analyses

The deduced amino acid sequence of *FnAG* was used to search for AG homologs from other plants by BLASTX, and then all sequences were aligned by ClustalW (Larkin et al. 2007). A phylogenetic tree was constructed using the neighbor-joining method in MEGA5 software (Tamura et al. 2011). Bootstrap values were derived from 1,000 replicate runs. GenBank accession numbers of amino acid sequences used were as follows: GAG2 (Q40872; *Panax ginseng*), CaMADS (ADU56831; *Coffea arabica*), NAG1 (Q43585; *Nicotiana tabacum*), NbAG (AFK13159; *N. benthamiana*), CaAGL2 (ADP06386; *Capsicum annuum*), pMADS3 (Q40885; *Petunia hybrida*), SiAG (AIS82595; *Sesamum indicum*), CsAG (ADP02394; *Citrus sinensis*), FpAG (AFP99884; *F. pennsylvanica*), VvAG (NP_001268097; *Vitis vinifera*), AGAMOUS (X53579; *A. thaliana*), JcAG (NP_001292936; *Jatropha curcas*), PtAG1 (AF052570; *Populus trichocarpa*), PtAG2 (AF052571; *P. hybrida*), TcAG (XP_007025251; *Theobroma cacao*), PsAG (EU938540.1; *P. serotina*), PpMADS4 (AAU29513; *P. persica*), PmAG (ABU41518; *P. mume*), PLE (BAI68391; *Antirrhinum majus*), AGL1/SHP1 (AEE79831.1; *A. thaliana*),

AGL5/SHP2 (AEC10175.1; *A. thaliana*), FBP11 (CAA57445.1; *Petunia hybrida*), VvMADS5 (AAM21345.1; *V. vitis*), MdMADS10 (NP_001280931.1; *Malus domestica*), AGL11/STK (AEE82819.1; *A. thaliana*), CAG1 (NP_001267506.1; *Cucumis sativus*), PhaAG2 (AAS45706.1; *Phytolacca americana*), and SxcAG2 (AAS45704.1; *Micranthes careyana*).

2.4. Expression analysis of *FnAG* in black ash

To analyze the relative expression of *FnAG*, semi-quantitative RT-PCR and real-time PCR (qPCR) were performed. Total RNAs were extracted from vegetative tissues (leaves and in vitro shoot cultures) and reproductive tissues (male and female flowers) of black ash using the RNeasy Plant Mini Kit (Qiagen). DNase I (Thermo Fisher Scientific) treated RNA was then used in RT-PCR to synthesize first-strand cDNA using SuperScript III First-Strand Synthesis System (Thermo Fisher Scientific) and an oligo-dT primer. The qPCR was performed with 20 µl reaction solution containing 1 µl cDNA, 10 µM AGP3 and AGP6, and iTaqTM Universal SYBR[®] Green Supermix (Bio-Rad, Hercules, CA, USA). The cycling conditions consisted of DNA polymerase activation at 95 °C for 60 s, 40 cycles of 95 °C for 10 s, 57 °C for 20 s, 72 °C for 30 s, and followed by a melting curve analysis from 65 to 95 °C performed with the CFX ConnectTM Real-Time PCR Detection System (Bio-Rad). Relative transcript levels for mRNAs were obtained using the comparative cycle threshold (C_t) method and normalized to translation elongation factor alpha (*eEFAα*) from black ash (Rivera-Vega et al. 2012). Each reaction had three biological replicates and was repeated twice.

2.5. Functional analysis of *FnAG* through transformation of *Arabidopsis thaliana*

A binary vector pBI121 was used for *FnAG* insertion and overexpression in *Arabidopsis*. The GUS gene in pBI121 was replaced by the CDS sequence of *FnAG* which was driven by the CaMV 35S promoter. The 35S::*FnAG* construct and the empty pBI121 vector as a control were

transformed into *Agrobacterium tumefaciens* strain GV3101 by electroporation (Mattanovich et al. 1989), and then introduced into the wild-type *A. thaliana* ecotype Col-0 via the floral dip method (Zhang et al. 2006). T₁ seeds were placed onto agar plates containing MS medium with 50 mg L⁻¹ kanamycin as selection agent. The seedlings were screened for 2-3 weeks on the plate and then kanamycin-resistant lines were transferred to water-saturated soil in pots. The integration of *FnAG* in the transgenic lines was confirmed by PCR using AGP3 and AGP4 primers. The number of days from planting to the first flower and the number of rosette leaves at that stage were recorded in T₃ plants. Statistical differences were assessed via analysis of variance with the SAS[®] 9.3 software package (SAS[®] Institute 2011).

2.6. Expression analysis of *AtAG* and *FnAG* in transgenic *Arabidopsis*

Floral tissues were collected from seven transgenic lines and wild-type *Arabidopsis*. The qPCR was performed as previously described. Primers, AtAG1 and AtAG2 (Table 1) were designed to amplify endogenous *AG*, and AGP3 and AGP6 were used to amplify ectopically expressed *FnAG*. Relative transcript levels for mRNAs were obtained using the C_t method and normalized to *ACTIN2* from *Arabidopsis* (AtActin1 and AtActin2 as forward and reverse primers, respectively). Each reaction had three biological replicates and was repeated twice.

3. Results

3.1. Isolation and sequence analysis of an *AG* ortholog from black ash

In order to isolate the *AG* ortholog from black ash, RT-PCR was performed using degenerate primers developed based on highly conserved sequence from a total of 17 *AG* homologs. An expected 258-bp internal fragment was amplified and sequenced (data not shown) to design specific primers for RACE. The results of 5'- and 3'-RACE revealed that *FnAG* cDNA was 1022-bp in length with a 5' untranslated region (UTR) of 67-bp and a 3' UTR of 226-bp

upstream of the poly(A) tail. A 4578-bp genomic sequence was obtained and the alignment analysis of cDNA and genomic sequence showed that *FnAG* consisted of nine exons and eight introns (Fig. 1a). *FnAG* encodes a putative type II plant MADS-box protein of 242 amino acids containing N-, MADS-, I-, K-, and C-domains. It also contains two short, highly conserved regions, called AG motif I and AG motif II in the C-domain, as reported for other AG homologs (Kramer et al. 2004). A comparison of deduced amino acid sequence of *FnAG* and AG homologs from other woody species showed a highly conserved 56 residue MADS-domain (Fig. 1b). *FnAG* shared greater than 96% amino acid identity to the other AG homologs within the MADS-domain. In addition to the MADS-domain, regions including I- and K-domains were also conserved among *FnAG* and other AG homologs (Fig. 1b). Overall, *FnAG* was most similar to *FpAG* from *F. pennsylvanica*, showing 94% identity, followed by 81% identity with *GAG2* from *Panax ginseng*, 77% with *PtAG2* from *Populus trichocarpa*, 75% with *PsAG* from *Prunus serotina* and *PtAG1*, 74% with *PpMADS4* from *Prunus persica*, and 68% with *AG* from *Arabidopsis thaliana* and *MdAG* from *Malus domestica*. A phylogenetic tree was constructed using the deduced amino acid sequence of *FnAG* and 28 other MADS-box proteins (Fig. 2). Phylogenetic analysis placed *FnAG* within the clade of C-lineage AG subfamily genes, showing high bootstrap support for a close relationship with *SiAG* from *Sesamum indicum* and *CsAG* from *Citrus sinensis*.

3.2. Expression analysis of *FnAG* in black ash tissues

The spatial expression of *FnAG* was investigated in various vegetative and reproductive tissues using semi-quantitative RT-PCR (Fig. 3a). Gene-specific primers were used to amplify the 183-bp long internal fragment of *FnAG*. Amplification of an *eEFAα* fragment was used as a constitutively expressed gene control to enable inter-sample comparisons. The transcripts of

FnAG were detected in the female and male flowers and in vitro shoot cultures, but only rarely in leaves. The steady state levels of *FnAG* in reproductive tissues were higher than in in-vitro shoot cultures, showing a higher relative intensity of amplified product. The relative mRNA expression level of *FnAG* was also examined by qPCR (Fig. 3b). The mRNA levels of *FnAG* were 25.8- and 13.5-fold higher in female and male flowers, respectively, than in leaves. Its level in in-vitro shoot cultures was 2.3-fold higher than in leaves.

3.3. Ectopic expression of *FnAG* in *Arabidopsis*

We used ectopic expression of *FnAG* CDS in *Arabidopsis* to determine whether *FnAG* could function as *AG*. A binary vector pBI121 containing 35S::*FnAG* and neomycin phosphotransferase II (*nptII*) gene was introduced into *Arabidopsis* ecotype Col-0 via *Agrobacterium* transformation. Among a total of 17 kanamycin-resistant T₁ plants, the presence of *FnAG* was confirmed from seven independent plants (data not shown) and these were used to generate T₃ plants for phenotyping. Phenotypic alterations were observed in *FnAG*-overexpressing plants (Fig. 4). The most prominent changes in the *FnAG*-overexpressing transgenic plants were the homeotic modifications in the first and second whorls of flowers. Compared to wild-type flowers consisting of four sepals, four petals, six stamens, and a pistil (Fig. 4a), transgenic flowers displayed petals transformed into stamen-like structures (Fig. 4b), and sepals converted into carpel-like structures (Fig. 4c). Third and fourth whorls developed normally, resulting in fertility. The 35S::*FnAG* plants also showed small and curled leaves (Fig. 4d), immature early flowers with sepals which failed to enclose flower buds (Fig. 4e), and reduced plant size (Fig. 4f). *FnAG*-overexpressing plants flowered significantly earlier than wild-type and empty-vector control plants (Fig. 4g-i).

Based on the flowering time and phenotypic alteration, transgenic plants were classified into two groups (Fig. 5). Although plants with weak *ap2*-like phenotype (Fig. 5b) showed relatively normal vegetative growth compared to strong phenotype plants (Fig. 5a), they displayed smaller plant size than wild-type plants (Fig. 5c). Plants with strong phenotype produced first flowers 12.62 days earlier (with 5.19 rosette leaves) than the wild-type, while plants with weak phenotypic alteration produced first flowers 6.83 days earlier (with 10.1 rosette leaves) than the wild-type (Table 2). The *FnAG* mRNA expression level was higher in the strong phenotype group (#1, #3, #4, and #6) than that in the weak phenotype group (#2, #5, and #7) (Fig. 6a). The endogenous *AtAG* mRNA expression, however, was not significantly different among plants in all groups (Fig. 6b).

4. Discussion

During the diversification of the *AG* subfamily genes in angiosperms, gene duplication and functional evolution have occurred, giving rise to the C- and D-lineage (Kramer et al. 2004). Thus, the D-lineage genes such as *SEEDSTICK* (*STK*; formerly known as *AGL11*) also belong to the *AG* subfamily and were preferentially recruited for ovule development (Dreni and Kater 2014). Subsequent duplications in the C-lineage have led to paralogous lineages of *PLENA* (*PLE*) from *Antirrhinum* and euAG from *Arabidopsis*, maintaining functional redundancy (Kramer et al. 2004). Although *PLE* C-lineage genes in *Arabidopsis*, such as *SHATTERPROOF1* (*SHP1*) and *SHP2* (formerly known as *AGL1* and *AGL5*, respectively), are similar to *AG* in function, they were not expressed in the meristem and primordial cells at the appropriate time, so *AG* was the only fully functional C-class gene (Dreni and Kater 2014). In the present study, phylogenetic analysis confirmed that *FnAG* was placed within the euAG C-lineage clade, indicating that *FnAG* was associated with C-function of *AG*.

FnAG showed typical gene structure; the position and number of introns in *FnAG* was conserved with respect to other *AG* homologs (Brunner et al. 2000; Kramer et al. 2004). Unlike other MIKC-type MADS-box genes that have six introns, genes in the C-lineage of *AG* subfamily including *FnAG* have two additional introns positioned 5' of the MADS domain and in the last codon of *AG* motif II (Kramer et al. 2004). In *FnAG*, the second intron was about 2 kb spanning the largest part of the gene, which might contain functionally important regulatory sequences for controlling its expression specifically to stamens and carpels. Previous studies in *Arabidopsis* demonstrated that the second intron contains cis-regulatory elements that are the binding sites of activators such as LEAFY and WUSCHEL, or repressors such as AP2 or LEUNIG, to control *AG* expression (Sieburth and Meyerowitz 1997; Deyholos and Sieburth 2000; Hong et al. 2003). This binding motif was also highly conserved in poplar (Brunner et al. 2000). It has been suggested that using the enhancer element in the second intron of *AG* could produce complete sterility in *Arabidopsis* and tobacco through tissue-specific ablation of stamens and carpels (Liu and Liu 2008; Wang et al. 2008). Although we did not study this regulatory element in detail, this approach would likely be a practical method to achieve gene containment in transgenic trees.

A previous study revealed that there was only one *AG* homolog in green ash (Du and Pijut 2010). A BLAST search of *FnAG* against the European ash (*F. excelsior*) genome (www.ashgenome.org) showed that European ash may contain two *AG* homologs (personal communication with Richard Buggs, Queen Mary University of London).

The deduced amino acid sequence of *FnAG* revealed extensive sequence similarity with other *AG* proteins. As a major determinant of DNA binding, the MADS-domain was highly conserved in *FnAG*. As was found for *AG* and proteins of *AG* homologs, *FnAG* included an

1 additional peptide extension of 17 amino acid residues at the N-terminal before the MADS
2 domain (Fig. 1b). The N-terminal extension peptide, however, does not appear to affect AG
3 activity, because proteins of some AG homologs that lack this extension, such as LLAG1 in lily,
4 HpAG in *Hosta plantaginea*, and CiAG in pecan, function normally (Mizukami et al. 1996;
5 Benedito et al. 2004; Wang et al. 2012, Zhang et al. 2016). The I- and K-domains of *FnAG* were
6 moderately conserved, but showed high sequence similarity to those of *FpAG*. According to
7 Pnueli et al. (1994), in this region, tomato showed a closer relationship with tobacco than
8 Brassicaceae, and *Antirrhinum* was more closely related to the Solanaceae than Brassicaceae,
9 suggesting the apparent evolutionary relationship between species in this region.

10 The C-domain is the most variable region and its function has not yet been elucidated.
11 Studies of truncated AG protein lacking the C-domain, however, showed *ag*-like phenotype,
12 indicating that the truncated AG protein inhibits normal AG function (Mizukami et al. 1996).
13 Complete male sterility was obtained only in transgenic tobacco ectopically expressing truncated
14 PrAG1 protein without the K- and C-domain, but not in lines expressing the full-length protein,
15 indicating that the C domain or C plus K domains may affect the MADS dimer formation
16 between PrAG1 and NAG1 (Liu 2012). In *Prunus lannesiana*, a 170-bp exon skip caused by
17 abnormal splicing deleted the C-domain AG motifs I and II. The result was a double-flowered
18 phenotype in which stamens and carpels were converted to petaloid organs (Liu et al. 2013).
19 Overall, the C-domain is functionally important, and it could be involved in transcriptional
20 activation or higher-order protein interactions for the formation of multimeric transcription factor
21 complexes (Theissen et al. 2000; Kramer et al. 2004).

22 In addition to evidence from amino acid sequence analyses of *FnAG*, the steady state
23 transcript pattern of *FnAG* strongly indicated that *FnAG* was the ortholog of AG. The spatial

1 pattern of transcript abundance for *FnAG*, specifically its abundance in reproductive tissues,
2 corresponded with that of *Arabidopsis AG* and other *AG* homologous genes (Yanofsky et al.
3 1990; Du and Pijut 2010; Liu 2012; Zhang et al. 2013). Although *FnAG* transcripts were also
4 detected in vegetative tissues, as observed in poplar (Brunner et al. 2000) and pecan (Zhang et al.
5 2016), the steady state levels in vegetative tissue were significantly lower than in reproductive
6 tissues.

7 In nature, double-flowered mutants provide strong evidence that homeotic mutant
8 phenotypes of this class derive from mutations in *AG* homologous genes. A study with a double-
9 flowered ranunculid mutant ‘Double White’ revealed that the insertion of a retrotransposon
10 within the putative *AG* ortholog *ThtAG1* caused either nonsense-mediated decay of transcripts or
11 alternative splicing that resulted in mutant proteins with K-domain deletions (Galimba et al.
12 2012). Loss of C-domain *AG* motifs I and II in *PreAG* caused by abnormal splicing produced the
13 double-flowered cultivar of *Prunus lannesiana* (Liu et al. 2013). Even though there were no
14 significant differences between the double-flowered cultivar and wild-type plants in promoter
15 and intron sequences, the level of *TrimAG* transcript was reduced in the double-flowered
16 *Tricyrtis macranthopsis* cultivar, indicating it might derive from a mutation in one of the genes
17 in the regulatory network that controls *TrimAG* expression or, alternatively, transcriptional
18 silencing by methylation of *TrimAG* promoter/intron sequences (Sharifi et al. 2015).

19 The function of isolated *AG* homologs usually has been examined by ectopic expression
20 in model plants to see if the transgenic plants showed homeotic alteration. That was because
21 complementation analysis was more difficult, as the null-mutant of *AG* was completely sterile.
22 However, not all *AG* homologous genes cause the typical homeotic alteration of *ap2*-like
23 phenotype in transgenic plants when ectopically overexpressed. The ectopic expression of

NTAG1 from Chinese narcissus rarely produced the homeotic floral phenotype, especially as the generation of transgenic plants increased (Deng et al. 2011). Liu (2012) also found no phenotypic effect on floral development from transgenic tobacco plants with ectopic expression of *PrAG1*. This could be because there were different interacting cofactors in ectopic tissues, or endogenous genes in transformed plants masked the functionality of *AG* homologs from other species (Liu 2012). The long juvenility of black ash (10-15 years) significantly complicates transgenic evaluation of flowering gene action within the species. Therefore, in the present study, we used *Arabidopsis* for the functional analysis of *FnAG*. The ectopic expression of *FnAG* in transgenic *Arabidopsis* induced homeotic conversions of carpeloid sepals and stamenoid petals, curled leaves, reduced plant size, and prematurely terminated inflorescences, as has been reported for other *AG* homologs (Du and Pijut 2010; Wang et al. 2012; Liu et al. 2013). The transgenic plants also showed accelerated flowering: 12.62 and 6.83 days earlier in strong and weak phenotypes, respectively, than wild-type. Ectopic expression of the *GmGAL2*, a soybean *AGAMOUS Like 2*, enhanced flowering in transgenic *Arabidopsis* regardless of the photoperiod by promoting the expression of key flowering genes *CONSTANS (CO)* and *FLOWERING LOCUS T (FT)*, and suppressing floral inhibitor *FLOWERING LOCUS C (FLC)* (Xu et al. 2010).

5. Conclusions

In summary, an *AG* ortholog was cloned from black ash and its function in floral organ identity was analyzed. Sequence analysis and expression analysis showed that *FnAG* was closely related to the other *AG* homologs with transcript expression specifically in the reproductive tissues. *FnAG* was involved in floral organ identity, as expected for a functional homolog of *AG* in black ash. Based on these data, we conclude that *FnAG* is a strong candidate for the black ash functional ortholog of *Arabidopsis AG*, and we believe *FnAG* will be an excellent target for

genome editing to produce transgenic sterile black ash. Our goal is to manipulate *FnAG* using a targeted genome modification technique -clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated (Cas) system- for production of sterile black ash. CRISPR/Cas9 constructs targeting *FnAG* are being developed and transformation is currently underway.

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Data Archiving Statement

Sequence data for *FnAG* have been archived in GenBank under accession number KX592173.

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1 Table 1. Primer sequences.

Primer	Sequence (5' - 3')
AGP1	GGA TCG ARA ACA CVA CAN AYC G
AGP2	GYG TCT TGY TGG TAR WAC TG
AGP3	TGA GGA ATC TGA GCA GGC TTT C
AGP4	CGT CAG GTC ACT TTC TGT AAG C
AGP5	GAC ACT GTC GTT GGC ATA TTC A
AGP6	CTT GCT CAA GAA GGC CTA TGA A
AGP7	ATG GCA TTG CAG AGT GAT CA
AGP8	TCA GAC TAA TTG AAG AGG TGG C
AtAG1	AGG CAA TTG ATG GGT GAG AC
AtAG2	TGG ATC GGA TTC GGG TAA TA
AtActin1	GTC GTA CAA CCG GTA TTG TGC TG
AtActin2	CCT CTC TCT GTA AGG ATC TTC ATG AG

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Table 2. Flowering times of wild-type and transgenic *Arabidopsis*.

Genotype	Days to flowering	No. leaves	No. plants
Wild-type (Col-0)	32.79 ± 0.83	14.89 ± 0.78	9
35S:: <i>FnAG</i> weak	25.96 ± 1.40	10.10 ± 1.07	27
35S:: <i>FnAG</i> strong	20.17 ± 1.44	5.19 ± 1.51	36

Values represent means ± SD.

1 **Figure Legends**

2 **Fig. 1** Gene structure and deduced amino acid sequence alignment of an *AGAMOUS* ortholog in
3 black ash (*FnAG*). (a) *FnAG* gene structure. Exons are depicted as *black boxes* and introns by
4 *lines*. The *triangles* represent the position of start and stop codons. (b) Alignment of deduced
5 amino acid sequences encoded by *FnAG* with *AG* homologs from a range of woody plant species.
6 Identical amino acid residues in relation to *FnAG* are *black* and conserved residues are in *gray*.
7 *Dots* indicate gaps inserted for alignment optimization. The amino acid terminal extension (N),
8 MADS, intervening (I), keratin-like (K), and carboxyl terminal (C) regions are marked. Motifs I
9 and II within the C region were indicated.

10 **Fig. 2** Phylogenetic analysis of MADS-box proteins by the neighbor-joining method. The
11 bootstrap confidence values (%) from 1000 replicates are indicated on the *branches*. The *scale at*
12 *the bottom* indicates genetic distance proportional to the amino acid substitutions per site.

13 **Fig. 3** Expression pattern of *FnAG* in various tissues of black ash by (a) Semi-quantitative RT-
14 PCR analysis and (b) real-time PCR analysis. F, female flowers; M, male flowers; L, leaves; and
15 S, in-vitro shoot cultures. The translation elongation factor alpha (*eEF1α*) gene was used as a
16 constitutively expressed control. Each reaction had three biological replicates and was repeated
17 two times. Error bars represent the standard deviation.

18 **Fig. 4** Floral and vegetative morphology of *Arabidopsis*. (a) Wild-type flower. Transgenic
19 flowers overexpressing *FnAG* under the 35S promoter showed homeotic mutations in the first
20 and second whorls including (b) stamen-like petals, or (c) sepals converted into carpel-like
21 structures. (d) Curled transgenic cauline leaf entrapping the lateral inflorescence. (e) Immature
22 early flowers showing failure of the sepals to enclose flower buds. (f) Extremely small size

1 transgenic plant with early bolting. Arrow indicates floral buds. Thirty-one-day-old (g)
2 transgenic, (h) wild-type, and (i) empty vector control plants.

3 **Fig. 5** Phenotypes of transgenic *Arabidopsis* (*35S::FnAG*) plants with (a) strong or (b) weak
4 phenotypic alterations compared to (c) wild-type plant.

5 **Fig. 6** Relative expression levels of (a) ectopically expressed *FnAG* and (b) endogenous *AtAG* in
6 wild-type (Col-0) and transgenic *Arabidopsis*. Expression levels were normalized to *AtActin2*.
7 Each reaction had three biological replicates and was repeated twice. Error bars represent the
8 standard deviation.

9

(a)

(b)

	N region										MADS-domain									
	10203040506070																			
AG	TAYQSELGGDS	SP	IRKS	SGRK	IEIK	RIENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFSS	RGRL			
FnAG	MAIQSDQSREI	SP	QRKI	CRGK	IEIK	RIENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFST	RGRL			
FpAG	MAIQSDQSREI	SP	QRKIS	RGKIE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFST	RGRL			
GAG2	MSFYDDQSGNL	SP	QRKLGR	GKIE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFST	RGRL			
MdAG	MEFFNQAPES	SS	QRKLGR	GKIE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFS	NRGRL			
FpMADS4	MAYBNKMSMLD	SP	QRKLGR	GKIE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFS	NRGRL			
PsAG	MAYENTMSLD	SP	QRKLGR	GKIE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFS	NRGRL			
PtAG1	MEFYNTSLES	SP	IRKLGR	GKVE	IKRI	ENT	TNRQ	VT	FC	KRRS	GL	LKKAY	ELSV	LCDA	EVAL	IVFS	SRGRL			
PtAG2	MAYQNEPQES	SP	IRKLGR	GKVE	IKRI	ENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFSS	SRGRL			
TcAG	MVYBNESCET	SP	QRKLM	GRGK	IEIK	RIENT	TNRQ	VT	FC	KRRN	GL	LKKAY	ELSV	LCDA	EVAL	IVFSS	SRGRL			

	I-domain										K-domain																			
	8090100110120130140																													
AG	YEYGNNSVK	TI	ERYK	KAI	SD	NS	NTGS	VAE	IN	AQ	YQ	QES	AKLR	Q	QI	IS	QNS	NR	LM	GE	TIG	SM	SP	KEL						
FnAG	YEYANDSVK	TI	ERYK	KAC	SD	SN	NGS	I	SE	AN	AQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	N	ML	GE	SL	GS	LS	LR		
FpAG	YEYANNSVK	TI	ERYK	KAC	SD	SN	NGS	I	SE	AN	AQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	N	ML	GE	SL	GS	LS	LR		
GAG2	YEYANNSVK	TI	ERYK	KAC	SD	SN	NTGS	V	SE	AN	AQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	N	ML	GE	SL	GS	LS	LR		
MdAG	YEYANNSV	TI	ERYK	KAI	AD	ET	NS	GS	V	SE	AN	TQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	H	IL	GE	AL	SS	LN	AKEL	
FpMADS4	YEYANNSVK	TI	ERYK	KAC	AE	ST	NTGS	V	SE	AN	TQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	H	IL	GE	AL	SS	LN	AKEL		
PsAG	YEYANNSVK	TI	ERYK	KAC	AE	ST	NTGS	V	SE	AN	TQ	FY	Q	Q	E	AKLR	Q	QI	IS	QNS	NR	H	IL	GE	AL	SS	LN	AKEL		
PtAG1	YEYNSDSVK	TI	ERYK	KAS	AD	SN	NTGS	V	SE	AN	AQ	YQ	Q	E	AKLR	S	QI	EN	LQNS	NR	H	IL	GE	AL	SS	LS	SV	KEL		
PtAG2	YEYGNNSVK	TI	ERYK	KAC	AD	SN	NTGS	V	SE	AN	AQ	FY	Q	Q	E	AKLR	S	QI	EN	LQNS	NR	N	ML	GE	SL	GS	LS	SV	KEL	
TcAG	YEYANNSVK	TI	ERYK	KKT	CA	DS	SN	NTGS	V	SE	AN	AQ	FY	Q	Q	E	AKLR	V	QI	EN	LQNS	NR	H	IL	GE	SL	GS	LS	SV	KEL

	K-domain										C-domain																																												
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AG	RNLB	ER	LE	RS	IT	IR	SK	KN	ELL	F	SE	ID	YM	Q	K	RE	V	DL	H	NN	Q	L	RA	KI	A	E	N	E	R	N	-	N	P	S	I	S	L	M	P	G	G	S	N	Y	E	Q	L								
FnAG	KNLB	AK	VE	R	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	T	E	R	A	-	Q	Q	H	M	S	L	M	P	G	G	S	D	Y	D	L	V				
FpAG	KNLES	K	VE	R	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	N	K	R	H	NN	Q	L	RA	KI	A	E	T	E	R	A	-	Q	Q	H	M	S	L	M	P	G	G	S	D	F	D	L	V			
GAG2	K	E	L	T	K	L	E	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	A	-	Q	Q	H	M	N	L	M	P	G	G	S	D	Y	E	L	A	
MdAG	KNLB	ER	LE	G	IS	IR	SK	KN	ELL	F	SE	ID	YM	Q	K	RE	T	E	L	O	H	NN	Q	L	RA	KI	A	E	N	E	R	E	B	-	Q	Q	H	H	M	P	G	G	S	Y	D	Q	S	M							
FpMADS4	KNLES	K	L	E	G	I	N	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	S	-	Q	Q	N	I	N	V	M	A	G	G	S	Y	E	I	M				
PsAG	KNLES	K	L	E	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	S	-	Q	Q	N	I	N	V	M	A	G	G	S	Y	E	I	M					
PtAG1	K	S	L	E	I	R	L	E	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	V	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	K	-	R	Q	S	N	L	M	P	G	G	A	D	F	E	I	V	
PtAG2	K	S	L	E	I	K	L	E	G	I	R	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	K	-	R	Q	H	M	N	L	M	P	G	G	V	N	F	E	I	M
TcAG	R	S	L	E	N	R	L	E	G	IS	IR	SK	KN	ELL	F	AE	I	B	Y	M	Q	K	RE	I	D	L	H	NN	Q	L	RA	KI	A	E	N	E	R	K	-	Q	Q	N	I	N	L	M	P	G	G	S	N	F	E	I	M

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