Title: Helium Bubble Evolution in Ion Irradiated Al/B₄C Metal Matrix Composite

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Keywords: Interface defects; Ceramics; Metal matrix composites; Transmission electron microscopy; Helium bubble.

Scheme of combined irradiation includes a pre-implantation of 400 keV He⁺ to 1.0×10^{16} ions/cm² followed by a 1.5 MeV proton irradiation to 2.2×10^{19} ions/cm² on the perpendicular surface. TEM samples were prepared by FIB. The area suffered with both He⁺ pre-implantation and proton irradiation was marked as light blue.

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Al/B₄C metal matrix composite (MMC) is an important neutron absorbing material used in both wet storage pools and dry storage casks of spent nuclear fuel for preventing criticality. Al/B₄C MMC can effectively absorb fast and thermal neutrons, because of the high neutron absorption cross-section of ¹⁰B through the ¹⁰B(n, α)⁷Li transmutation reaction for a wide energy range of neutrons.

The damage produced by elastic collisions between neutrons and other energetic particles generated by transmutation reactions with the target atoms along with the build-up of helium concentration in the MMC may lead to the precipitation of helium bubbles. The gamma and neutron doses received in the MMC depend on a number of factors including fuel burnup, storage time, and the self-shielding of the fuel assemblies. In spent fuel pool storage, the neutron flux can be as high as 2.6×10^5 neutron/cm²s and the gamma dose rate is in the range of 0.1 ~ 10 kGy/h [1, 2], corresponding to several thousand appm of transmuted helium build up and 1~2 dpa (displacement per atom) of damage during the estimated storage period of 60 years in spent fuel pool storage and

about 200 years in the dry storage casks.

As a control rod material, the defect structure in neutron irradiated B₄C was investigated by Ashbee [3, 4], Jostsons [5, 6], Hollenberg [7] and Donomae [8] et al. He⁺ implantation of B₄C has been performed by Stoto [9] and Maruyama [10]. Irradiation effects and helium bubble formation in aluminum after high energy proton irradiation were reported by Singh and Victoria [11, 12]. The precipitation of helium causes not only changes in microstructure but degradation in the physical, chemical and mechanical properties of Al/B₄C MMC, such as, reduced thermal conductivity, decreased corrosion resistance and volume swelling. The property change in Al/B₄C MMC affects the long-term performance of the MMC in its working environment. In fact, irradiation induced microcracking in B₄C that leads to increased boron release was reported by Copeland [13] and Stoto [14].

This paper focuses on helium bubble formation upon helium implantation and bubble evolution during additional proton irradiation in the Al/B₄C MMC. Ion irradiations were conducted to simulate the effects of radiation and helium build-up in Al/B₄C MMC as neutron absorbers in wet storage pool and dry storage casks. Angular shape B₄C particles with an average particle size of 10 µm were used in the Al/B₄C MMC.

Two different sets of ion irradiation experiments were carried out to simulate the effects of helium accumulation and irradiation damage in the MMC used in both wet and dry spent nuclear fuel storage facilities. Parameters of the two-irradiation conditions, *Irradiation A* and *Irradiation B*, are listed in Table 1.

Irradiation A is a sole He⁺ irradiation where a bulk MMC sample was implanted with 400 keV He⁺ to 1.5×10^{17} ions/cm² at room temperature (RT). The SRIM (the Stopping and Range of Ions in Matter) code has been used to calculate both helium concentration and damage production after the irradiation. Figure 1a shows the SRIM results overlapped on a typical TEM micrograph of MMC specimens after *Irradiation A*.

Irradiation B includes a pre-implantation of 400 keV He⁺ to 1.0×10^{16} ions/cm² followed by a 1.5 MeV proton irradiation to 2.2×10^{19} ions/cm² in the perpendicular direction to the helium beam, as shown in Figure 1b. The area suffered with both He⁺ pre-implantation and proton irradiation was marked in light blue in Figure 1b. Although all irradiations were conducted at RT, temperature of the sample surface reached $110 \pm$

10 °C due to beam heating during proton irradiation, as indicated by an infrared thermometer. Both helium implantation and proton irradiation were carried out at the Michigan Ion Beam Laboratory.

According to the SRIM simulation results, helium concentration and displacement damage reached as high as 10 at.% and 3~5 dpa, respectively, after *Irradiation A*, much higher than what would be achieved in the spent nuclear fuel storage facilities. In *Irradiation B*, the helium concentration is about 0.7 at.% at the peak helium depth, which is close to the real accumulated helium concentration in the spent fuel storage facilities. Figure 1c is the SRIM results for 1.5MeV proton irradiation. A depth range with about 1 dpa damage due to proton irradiation but little hydrogen introduction was selected for TEM analysis. Radiation damage generated by the He⁺ implantation in the same region of the sample is less than 0.3 dpa. So the total radiation damage of selected area is 1~1.3 dpa, which matches the real operation condition of the material (1~2 dpa).

In order to investigate the depth distribution of helium bubbles and radiation-induced defects, cross-sectional TEM samples were prepared using the Focus Ion Beam (FIB) lift-out techniques. Figure 1b shows the scheme of *Irradiation B*. A TEM sample was lifted-out from the He⁺ irradiated surface with the sample plane parallel to the helium ion direction and including an overlap of He⁺ implantation and proton irradiation. TEM analysis was conducted with a JEOL JEM3011 microscope at the Electron Microbeam Analysis Laboratory, University of Michigan.

TEM results of helium bubble evolution with different helium concentrations in the aluminum matrix were shown in Figure 2. Figure 2a-c were taken from the sample after *Irradiation B*, and the Figure 2d was taken from the sample after *Irradiation A*. Without implanted helium, only a few voids (vacancy clusters) were observed (Figure 2a). In the region with helium concentration from several hundred to several thousand appm, both density and size of helium bubbles increased in the Al grain with helium concentration (Figure 2b and 2c). Larger bubbles along the Al grain boundaries were observed while the helium concentration reached several thousand appm (Figure 2c). On average, bubbles on the aluminum grain boundaries are ~5 nm in diameter while those in the aluminum matrix are only ~3 nm in diameter. After *Irradiation A*, helium concentration is about 10 at.% (10⁵ appm) at the peak depth range, and helium bubbles there grew to

much larger sizes, especially on the grain and phase boundaries (10 to 25nm in diameters), as shown in Figure 2d.

In the spent nuclear fuel storage pool, the MMC is surrounded by water. At the end of spent fuel pool storage, the fuel assembly would be transferred to the dry storage cask. Small amount of residual water may stay in the cask. For long time storage, the helium builds up by $^{10}\text{B} (n, \alpha) ^7\text{Li}$ reaction, and helium bubble growth and coalescence will accelerate oxygen diffusion through grain/phase boundaries. With the increasing helium concentration, the large helium bubbles along the grain and phase boundaries tend to coalesce to a channel, leading the inner B_4C particles to interact with water during spent fuel storage. The results of accelerated corrosion tests on ion irradiated samples indicate that the inner boron carbide particles were lost through open channels along the grain boundaries and phase boundaries [15].

A denuded zone of helium bubbles along the aluminum grain boundaries is visible after *irradiation B* while it was not observed in *irradiation A*. The bubble denuded zone is apparently dependent on the helium concentration and irradiation temperature. After the proton irradiation, the values of bubble density and diameter in aluminum matrix follow the general trends of bubble evolution according to existing theories. Atomic displacements and the resulting vacancies, self-interstitial atoms (SIAs) and clusters of these defects play important role on helium diffusion. During proton irradiation, temperature of the sample surface reached 110 °C due to the ion beam heating. This temperature is about $0.2T_m$ for aluminum. At this temperature, the following mechanisms should be considered, “displacement mechanism” where helium diffusion is due to direct displacements and “replacement mechanism” where a helium atom diffuses interstitially between its replacement from vacancy by a self interstitial atom and its re-trapping by another vacancy [16]. At high helium concentration, helium resolved from small bubbles by displacement cascade may result in secondary nucleation of bubbles. The process of secondary nucleation enhances helium diffusion, leading to bubble segregation to certain pre-existing defects. A grain boundary can attract helium atoms from a relatively large volume of material, so the bubble denuded zone along the boundaries was visible after *irradiation B*. Dauben presented a similar result on irradiated Fe-Cr alloy with pre-implanted helium [17].

The transformation of helium bubbles in B₄C is an anomaly. After **Irradiation A**, a band of a high density of small helium bubbles centered at the peak helium concentration depth was observed in B₄C (Figure 3a). These small helium bubbles, about 1 nm in diameter on average, were homogeneously distributed throughout the band in B₄C. In addition to the contrasts of helium bubbles, a number of black dots were observed at the damage peak range in B₄C. These black dots are thought to be the contrasts produced by radiation induced dislocation loops.

After **Irradiation B**, helium bubbles arranged in rows, about 5nm in diameter, were observed in the B₄C. These bubble strings were arranged in several directions (Figure 3d and 3e), presumably on pre-existing defect. Previous works have shown that lined up or orientated helium bubbles can form during high temperature neutron irradiation or after high temperature annealing [6, 7, 8]. Helium bubble strings were seen when B₄C was irradiated by 16 MeV He⁺ at a much higher temperature (1720°C) by Stoto [9]. Compared to the high melting point of B₄C ($T_m = 3036$ K), our experiments were conducted at a relatively low temperature. At low temperatures ($<0.2T_m$), the “displacement or cascade mixing mechanism” where helium diffuses is due to direct displacements shall dominate [16]. The nuclei collision induced damage by proton irradiation alone is 1 dpa. Although the damage peak in B₄C is ~3 dpa (Figure 1a) after the He⁺ irradiation in **Irradiation A**, the size of helium bubbles in B₄C was only about 1 nm in diameter. The SRIM calculations show that the energy deposition due to ionization of **Irradiation B** is two orders of magnitude higher than that of **Irradiation A**. Inui reported boron carbide and many other ceramics can be affected by electron irradiation [18, 19, 20]. The covalent and ionic bonds in B₄C, may be susceptible to ionization induced radiation damage. Since ionization may induce substantial displacements and enhance helium diffusion in B₄C, its effect cannot be neglected.

The pre-existing defects may act as strong traps for the mobile helium atoms. The helium bubble strings are promoted by ionization and nuclei displacement damages that cause the helium atoms to move to pre-existing defects. Clusters of helium bubbles were found to be distributed heterogeneously within the B₄C grains. Coalescence of helium bubbles into strings may lead to the formation of short microcracks [9].

The sizes of helium bubbles are significantly different between aluminum and B₄C

after **Irradiation A**. The state of helium contained in a bubble is defined by **the gas** density state and **its** corresponding pressure. For possible values of the pressure inside a bubble, two distinctly different limiting cases were presented [16]:

(1) The **limit of** mechanical stability of the matrix yields spontaneous plastic deformation. Calculations have shown that corresponding upper bound limit of the pressure may be reasonably represented by [21]

$$p \leq 0.2\mu \quad (1)$$

where μ is the shear modulus of the matrix.

At high helium to dpa ratio, the number of available helium atoms dominates the bubble evolution since most of the concurrently produced **self-interstitial atoms (SIAs)** and vacancies are annihilated at existing bubbles [16], it is likely that the pressure is close to the limit given by Eq. (1). For B₄C and **aluminum**, where $\mu \approx 200$ GPa and 26 GPa, the mechanical **limits are** as high as 40 GPa and **as low as** 5 GPa, respectively. That alone can explain the difficulty for the bubble growth in B₄C than in **aluminum**.

(2) The condition of thermodynamic equilibrium yields

$$p = 2\gamma/R \quad (2)$$

where γ is the surface energy and R is average bubble radius.

The pressure p can be described by the ideal gas equation for spherical bubbles:

$$\frac{4}{3}\pi R^3 p = nkT \quad (3)$$

where n is the number of helium atoms contained in a bubble, k is Boltzmann constant, T is thermodynamic temperature. Equations (2) and (3) lead to the number of helium atoms contained in a bubble of radius R :

$$n = \frac{8}{3} \frac{\gamma}{kT} \pi R^2 \quad (4)$$

The number of atomic sites, N , contained in the bubble is

$$N = \frac{4}{3}\pi R^3 \rho_{at} \quad (5) [9]$$

where ρ_{at} is the atomic density. The ratio of n/N can be represented as:

$$\frac{n}{N} = \frac{2\gamma}{kT \rho_{at} R} \quad (6)$$

At the **peak** helium **range**, helium concentrations in B₄C and **aluminum** matrix are similar. Based on Eq. (6), the size of helium bubbles in **aluminum** should be larger than

those in B₄C with the same n/N value under the thermodynamic equilibrium condition. The difference in the bubble size between the two materials can be viewed as the result of the ideal gas law. As observed in our experiment, the average size of helium bubbles in aluminum and B₄C are 5nm and 1nm in diameter, respectively.

The small nano-scale bubbles could withstand very high helium concentrations and bubble inner pressures. For instance, in B₄C where $\gamma \approx 1.0 \times 10^3$ ergs/cm² [9], the equilibrium pressure reaches values of ~ 4 GPa, according to Eq. (2) when $R = 0.5$ nm. Compared with the {111} planes, where $\gamma < 1.0 \times 10^3$ ergs/cm² [22, 23, 24], the equilibrium pressure is less than 1 GPa when $R=2.5$ nm. Considering the higher bonding energy of covalent bond and ionic bond in B₄C that corresponds to the high mechanical limit (~ 40 GPa), the smaller size bubbles in B₄C can withstand higher bubble pressure than in aluminum.

After *irradiation A*, at the shallower side of the helium peak region, faceted bubbles along a grain boundary were observed (Figure 4a). The through-focus sequences of HRTEM images in Figures 4b and 4c show contrast between the aluminum matrix and a faceted bubble in the lower aluminum grain of Figure 4a. The hexagon bubbles are seen in $\langle 110 \rangle$ projection with {111} ($d=2.367$ Å) and {100} ($d=2.027$ Å) planes of aluminum normal to the plane of the image, based on the analyses of HRTEM images and SAED pattern (Figure 4d). In order to minimize the overall free energy, the bubbles need to preferentially rearrange its surface via surface diffusion during their growth, and the facets with lowest surface energy planes will occupy most of the surface [25, 26]. In the FCC structure, the lowest surface energy is found on the most closely packed {111} planes. Nelson has determined the surface energy sequence for aluminum is $E(111) < E(100) < E(110)$ [27]. In our case, {100} planes occupy two corners of the octahedral composed of {111} planes. The total surface area of a faceted bubble with the {111} surfaces is decreasing when the bubble is truncated by the {100} planes. A truncated octahedron or rounded corners are more energetically favorable. Since it is embedded in the substrate and consists of {111} planes, the octahedron has the same symmetry as that in the substrate. The faceted cavities with fluid/solid Xe were reported by Donnelly [28]. Cavities are generally observed to be tetra-decahedral in shape, that is a {111} octahedral with six {100} truncation [29]. As seen in Figure 4a, the faceted bubbles at grain

boundary are not perfect. The imperfect octahedral bubbles will be formed under the influence of defects because the diffusivities are higher along dislocation lines or grain boundaries [25].

Helium bubbles formed in **aluminum** matrix at a wide range of helium concentrations. The density and size of helium bubbles increased with increasing helium concentration. The bubbles formed on grain boundaries and phase boundaries are, on average, larger than those in the **aluminum** matrix. Helium bubbles in boron carbide were only observed at the helium peak range, and are much smaller in size. The intrinsic properties, such as strong covalent and ionic bonds and high shear modulus, cause the high pressure tolerance of helium bubbles in boron carbide that inhibits the bubble growth. Mechanisms of helium diffusion and bubble nucleation explain the bubble evolution under the 1.5MeV proton irradiation. **A bubble** denuded zone along the grain boundary was visible due to “displacement mechanism” and “replacement mechanism”, leading to helium atoms diffusion. The grain boundary re-trapped helium atoms around it. In boron carbide, helium bubbles grew to ~5 nm in diameter and lined-up along pre-existing defects **after Irradiation B**. These processes were controlled by the cascade induced helium dissolution, secondary bubble nucleation, and “displacement mechanism” of helium diffusion. Both nuclei collision and ionization induced secondary displacement promote the helium atom diffusion thus bubble nucleation and growth. Faceted bubbles were observed at grain boundaries and the nearby area in aluminum. These faceted bubbles consist of the {111} and {100} planes as their surfaces, which is the most energetically favorable configuration.

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Table 1. Two sets of ion irradiations

	Ions	Fluence, ions/cm ²	Irradiation direction
<i>Irraidation A</i>	400 keV He ⁺	1.5 × 10 ¹⁷	→
<i>Irraidation B</i>	400 keV He ⁺	1.0 × 10 ¹⁶	→
	1.5 MeV H ⁺	2.2 × 10 ¹⁹	↓

Figure 1. (a) The damage distribution and He concentration of 400 keV He⁺ irradiation (with fluence to 1.5×10^{17} ions/cm²) predicted by SRIM and overlapped with an TEM micrograph, **Irradiation A**. (b) Scheme of **Irradiation B** and TEM sample preparation by FIB. The area suffered with both He⁺ pre-implantation and proton irradiation was marked as light blue. (c) SRIM results of the damage distribution and H concentration irradiated by 1.5 MeV proton to 2.2×10^{19} ions/cm².

Figure 2. Helium bubbles in Al matrix with increasing helium concentrations, (a) 0 appm, (b) 10^2 appm, (c) 10^3 appm, (d) 10^4 appm. (a) ~ (c) were taken from the sample after ***Irradiation B***, and (d) was taken after ***Irradiation A***. Bubble denuded zone with width of ~10nm is visible and outlined in black in (c). The scale bar in (d) is different from (a-c).

Figure 3. (a) Under focus bright-field TEM micrograph showing the peak helium range of B₄C after ***Irradiation A***, (b) Over- and (c) under-focused TEM images at higher magnification. (d) and (e) Helium bubbles lined-up in B₄C, after ***Irradiation B***.

Figure 4. (a) TEM images showing preferential orientations for faceted bubbles grown in Al after **Irradiation A**. Through-focus HRTEM images (b and c) show contrast of a faceted bubble in the nether Al grain of (a). (d) is SAED pattern of the nether Al grain of (a).