The role of chromatin structure in gene regulation of the human malaria parasite

Gayani Batugedara¹, Xueqing M. Lu¹, Evelien M. Bunnik², and Karine G. Le Roch^{1*}

¹ Department of Cell Biology and Neuroscience, University of California Riverside,
Riverside, CA 92521, USA

² Department of Microbiology, Immunology & Molecular Genetics, The University of
Texas Health Science Center at San Antonio, San Antonio, TX 78229, USA

* Corresponding author:

Karine G. Le Roch

Institute for Integrative Genome Biology

Center for Disease Vector Research

Department of Cell Biology and Neuroscience

University of California Riverside

900 University Avenue

Riverside, CA 92521

USA

Tel: +1 (951) 827-5422

Fax: +1 (951) 827-5155

E-mail: karine.leroch@ucr.edu

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Abstract

The human malaria parasite, *Plasmodium falciparum*, depends on a coordinated regulation of gene expression for development and propagation within the human host. Recent developments suggest that gene regulation in the parasite is largely controlled by epigenetic mechanisms. Here, we discuss recent advancements contributing to our understanding of the mechanisms controlling gene regulation in the parasite, including nucleosome landscape, histone modifications and nuclear architecture. In addition, various processes involved in regulation of parasite-specific genes and gene families are examined. Finally, we address the use of epigenetic processes as targets for novel antimalarial therapies. Collectively, these topics highlight the unique biology of *P. falciparum*, and contribute to our understanding of mechanisms regulating gene expression in this deadly parasite.

1 **The malaria parasite**

2 The human malaria parasite remains one of the deadliest infectious agents worldwide. In
3 2015, an estimated 214 million cases of infection and 438,000 malaria-related deaths
4 were reported [1]. Most malaria infections occur in sub-Saharan Africa; however
5 developing countries in South East Asia and South America are also affected. Children
6 under the age of five and pregnant women are most susceptible to the disease and in
7 2015, children under the age of five accounted for approximately 70% of all malaria-
8 related deaths.

9

10 *Plasmodium falciparum*, one of five *Plasmodium* species that can infect humans, is
11 responsible for the most severe disease symptoms and the highest mortality rate in
12 humans. The parasite develops through a complex life cycle that involves two hosts; the
13 *Anopheles* mosquito and the human host (Figure 1). The parasite's life cycle begins as an
14 infected *Anopheles* mosquito takes a blood meal from a human and in the process injects
15 sporozoites into the host bloodstream. The sporozoites translocate to the liver, invade
16 liver cells (hepatocytes) and replicate multiple times for a two-week period, producing
17 thousands of merozoites that leave the liver and invade red blood cells (erythrocytes) [2,
18 3].

19

20 During the intra-erythrocytic developmental cycle (IDC), the parasite develops asexually
21 through ring, trophozoite and schizont stages and multiplies by a process of replication
22 termed schizogony. As the parasite progresses through the three distinct developmental
23 stages, it undergoes multiple rounds of nuclear replication and cytokinesis to produce 16-

32 daughter cells at the end of each IDC. The daughter merozoites then burst out of the host red blood cell and invade new healthy red blood cells. During the IDC, environmental stress can trigger the parasites into committing to sexual development resulting in differentiation into male and female gametocytes. The mature gametocytes can be ingested by a feeding mosquito, undergo sexual replication in the mosquito midgut, and develop further into salivary gland sporozoites to be transmitted to a new human host as the mosquito takes the next blood meal. This multi-stage life cycle of the parasite is tightly regulated, most likely by strict control of stage-specific gene expression. In eukaryotes, stage-specific regulation of gene expression can be a combined effect of transcriptional, post-transcriptional and translational control. In *P. falciparum*, the nature and the contribution of mechanisms regulating gene expression at the transcriptional level, including the role of chromatin structure, are starting to emerge. In this review, we summarize the current knowledge on the role of chromatin structure and epigenetics in gene regulation of the human malaria parasite and its potential to identify much-needed new therapies.

***P. falciparum* genome**

The human malaria parasite *P. falciparum* has a relatively compact genome of twenty three million base pairs that is organized into fourteen chromosomes (per haploid genome) [4]. The *P. falciparum* genome is the most AT-rich eukaryotic genome sequenced to date, with an overall AT-composition of ~80%, rising to 90-95% in introns and intergenic regions. The distinct developmental stages of the *P. falciparum* life cycle (Figure 1) are characterized by coordinated changes in gene expression [5-10]. In

eukaryotes, gene expression is partly controlled by transcription factors that bind to cell- or tissue-specific promoters to regulate transcription [11]. However, a surprisingly low number of specific transcription factors have been identified in the parasite genome [12, 13] and in particular, only a few stage-specific transcription factors have been validated [14-20]. Therefore, the coordinated cascade of transcripts observed throughout the parasite life cycle is unlikely to be regulated only by this limited collection of specific transcription factors, and suggests that additional components and mechanisms, such as post-transcriptional [21-25], translational and post-translational regulation [21, 26, 27] as well as change of chromatin structure, may control the expression of the predicted 6,372 genes in the malaria parasite.

How DNA is packaged inside the nucleus greatly influences gene expression. After a general overview of what is known in higher eukaryotes, we describe important features of the *P. falciparum* nuclear and chromatin landscape and how these features, including histone modifications, nucleosome occupancy and the three-dimensional (3D) nuclear organization, may affect gene expression.

Eukaryotic chromatin structure

In a eukaryotic cell, genomic DNA is tightly wrapped around histone proteins and assembled as nucleosomes. These nucleosomes are then coiled and packaged together resulting in a fiber also known as chromatin. Interactions between chromatin and protein complexes as well as the dynamics of nucleosome positioning and post-translational

modifications (PTMs) of histone core proteins are of vital importance to the usage of DNA.

The major step in gene transcription initiation is the recruitment of RNA polymerase II, along with other general transcription factors (TFIIs), to promoter regions to form the basal pre-initiation complex (PIC). Recent genome-wide nucleosome mapping studies in model organisms, such as yeast and human, have revealed consensus patterns in nucleosome organization, including lower nucleosome density at intergenic regions as compared to genic regions, a strong nucleosome depleted region (NDR) near the promoter, and well-positioned nucleosomes (i.e. -1 and +1 nucleosomes) containing variant histone H2A.Z around the transcription start site (TSS) [28-31]. These findings suggest that specific positioning of nucleosomes, especially at promoter and transcription start or stop regions, largely contributes to transcriptional control by governing the access of components of the transcription initiation machinery to their binding sites. Furthermore, to ensure nucleosome dynamics and gene expression regulation, nucleosome components or the entire nucleosome may be repositioned, removed or replaced through the action of ATP-dependent chromatin remodeling enzymes. In addition, post-translational modifications of histone proteins can have large effects on chromatin structure and gene activity. For instance, acetylation of histone H3 at lysine 9 or 14 of their N-terminal tail (H3K9ac and H3K14ac) often alters the physical and chemical stability of nucleosomes, resulting in an open chromatin structure and a transcriptionally permissive state [32]. On the other hand, trimethylation of histone H3 at

lysine 9 and 27 (H3K9me3 and H3K27me3) is often associated with a heterochromatin state and repression of gene expression [33].

Besides precise positioning of nucleosomes, gene expression requires physical interaction between promoter regions and their distal regulatory elements, yet promoters and their regulatory elements are often linearly separated along the chromosome. To overcome this spatial constraint, chromatin loops are formed to bring together the regulatory elements and their promoters for gene activation. For example, the distal enhancer known as locus control region (LCR) of beta-globin (β -globin) genes makes contact with globin gene promoters through chromatin looping and dynamically changes its interactions with the promoters of embryonic, fetal, and adult β -globin genes to ensure expression of the correct set of β -globin genes at the proper developmental stage [34-38]. Chromatin loops can also play a role in gene silencing. For example, the maternal copy of the insulin-like growth factor 2 (*Igf2*) gene is silenced by placement in an inactive chromatin loop that prevents enhancer-promoter interaction and allows the exclusive expression of the paternal allele [39, 40].

Over the past decade, a series of molecular and genomic approaches have been developed (3C, 4C, 5C, Hi-C, etc.) to study the higher order organization of chromosomes by mapping interactions between genomic loci (see Box 1) [41]. Hi-C analyses of mouse and human chromosome structures have revealed that eukaryotic genomes are organized into large blocks that show high levels of chromatin interactions within that region, but not with other loci in the genome. These regions, called topologically associated domains

(TADs) [42, 43], are well defined by insulator proteins [44, 45] and are composed of many chromatin loops that have important functional roles in regulating gene expression. On a higher dimension, individual chromosomes organize and occupy distinct territories within the nucleus, and such organization is highly associated with gene density; gene-dense chromatin is usually enriched in the internal part of the nucleus, while gene-poor regions tend to locate toward the nuclear periphery [46-53].

Emerging evidence shows that long non-coding RNAs (lncRNAs) are also involved in the control of transcription and genome activity by affecting chromatin-remodeling events, including nucleosome positioning and chromatin looping [54]. A well-known example is the lncRNA known as Xist, which mediates X-chromosome inactivation during zygotic development [55]. Deposition of Xist on the X chromosome recruits histone-modifying enzymes that place repressive histone marks, such as H3K9 and H3K27 methylation, leading to gene silencing and the formation of heterochromatin. How many of these typical chromatin features are maintained in *Plasmodium*, as well as the areas where additional research is necessary, will be highlighted in the upcoming topics.

Chromatin structure and gene regulation in *P. falciparum*

Transcriptional machinery in Plasmodium

Since the publication of the *P. falciparum* genome in 2002 [4], researchers have attempted to explore the transcriptional machinery of the parasite in detail. The basal transcriptional machinery, RNA polymerase II and all its subunits have been identified in

the parasite [13, 56]. Additionally, a total of 23 TFII components have been found. Although four TATA-binding protein (TBP) associated factors (TAFs) have been discovered in *P. falciparum*, the parasite seems to lack the classical TFIID subunits with a histone fold domain. The histone fold domain allows the TAFs to assemble into heterodimers [56]. In yeast, the TFIID complex contains the TATA-binding protein (TBP) and TBP-associated proteins (TAFs) and more than half of these proteins contain a histone fold motif [57]. The fact that the TAFs in *P. falciparum* do not contain this motif suggests that the parasite TFIID complex is divergent from other eukaryotes. As so many TAFs are missing in *P. falciparum* compared to other eukaryotes, alternative mechanisms may be more important for transcriptional regulation in the parasite.

In eukaryotes, specific transcription factors (TFs) recruit and activate the transcription pre-initiation complex. Remarkably, in *P. falciparum*, only about 30 specific transcription factors have been identified [12, 13]. Twenty-seven of these TFs belong to the apicomplexan-specific family of transcription factors and contain a modified form of the AP2 domain found in plant TFs (ApiAP2) [12]. These TFs are present throughout the parasite life cycle and are believed to control the transition between specific developmental stages. Some examples include AP2-G for the development of gametocytes [17, 18], AP2-Sp for sporozoite development [19], AP2-L for the development of liver-stage parasites [16], and AP2-O for the development of ookinetes in the mosquito [20]. Another member of the ApiAP2 family, PfSIP2, is shown to bind to heterochromatic regions of the genome and act as a transcriptional repressor [58]. Despite continued experimentation, it remains to be determined how ApiAP2 transcription factors

recruit RNA polymerase II to sites of transcription. Similar to the lack of TAFs mentioned above, the small number of specific TFs identified in *P. falciparum* highlights the role of alternative mechanisms regulating gene expression.

It is now evident that most of the *P. falciparum* genome is maintained in a decondensed chromatin environment called euchromatin, while only a small subset, including subtelomeric regions and a few internal loci, are contained within highly condensed heterochromatin cluster(s) [59, 60]. The heterochromatin cluster(s) of the parasite genome are marked by H3K9me3 modifications and heterochromatin protein 1 (PfHP1), and harbor gene families encoding clonally variant antigens (*var*, *rifin*, *stevor* and *pfmc-2tm*), invasion gene families (*eba* and *clag*) and a few other loci such as the gametocyte-specific transcription factor *pfap2-g* during the IDC [61-65]. The presence of these repressive marks on parasite stage-specific gene families suggests that mechanisms regulating transcription of these genes may be more conserved with higher eukaryotes, than the rest of the genes in the *Plasmodium* genome.

Epigenetic regulation of clonally variant gene families

P. falciparum virulence genes

Disease pathogenesis in malaria is the result of the parasite's ability to escape host immune responses. The *var* gene family, the best characterized multi-gene family in *P. falciparum*, encodes erythrocyte membrane proteins 1 (PfEMP1s) that are expressed at the surface of the infected erythrocyte and play a key role in cytoadherence and antigenic variation [66]. Approximately sixty *var* genes are present in a haploid genome of *P.*

falciparum, but only one *var* gene is expressed at any given time [67]. By switching *var* gene expression, the parasite is able to avoid host immune responses. The mechanisms regulating *var* gene expression in *P. falciparum in vitro* have emerged recently and are discussed below.

Histone post-translational modifications affecting virulence gene expression

Silent *var* genes are clustered to one or more repressive regions at the nuclear periphery, marked by H3K9me3 and PfHP1 (Figure 2A) [59-63, 65, 68, 69]. Histone deacetylases (HDACs), in particular NAD⁺-dependent class III HDAC proteins PfSIR2A and PfSIR2B and class II HDAC protein PfHDA2, play a role in regulating the repressive clusters containing silent *var* genes, as manipulated parasite lines lacking these proteins show loss of monoallelic *var* gene expression [65, 70-72]. PfSET2 is a histone lysine methyltransferase (HKMT) that specifically marks *var* genes, and the disruption of PfSET2 results in the de-repression of the silenced *var* gene cluster(s) [73, 74]. Absence of PfHP1 in the parasite has also been shown to result in loss of monoallelic *var* gene expression as well as result in parasite growth arrest [75], which indicates that PfHP1 plays an essential role in maintaining repressive heterochromatin.

The active *var* gene, transcribed at the ring stage, is distinguished by the presence of H3K4me3 and H3K9ac marks and resides in a region of the nucleus away from the repressive heterochromatin cluster(s) [65, 70, 76]. At the later trophozoite and schizont stages, the active *var* gene is controlled by the HKMT PfSET10, which is suggested to play a role in maintaining epigenetic memory of *var* gene expression [77]. Collectively,

these results highlight the relationship between proper chromatin assembly and regulation of antigenic variation in the parasite.

Long non-coding RNAs

Another mechanism regulating the monoallelic expression of the *var* gene family is the transcription of long non-coding RNAs (lncRNA). Two lncRNAs transcribed from a bidirectional promoter within the *var* intron have been identified [78, 79]. Both these lncRNAs are incorporated into chromatin after being capped but not polyadenylated. Transcription of the sense lncRNA may play a role in positing the repressive histone mark H3K36me3 at the *var* gene loci thereby functioning as a silencer of *var* gene expression, while the antisense lncRNA is proposed to be required for expression of the single active *var* gene [79].

Recently, a novel family of twenty-two long non-coding RNAs (lncRNAs) transcribed from the telomere-associated repetitive elements (TAREs) was identified [80-82]. The exact role of these lncRNAs is yet to be determined. However, these lncRNA TARE loci are enriched with ApiAP2 transcription factor PfSIP2 binding sites. PfSIP2 has been implicated in heterochromatin formation around subtelomeric *var* gene regions [58]. Therefore, lncRNA-TAREs may, directly or indirectly, help regulate *var* gene expression. The TARE-lncRNAs show functional similarities to the eukaryotic family of non-coding RNAs involved in telomere and heterochromatin maintenance [83], which further validates the role for lncRNA-TAREs regulating heterochromatin and repressive centers in the parasite genome.

In vivo regulation of virulence gene expression

While *in vivo* studies of *var* gene regulation are challenging, results from human infections suggest that infection-induced stress responses in the host, such as fever and changes in bloodstream metabolites, can modify expression of PfEMP1 via changes in histone modifications [84], confirming the importance of this epigenetic mechanism for *var* gene regulation. Another *in vivo* study using patient samples found that not only do the parasites switch between different PfEMP1 variants, but they also vary the expression level of the PfEMP1 variants, most likely in response to host immune responses [85]. With these studies it is becoming clearer that the selection pressures *in vitro* are different from those *in vivo* and epigenetic regulation of the clonally variant gene families may contribute to the differences observed *in vivo* and *in vitro*.

P. falciparum invasion genes

Invasion of a new erythrocyte by the malaria parasite involves binding of parasite ligands to specific recognition surface receptors on the red blood cell [86]. *Eba*, *rhophil/clag*, *acbp* and *PfRH* are among some of the gene families involved in the invasion process, but are not essential for parasite survival. The genes in these families are thought to be partially regulated through epigenetic mechanisms and show differential expression patterns in different parasite lines, as they can be in either active or inactive states [87] (Figure 2B). According to a more recent study exploring the parasite-specific bromodomain protein PfBDP1 using *in vitro* culture, invasion genes are regulated in a more “classical” manner by transcription factors interacting with specific promoters [88]. In schizonts, an enrichment of PfBDP1 was observed at the transcription start sites of

invasion genes. PfBDP1 was shown to positively regulate transcription of invasion genes by binding to acetylated histone H3. Additionally, conditional knockdown of PfBDP1 resulted in erythrocyte invasion defects and parasite growth inhibition, further confirming the essentiality of this bromodomain protein for the coordinated expression of invasion genes in *P. falciparum*.

Epigenetic regulation of gametocytogenesis

As the malaria parasites continue asexual replication, a small fraction of parasites will commit to sexual differentiation and form gametocytes with every replication cycle. It is believed that this commitment is made during the schizont stage, however what prompts the asexual stage parasites to commit to sexual differentiation is not well understood. The AP2 transcription factor, *pfap2-g*, located on chromosome 12, is one of the master regulators of gametocyte differentiation [17, 18]. In asexual parasites, the locus containing *pfap2-g* is localized to the nuclear periphery and silenced by H3K9me3 and PfHP1 (Figure 2C) [65, 69]. *In vitro* studies show that down-regulation of PfHDA2 activates *pfap2-g* and induces the formation of gametocytes [72]. Similarly, depletion of PfHP1 activates *pfap2-g* and increases the rate of gametocyte production [75]. However, these observations have not been confirmed *in vivo*.

Histones and nucleosome landscape of *P. falciparum*

Recently, high-sensitivity mass spectrometry experiments have identified a total of 232 different histone post-translational modifications (PTMs) during the *P. falciparum* intraerythrocytic stages, including acetylations, methylations, phosphorylations,

ubiquitylations and sumoylations [89]. Many of these histone PTMs had never been detected in *Plasmodium* or in other organisms and their exact function remains to be determined. It is however important to mention that a majority of the parasite genome carries a large proportion of activating histone marks (H3K9ac and H3K4me3) compared to silencing marks (H3K9me3 and H3K36me3). This contrasts with what has been identified in multicellular eukaryotes [90], but validates further the transcriptionally permissive euchromatic state of the parasite genome. In mammalian genomes, H3K9ac and H3K4me3 strictly localizes to active promoters [91-95], while in *P. falciparum* these modifications not only mark promoters and 5' coding regions of genes that are highly transcribed [96, 97], but are also found in intergenic regions and 'silenced' promoters [61, 96, 98].

The nucleosome landscape of *P. falciparum* is similar to other eukaryotes in some aspects. First, the parasite genome exhibits a depletion of nucleosome coverage in the promoter regions of genes [99-101], most likely to allow binding of transcription factors and other components of the transcription machinery. Second, lower levels of nucleosomes are observed in intergenic regions compared to coding regions [100-102]. Some studies dispute this finding and attribute the difference in nucleosome levels to sequencing biases introduced by the high AT-content of the intergenic regions [103]. In these studies, the authors normalize the nucleosome coverage data using sonicated genomic DNA, but because sonication tends to degrade AT-rich sequences faster than GC-rich sequences, normalization using sonicated genomic DNA may over-correct for AT-rich sequencing biases. Alternative methodologies that enrich for nucleosome

depleted regions such as FAIRE-Seq [102] or ATAC-Seq [104] should be considered to better evaluate sequencing biases of nucleosome positioning in an AT-rich genome. Indeed, lower nucleosome levels are observed in intergenic regions of all other eukaryotes [105-108] including *Tetrahymena thermophila*, another organism with an AT-rich genome [109]. Third, genes with higher transcription levels exhibit a more open chromatin structure at their core promoter region than silenced genes [99, 100, 103]. However, the nucleosome landscape of *P. falciparum* also displays some unique characteristics (Figure 3). First, the parasite genome lacks a strongly positioned +1 nucleosome that marks the TSS [99, 100] and instead, the most strongly positioned nucleosomes are found at the start and stop of the coding region. Second, the high AT-content of the *P. falciparum* genome is likely to be the cause for the parasite-specific nucleosome landscape as AT-rich DNA is relatively inflexible and thus does not easily wrap around the nucleosome core. To solve this issue, the parasite has evolved its histone variants H2A.Z and H2B.Z that bind weakly but more effectively to AT-rich DNA [110, 111]. H2A.Z and H2B.Z are found throughout intergenic regions of the parasite genome, instead of being only present at the +1 nucleosome in other eukaryotes.

Another unique feature of the *P. falciparum* nucleosome landscape, that is also an area of debate, is that nucleosome levels change as the parasite develops through the asexual replication cycle. Other studies propose a more sequence-driven and transcription-independent nucleosome positioning in the parasite [103]. These controversies seem to be stemming from discrepancies in data normalization. When nucleosome occupancy profiles are normalized by input parasite content or by the number of nuclei, these

opposing datasets display similar nucleosome dynamics throughout the parasite life cycle. Additionally, changes in nucleosome occupancy during parasite development have also been confirmed by alternative approaches. Experiments including western blots [112], mass spectrometry [89, 100, 113], MNase-Seq, FAIRE-Seq [102], and ChIP-seq [100] showed that histone levels are lower during the transcriptionally active trophozoite stage. This observation has prompted a model for gene regulation in the parasite genome where nucleosome eviction drives the massive transcriptional event observed at the trophozoite stage, which is followed by the schizont stage where the genome repacks in preparation for re-invasion. At the schizont stage, nucleosomes are re-assembled and global histone levels are restored to the levels observed before the transcriptionally active trophozoite stage. At this later stage, regulation of transcription at the initiation level may be controlled by more classical mechanisms of regulation such as stage-specific transcription factors (AP2) and histone PTMs [88].

***P. falciparum* nuclear architecture**

Much like in complex metazoans, the three-dimensional (3D) genome structure of *P. falciparum* plays important roles in regulating gene expression. Initial observations of global chromatin arrangement within the parasite nucleus were studied using immunofluorescence microscopy and fluorescence in situ hybridization (FISH) experiments [65, 114, 115]. Earlier FISH experiments revealed that *var* genes localize to two to five clusters around the parasite nucleus [60, 65]. More recent studies showed a single locus for the *var* gene-associated repressive cluster marked by H3K9me3 and PfHP1 [116], as well as H3K36me3, which is mark of both active and silent *var* genes

[74]. These observations were confirmed with the recent advancement of chromosome conformation capture techniques such as Hi-C that capture genome-wide intra- and interchromosomal interactions. However, because Hi-C captures the nuclear architecture at the population level, unlike FISH that is performed at the single-cell level, Hi-C data cannot be used to distinguish between multiple *var* gene clusters if the genes are randomly distributed from cell to cell. In addition, technical challenges such as cell cycle timing as well as cross-linking strategies may contribute to the discrepancies between these methodologies. Since 3C-based and imaging methods inherently measure different aspects of the 3D genome, there will be instances where the two datasets appear to be inconsistent [117]. Nevertheless, Hi-C and FISH experiments together with chromatin immunoprecipitation followed by microarray or next generation sequencing technologies (ChIP-on-Chip or ChIP-Seq), have contributed to the discovery of atypical chromatin features in the parasite genome [59].

P. falciparum chromosomes are arranged into folded structures, which are attached at the centromere with the two chromosomal arms folding over each other in a parallel orientation [59]. The clustering of the centromeres and telomeres on opposite regions of the nucleus is comparable to the 3D genome structure observed in the similarly sized budding and fission yeast [118, 119]. Unlike the yeast genome, *P. falciparum* has several additional complex structures mostly generated by *var* genes located internally on five out of fourteen chromosomes [59]. These internal *var* genes colocalize with the subtelomeric *var* genes at the nuclear periphery by creating additional loops in the chromosomes.

367

368 Hi-C experiments [59] as well as advanced microscopy examination [120] throughout the
369 IDC reveal that the nuclear organization undergoes several distinct changes during its
370 developmental progression, most likely in order to accommodate the high level of
371 transcriptional activity necessary during these stages of the parasite life cycle. Together
372 with the expansion of the nucleus, which reaches the maximum size and volume at the
373 trophozoite stage, the number of nuclear pores increases from 3-7 pores at the ring stage
374 to 12-58 pores at the trophozoite stage [120]. Nuclear pores are located next to
375 euchromatic areas, and the increased number of pores suggests a transcriptionally active
376 trophozoite stage with the need to facilitate messenger RNA transport into the cytoplasm.
377 As the parasite undergoes schizogony, the contents of the nucleus together with the pores
378 are distributed among the resulting daughter nuclei. Increased nuclear pores at the
379 trophozoite stage correlate with partial loss of chromosomal territories for more
380 chromosome intermingling [59]. Additional observations from Hi-C experiments include
381 similar expression profiles for genes that are located close to each other with
382 colocalization of genes that are silenced during the IDC but expressed at other stages
383 [59]. Taken together, these observations highlight the importance of understanding
384 mechanisms regulating the dynamic nuclear organization and their role in controlling
385 gene expression.

386

387 **Using chromatin structure and epigenetic regulation as drug targets**

388 As mentioned previously, the maintenance and regulation of the repressive
389 heterochromatin environment within the parasite nucleus is essential for parasite survival.

In particular, conditional deletions of PfHP1 or PfHDA2 have been shown to cause developmental arrest of blood stage parasites [72, 75]. Profound transcriptional changes have been observed for parasites treated with the drug apicidin, a potent inhibitor of HDACs [121]. Apicidin does so by causing hypermethylation of H3K9 and H4K8 residues, which leads to deregulation of the global transcriptional cascade. Disruption of histone acetylation and methylation levels, and in particular HKMTs, have also shown to interfere with parasite growth and survival, although so far only a few small molecules potent enough to inhibit HKMT activity in *P. falciparum* have been identified [122, 123]. Targeting HDAC and HKMT classes of enzymes as antimalarial therapies is a promising strategy. However, many eukaryotic histone-modifying enzymes share conserved catalytic domains and when targeted for antimalarial therapies could be toxic to the human host as well. Therefore, potential drug compounds should be studied extensively before they can be considered as novel antimalarial therapies.

Concluding Remarks and Future Perspectives

P. falciparum uses a combination of different epigenetic mechanisms to regulate its gene expression. However, our understanding of the parasite epigenome is far from complete (see Outstanding Questions). Although most chromatin modifications used by the parasite are also common to other eukaryotes, several features of chromatin regulation are unique to *P. falciparum*. Exploring the underlying regulatory mechanisms of how the repressive cluster(s) are established and maintained could lead to identification of specific proteins important for chromatin regulation in the malaria parasite. At the epigenetic level, as outlined above, the *Plasmodium* genome architecture points towards a

413 binary structure, with the majority of the genome existing as transcriptionally permissive
414 euchromatin and a small subset of genes present in a transcriptionally silent
415 heterochromatin state. This heterochromatin cluster is localized at the periphery of the
416 nucleus and is characterized by high levels of H3K9me3 and H3K36me3 histone marks,
417 PfHP1 and high nucleosome density. The euchromatin environment harbors active genes,
418 including the single active *var* gene at the nuclear periphery, and is characterized by high
419 levels of H3K4me3 and H3K9ac histone modifications. During the asexual cycle, the
420 parasite nucleus and chromatin undergo drastic remodeling to accommodate the high
421 transcriptional activity at the trophozoite stage. Chromatin structure remains relatively
422 compact during the ring and schizont stages, but opens substantially during the
423 trophozoite stage. This open-and-close chromatin structure is also reflected at the
424 nucleosome landscape and global histone levels. As the nucleus expands in size, which
425 can also be visualized using immunofluorescence and Giemsa staining, the number of
426 nuclear pores increases greatly and distribute around the nucleus. As the parasite
427 transitions from the trophozoite stage to the schizont stage, the changes in nuclear
428 architecture are reversed by reassembling nucleosomes, increasing global histone levels
429 and compacting the genome. In the transition from trophozoite to schizont stage, the
430 DNA is replicated, and the nucleus is divided into multiple daughter nuclei, each with a
431 small number of the nuclear pores previously present in the nucleus. Collectively, these
432 observations suggest that the majority of the parasite genome is regulated via genome-
433 wide changes in chromatin structure, while a small subset of genes are regulated by
434 classical transcriptional regulation mechanisms, such as changes in local chromatin
435 structure and specific transcription factors. Exploration of regulatory mechanisms that

regulate large chromatin rearrangements throughout the parasite life cycle could enable the discovery of molecules that can target parasite development with high specificity.

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Box 1. Selected methods for assaying 3D chromatin structure

Chromosome conformation capture (3C) method identifies pairwise interactions between two selected fragments in a one-to-one approach. The major steps of the 3C protocol include cross-linking cells with formaldehyde, digesting chromatin with a restriction enzyme, ligating chromatin fragments that are in close proximity, reversal of cross-links, and identifying the interaction frequency of the targeted regions using primers specific to those regions in a quantitative polymerase chain reaction (PCR). For 3C experiments, prior knowledge of which genomic loci are likely to interact is required, and the technique is best suited to study small numbers of loci or relatively small genomic regions [124].

Circularized chromosome conformation capture/ chromosome conformation capture-on-chip (4C) method uses high throughput sequencing to identify all contacts for a selected locus in a one-versus-all approach. The 4C methodology is similar to 3C up to the reverse crosslinking step. Following an additional round of digestion, DNA fragments are self-ligated to create circular DNA containing both the locus of interest and its interacting partner. Using inverse PCR, the interacting partner sequence is amplified and sequenced, generating a genome-wide interaction profile for the region of interest. The 4C technique is suitable for studying both inter and intrachromosomal contacts of a specific region at high resolution [125].

Chromosome conformation capture carbon copy (5C) method is a many-to-many approach capturing chromosome interactions for multiple loci in one experiment. This methodology uses a pool of primers that are hybridized to different restriction sites in the genome. During the ligation step, primer pairs on interacting fragments can be ligated together. Each primer contains a universal sequence at its 5' end to allow the amplification of these ligation products, thus detecting the interaction frequencies for multiple loci or genomic regions. One major bottleneck for 5C is the requirement for the design of individual primers for each restriction site [126].

Chromosome conformation capture coupled with next generation sequencing (Hi-C) method provides true genome-wide capture of chromosome interactions, also described as an all-to-all approach. Hi-C follows the basic steps of 3C procedures with a modified ligation step: after restriction digestion of cross-linked DNA, biotin-labeled nucleotides are incorporated into the overhangs followed by blunt end ligation. The ligated fragments are then isolated using streptavidin-coated magnetic beads and sequenced on a next-generation sequencing platform. Hi-C provides a complete genome-wide interaction map, including both local and long-range intrachromosomal contacts as well as interchromosomal contacts; however, large amounts of sequencing data and complex computational analyses are required [114].

FIGURE LEGENDS

Figure 1. Schematic representation of the life cycle of the malaria parasite. In the human host, the parasite first develops through the liver stage, followed by subsequent 48-hour replication cycles inside red blood cells, which is the stage responsible for symptomatic disease. During this replication cycle, a small proportion of parasites will commit to sexual differentiation into male and female gametocytes that can be taken up by a mosquito. Sexual reproduction takes place inside the mosquito midgut and ultimately results in the formation of sporozoites that can be transmitted to a new human host.

Figure 2. Epigenetic regulation of specific genes and gene families in *Plasmodium falciparum*. (A) The family of *var* genes is controlled by clustering of silent *var* genes at the nuclear periphery and the deposition of repressive H3K9me3 marks, which recruits PfHP1 and results in the formation of heterochromatin. The single active *var* gene is

isolated from all other *var* genes, marked by H3K4me3 and H3K9ac, and localized in a euchromatic environment. LncRNAs transcribed from a bidirectional promoter in the *var* introns also contribute to regulation of *var* gene expression. **(B)** Several families of invasion genes are epigenetically regulated through repressive and active histone marks that recruit heterochromatin marker PfHP1 and gene activator PfBDP1, respectively. **(C)** During the IDC, gametocyte-specific TF *pfap2-g* localizes to the nuclear periphery and is silenced by repressive histone marks, including H3K9me3, and PfHP1. Abbreviations: IDC, intra-erythrocytic developmental cycle; HDA2, histone deacetylase 2; H3K9me3, trimethylation of histone H3 at lysine 9; H3K9ac, acetylation of histone H3 at lysine 9; LncRNAs, long non-coding RNAs; PfBDP1, bromodomain protein; PfHP1, heterochromatin protein 1; SET2, histone lysine methyltransferase that specifically marks *var* genes; SIR2A, silent information regulator 2A; SIR2B, silent information regulator 2B; TF, transcription factor; *pfap2-g*, gametocyte-specific transcription factor.

Figure 3. Differences in chromatin and genome organization between *Saccharomyces cerevisiae* and *Plasmodium falciparum*. **(A)** The genome of *P. falciparum* is more AT-rich compared to the similarly sized budding yeast. **(B)** In yeast, the promoter region is flanked by strongly positioned nucleosomes (-1 and +1). These nucleosomes often contain the histone variant H2A.Z, which destabilizes the nucleosome and promotes gene activation. The nucleosome landscape at the end of the gene mirrors the landscape at the gene start. The nucleosome landscape around *P. falciparum* genes shows strongly positioned nucleosomes at the start and stop of the coding region, and

histone variants H2A.Z and H2B.Z throughout the intergenic regions. This parasite-specific nucleosome landscape is most likely the result of the high AT-content of the parasite genome. As AT-rich DNA is relatively rigid and does not easily wrap around a nucleosome core the parasite may have partially solved this issue by evolving its histone variants H2A.Z and H2B.Z to become more efficient at binding AT-rich DNA. (C) In yeast, the process of transcription involves the local displacement of nucleosomes to allow the transcription machinery to progress along the gene. In the malaria parasite, nucleosome levels decrease dramatically during the trophozoite stage to facilitate massive transcription. This global nucleosome eviction may contribute to a general transcriptionally permissive state of the genome, allowing the efficient transcription of 70-80% of all *P. falciparum* genes at this stage of its life cycle. (D) *S. cerevisiae* chromosomes have a folded architecture anchored at the centromere, with clustering of the centromeres and telomeres on opposite sites of the nucleus. In *P. falciparum*, clusters of *var* genes that are located internally on chromosomes colocalize with subtelomeric *var* genes, resulting in additional looping structures in five out of fourteen chromosomes. This contributes to a more complex genome organization than what is observed in yeast. Abbreviations: NDR, nucleosome depleted region; PIC, pre-initiation complex; TSS, transcription start site.

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Outstanding Questions

1. Does the nucleus of *P. falciparum* harbor one or more repressive heterochromatin clusters at the nuclear periphery?
2. Are the epigenetic control mechanisms observed throughout the asexual life cycle similar in other stages?
3. Through what mechanisms do stage-specific transcription factors control the transition between specific developmental stages?
4. Which chromatin-associated proteins are vital for maintaining the epigenetics features and the 3D structure of the parasite nucleus and can these key proteins be targeted for development of antimalarial drug therapies?
5. What is the role of epigenetic control in gene regulation in other *Plasmodium* species?

Figure 1

Figure 1:

Figure 2

Figure 2:

Figure 3

Figure 3: