The anisotropy of hexagonal closed-pack and liquid interface free energy using molecular dynamics simulations based on modified embedded-atom method

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Abstract

This work aims to comprehensively study the anisotropy of the hexagonal close-packed (HCP)-liquid interface free energy using molecular dynamics (MD) simulations based on the modified-embedded atom method (MEAM). As a case study, all the simulations are performed for Magnesium (Mg). The solid-liquid coexisting approach is used to accurately calculate the melting point and melting properties. Then, the capillary fluctuation method (CFM) is used to determine the HCP-liquid interface free energy (γ) and anisotropy parameters. In CFM, a continuous order parameter is employed to accurately locate the HCP-liquid interface location, and the HCP symmetry-adapted spherical harmonics are used to expand γ in terms of its anisotropy parameters (ε_{20} , ε_{40} , ε_{60} and ε_{66}). Eight slip and twinning planes (basal, two prismatic, two pyramidal, and three twinning planes) are considered as the HCP-liquid interface planes. An average HCP-liquid interface free energy of 122.2 (mJ/m²), non-zero ε_{20} , ε_{40} , and ε_{66} parameters, and approximately zero ε_{60} parameter for Mg are predicted. Using these findings, the first preferred dendrite growth direction in solidification of Mg is predicted as $[11\bar{2}0]$, which is in agreement with experiments. Also, a second preferred dendrite growth direction for Mg is predicted as $[33\bar{6}2]$.

Keywords: Interface free energy; Spherical harmonics; MEAM; Hexagonal close-packed; Magnesium.

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1. Introduction

Over the years, different computational models at different length and time scales have been used to study the solid-liquid coexistence of metals to obtain better understanding of this phenomenon as well as the formation and evolution of the subsequent microstructures and defect patterns[1]. Among these models, molecular dynamics (MD) is of a special interest because of its reasonable computational cost and its capability in accurate calculations of the solid-liquid interface properties[2]. The accurate calculation of the solid-liquid properties, such as interface free energy(γ) and its anisotropy, is of crucial importance, because these properties are the key factors influencing the solid phase selection and crystal nucleation from the melt. Since this article aims to study the HCP-liquid coexistence, we will mostly focus our discussion on this class of materials and MD simulations only.

The first work that used MD simulations to study the solid-liquid interface properties is the pioneering work of Broughton and Gilmer[3]. They used Lennard-Jones FCC-liquid systems at the triple point for their MD simulations and calculated γ to be the aggregated work required to cleave and combine solid and liquid systems. Their technique later refined by Davidchack and Laird [4, 5] and it is called the cleaving technique. However, the major MD effort dealing with the HCP-liquid coexistence simulations has been based on the capillary fluctuation method (CFM)[6]. CFM is based on equilibrating a coexisting solid-liquid structure at the exact melting point of the material, determining the location of the solid-liquid interface, and finding the Fourier modes of the solid-liquid interface and using them to determine the solid-liquid interface stiffness ($\gamma + \gamma''$)[2, 7-9]. Then, the anisotropy of the system is explained by expanding γ in terms of spherical harmonics for the considered crystal symmetry. Sun et al. [6] extended CFM for HCP metals by considering only a basal and two prismatic planes as the HCP-liquid interface planes in their simulations to describe the anisotropy of γ . They used Mg as their case study and embedded-atom method (EAM) [10] as the interatomic potential in their MD simulations; EAM is a semi-empirical many-body potential for the atomistic simulations of metallic systems. Until now and to the knowledge of the authors, the work of Sun and coworkers is the only MD work to determine γ and its anisotropy for HCP metals. The other works related to the MD simulations of HCP-liquid coexisting systems include the study of the melting[11-13], interface properties [14] and kinetic mobility[15, 16].

The first objective of this article is to provide another perspective to the MD calculations of γ and its anisotropy for HCP metals by using a different class of interatomic potentials, MEAM potentials [17], in MD simulations. MEAM adds the directionality of bonding in covalent materials to the EAM formalism. Today, the MEAM potential is widely used in the computational materials science and engineering community to simulate unary, binary, ternary, and multi-component metallic systems [18]. Since only EAM-MD simulations have been used to determine the HCP-liquid interface properties, using MEAM-MD simulations in this study provides another perspective and more confidence in using MD simulations for this purpose. In fact, we recently used MEAM to study the solid-liquid coexistence of body-centered cubic (BCC) and face-centered cubic (FCC) metals [19, 20], and we showed that the calculated melting properties, solid-liquid interface free energy, and surface anisotropy are in a very good agreement with the experimental data. Another objective of this work is to more comprehensively study the HCP-liquid coexistence by including all of the deformation planes in HCP crystals as the HCP-liquid interface planes (eight different slip and twinning planes); this was missing in the previous studies.

In this work, we use one set of MEAM parameters for Mg in all of the MD simulations [21]. These MEAM parameters were tested before showing reasonable predictions of a variety of low and high temperature properties such as elastic constants, structural energy differences, vacancy formation energy, stacking fault energies, surface energies, melting point, specific heat, and thermal expansion coefficient. First, the solid-liquid coexistence approach is used to accurately calculate the melting point which is crucial for the rest of the simulations. Second, the relations for γ and $\gamma + \gamma''$ in the concept of CFM for twelve slabs with different crystallographic orientations are derived by expanding the anisotropy of γ in terms of HCP symmetry-adapted spherical harmonics. Third, a continuous order parameter is used to locate the interface location in MD simulations of HCP-liquid coexisting slabs, and the interface stiffness is calculated for each case. Fourth, statistical average HCP-liquid interface free energy and anisotropy parameters for Mg are calculated using the results of MD simulations and HCP symmetry-adapted spherical harmonics. And finally, a discussion on the preferred dendrite growth direction and rate during the solidification of Mg are provided as the results of the predictions made in this study.

2. Melting properties

2.1. Interatomic potential

The second nearest-neighbor (2NN) formalism of MEAM [22, 23] is used as the interatomic potential for all the MD simulations in this study. In the MEAM formalism, the total energy of a single-element system is given by

$$E_{tot} = \sum_i \left[F_i(\bar{\rho}_i) + \frac{1}{2} \sum_{j(\neq i)} S_{ij} \phi_{ij}(R_{ij}) \right] \quad (1)$$

where F_i is the “embedding energy” function (energy required to embed an atom in the background electron density $\bar{\rho}_i$ at site i), S_{ij} is the screening factor between atoms at sites i and j , and ϕ_{ij} is the pair interaction between atoms at sites i and j with a separation distance of R_{ij} . The details of the MEAM formalism have been presented in other publications devoted to the development of the potential [24]. MEAM for a single element system has fourteen parameters in its formalism which need to be determined for the selected material. We use the 2NN-MEAM parameters for Mg which were presented previously by Kim et al. [21]; they showed that using these parameters results in reasonable calculations of the low and high temperature properties of Mg, which are comparable to the experimental and *ab initio* MD data. The properties considered for the development of these MEAM parameters for Mg were: cohesive energy, lattice parameters, elastic constants, structural energy differences, vacancy formation and migration energies, divacancy formation energy, self-interstitial energy, stacking fault energies, surface energies, specific heat, thermal expansion, melting point, latent heat, and expansion in melting. Since the focus of this study is to use MEAM for the two-phase solid-liquid coexistence simulations, it is critical to accurately determine the melting point for the potential used in the MD simulations. Therefore, we use the solid-liquid coexisting approach to accurately recalculate the melting properties. Hence, we expect a small difference in our calculations of the melting point, latent heat, and expansion in melting compared with those calculated by Kim et al. [21].

In our simulations, the large-scale atomic/molecular massively parallel simulator (LAMMPS) MD code [25] is used. Periodic boundary conditions and a time step size of 0.002 ps are used for all the MD simulations.

2.2. Melting properties

Eight different planes (Fig. 1) are chosen as the HCP-liquid interface in this work. The labeling shown in Fig. 1 will be used throughout this work to refer to different crystallographic configurations. The configurations shown in Fig. 1 have been identified as the active deformation planes for Mg (slip and twinning systems)[26]. To the date, only Basal, Prismatic I and II planes (Fig. 1a-c, respectively) have been used to study the HCP-liquid interface properties[6]. The method for accurately calculating the melting point of BCC and FCC metals using MD simulations has been extensively explained in the literature (e.g., Ref.[27]). The method is typically based on constructing an equilibrating two-phase solid-liquid simulation box; however, the procedure needs slight modifications for HCP metals. First, a simulation box consisting of $m \times n \times l$ periodic solid cells is equilibrated at an estimated melting point of the material using previously-calculated lattice parameters (both a and c/a). Canonical ensemble (NVT) with a Nöse-Hoover thermostat[28, 29] is used for this step, and l direction is normal to the HCP-liquid interface and its length is roughly ten times longer than the other two dimensions. The cell sizes m and n are chosen to form a nearly square area for the HCP-liquid interface. Then, the central half of the simulation box is melted at a high temperature by running a dynamics simulation using NVT ensemble, while holding the other half fixed. The melted half of the simulation box is equilibrated at the estimated melting point using an isothermal-isobaric (NPT) ensemble for 0.5 ns, while the box size at the normal direction is allowed to relax only for the melted half. To minimize the pressure in all directions, the entire simulation box is then allowed to relax in the normal direction for 1 ns using an NPT ensemble at the estimated melting point. Finally, the refined value of the melting point is calculated using an isenthalpic ensemble (NPH) simulation lasting for a considerable amount of time (~ 10 ns), while the size of the box in the normal direction is allowed to relax (to minimize the normal pressure). The whole process is repeated using the calculated refined temperature until convergence is achieved. The calculated melting points utilizing the explained method are presented in Table 1. For the case that the interface plane is the Basal plane, the simulations are repeated for two different box sizes. The calculated melting points are 937.25 K for the simulation box with 3880 atoms and 937.44 for the simulation box with 92160 atoms. The difference between calculations is $\sim 0.02\%$, which is negligible in the context of MD simulations; thus, the melting point calculations are size independent. We have repeated a similar study to calculate the melting point for other orientations, listed in Table (1), to ensure the size-independency of the reported results. The

simulation box for all the eight cases with different interface planes consists of about 100,000 atoms. The required number of atoms in the simulation box for size-independency of the calculated results is also consistent with those used in similar studies [20, 30]. Table 1 shows that the variation of the calculated melting point with respect to the interface plane is negligible; thus, the melting point calculations are also orientation independent. The melting point of Mg is determined to be the average of the calculated melting points reported in Table 1, which is 937.9 K.

Table 1. Calculated melting point for different choices of the HCP-liquid interface plane.

Interface Plane	Box size (nm)	Number of Atoms	T_M (K)
Basal	$3.96 \times 5.14 \times 48.10$	38880	937.25
Basal	$5.28 \times 6.85 \times 64.13$	92160	937.44
Prismatic I	$5.28 \times 6.41 \times 68.53$	92160	938.07
Prismatic II	$6.85 \times 6.41 \times 52.76$	92160	938.12
Pyramidal I	$5.28 \times 7.27 \times 67.99$	103680	937.90
Pyramidal II	$6.85 \times 6.91 \times 56.95$	107184	937.46
Twinning I	$5.28 \times 6.26 \times 76.06$	99840	937.80
Twinning II	$6.85 \times 6.71 \times 65.21$	119232	938.51
Twinning III	$5.28 \times 8.08 \times 67.97$	115200	938.08

The melting point (T_M), latent heat (L), liquid density at the melting point (ρ_l), and expansion in melting ($\Delta V_{melting}$) for Mg calculated by MEAM and two EAM potentials (labeled as LEAM and EAM), along with experimental counterparts are listed in Table 2. MEAM and EAM both calculate the melting point, latent heat, and expansion in melting in agreement with the experiments. However, EAM prediction of the liquid density contains 151.3% error, while MEAM also predicts the liquid density in good agreement with experiment (5.1% error). It is worth mentioning that, MEAM-MD simulations calculate L , ρ_l , and $\Delta V_{melting}$ at the experimental melting-point (923.2 K) to be 10.1 kJ/mol, 0.037 atom/Å³, and 1.80 Å³/atom, respectively.

Table 2. Melting-point properties of Mg calculated by MEAM-MD compared with the results of other MD methods and experiments. The values in the parentheses are the errors comparing with the experimental data.

Method	T_M (K)	L (kJ/mol)	ρ_l (atom/Å ³)	ΔV (Å ³ /atom)
MEAM;present	937.9(1.6%)	10.2(13.3%)	0.037(5.1%)	1.84(64.3%)
LEAM; [6]	745(19.3%)	7.0(22.2%)	0.073(87.2%)	1.23(9.8%)
EAM; [6]	914(1.0%)	9.5(5.6%)	0.098(151.3%)	0.65(42.0%)
Exp.; [26]	923.2	8.8-9.2	0.039	1.12

3. HCP-liquid interface free energy

We utilize CFM [2] to determine γ and its anisotropy for Mg. The first step in using CFM is to construct two-phase solid-liquid coexisting slabs which is schematically shown in Fig. 2. The local normal to the solid-liquid interface ($\hat{n}$) forms an angle, α , with its average orientation. CFM is based on the calculations of the interface stiffness ($\gamma + \gamma''$) by two methods, where γ'' is the second derivative of γ with respect to α . The first method uses the symmetry-adapted spherical harmonics to expand γ in terms of its anisotropy parameters and determine the relations for the interface stiffness. The second method calculates the interface stiffness using MD simulations. Eventually, γ and its anisotropy parameters are calculated by comparing the interface stiffness determined from the explained methods. CFM results in an accurate determination of the anisotropy of γ , because interface stiffness is typically an order of magnitude more anisotropic than the interface free energy.

3.1. Spherical harmonics

An arbitrary square integrable function on unit sphere can be expanded in terms of spherical harmonics as [31]

$$f(\theta, \phi) = \sum_{i=0}^{\infty} \sum_{j=-i}^i f_{ij} y_{ij}(\theta, \phi), \quad (2)$$

where f_{ij} are the expansion coefficients, $y_{ij}(\theta, \phi)$ are the spherical harmonic functions of order i and j , $\theta \in [0, \pi]$ is the colatitudinal coordinate, and $\phi \in [0, 2\pi]$ is the longitudinal coordinate. The crystal symmetry implies that f_{ij} coefficients are non-zero for only a selection of i and j . HCP crystal belongs to the 6/mmm (D_{6h}) point group symmetry; thus, $i = 6m$ and $j = 2n$, where m and n are integers [32]. Consequently, the HCP-liquid interface free energy can be expanded by considering the HCP crystal symmetry and the double-series expansion in Eq. (2) as

$$\gamma(\theta, \phi) = \gamma_0 [1 + \varepsilon_{20} y_{20}(\theta, \phi) + \varepsilon_{40} y_{40}(\theta, \phi) + \varepsilon_{60} y_{60}(\theta, \phi) + \varepsilon_{66} y_{66}(\theta, \phi)], \quad (3)$$

where the expansion includes spherical harmonic functions up to $i = 6$ which has been shown by Sun et al. [6] to be sufficient to describe the anisotropy of the HCP-liquid interface free energy. In Eq. (3), ε_{20} , ε_{40} , ε_{60} , and ε_{66} are anisotropy parameters, γ_0 is the average interface free energy, and

$$\begin{aligned} y_{20} &= \frac{1}{4} \sqrt{\frac{5}{\pi}} [3 \cos(\theta)^2 - 1], \\ y_{40} &= \frac{3}{16} \sqrt{\frac{1}{\pi}} [35 \cos(\theta)^4 - 30 \cos(\theta)^2 + 3], \\ y_{60} &= \frac{1}{32} \sqrt{\frac{13}{\pi}} [231 \cos(\theta)^6 - 315 \cos(\theta)^4 + 105 \cos(\theta)^2 - 5], \\ y_{66} &= \frac{1}{64} \sqrt{\frac{6006}{\pi}} \sin(\theta)^6 \cos(6\phi). \end{aligned} \quad (4)$$

The four HCP symmetry-adapted spherical harmonics (y_{20} , y_{40} , y_{60} , and y_{66}) are plotted in Fig. 3. We use twelve different slabs for the rest of the study, where the slabs orientations are listed and labeled in Table 3. For instance, (0001)[11 $\bar{2}$ 0] orientation means that the interface plane is (0001) and the width of the slab is parallel to the [11 $\bar{2}$ 0] direction. The third and fourth columns of Table 3 show the relations for free energy and stiffness of HCP-liquid interfaces for each orientation in terms of the anisotropy parameters. It is worthy to note that the angles θ and ϕ for each orientation are measured such that the normal to the HCP-liquid interface coincides with the c axis and the basal plane becomes the HCP-liquid interface. Sun et al. [6] presented the relations for the interface stiffness of the first four orientations (Basal, Prismatic I-a and b, Prismatic II-a and b) listed in Table 3, which are in agreement with our derivations.

Table 3. Relations for free energy (γ) and stiffness ($\gamma + \gamma''$) of HCP-liquid interfaces obtained from the expansion of γ by HCP symmetry-adopted spherical harmonics.

Interface	Orientation	$(\gamma - \gamma_0) / \gamma_0$	$(\gamma + \gamma'' - \gamma_0) / \gamma_0$
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Basal	(0001)[11 $\bar{2}$ 0]	$\frac{1}{2}\bar{\varepsilon}_{20}^* + \frac{3}{2}\bar{\varepsilon}_{40}^* + \frac{1}{2}\bar{\varepsilon}_{60}^*$	$-\bar{\varepsilon}_{20} - \frac{27}{2}\bar{\varepsilon}_{40} - 10\bar{\varepsilon}_{60}$
Prismatic I-a	(1 $\bar{1}$ 00)[11 $\bar{2}$ 0]	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} + \frac{1}{64}\bar{\varepsilon}_{66}^*$	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} - \frac{35}{64}\bar{\varepsilon}_{66}$
Prismatic I-b	(1 $\bar{1}$ 00)[0001]	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} - \frac{1}{64}\bar{\varepsilon}_{66}$	$\frac{5}{4}\bar{\varepsilon}_{20} - \frac{171}{16}\bar{\varepsilon}_{40} + \frac{205}{32}\bar{\varepsilon}_{60} + \frac{5}{64}\bar{\varepsilon}_{66}$
Prismatic II-a	(11 $\bar{2}$ 0)[$\bar{1}$ 100]	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} - \frac{1}{64}\bar{\varepsilon}_{66}$	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} + \frac{35}{64}\bar{\varepsilon}_{66}$
Prismatic II-b	(11 $\bar{2}$ 0)[0001]	$-\frac{1}{4}\bar{\varepsilon}_{20} + \frac{9}{16}\bar{\varepsilon}_{40} - \frac{5}{32}\bar{\varepsilon}_{60} + \frac{1}{64}\bar{\varepsilon}_{66}$	$\frac{5}{4}\bar{\varepsilon}_{20} - \frac{171}{16}\bar{\varepsilon}_{40} + \frac{205}{32}\bar{\varepsilon}_{60} - \frac{5}{64}\bar{\varepsilon}_{66}$
Pyramidal I-a	(1 $\bar{1}$ 01)[11 $\bar{2}$ 0]	$-0.084\bar{\varepsilon}_{20} - 0.363\bar{\varepsilon}_{40} + 0.166\bar{\varepsilon}_{60} - 0.007\bar{\varepsilon}_{66}$	$-0.084\bar{\varepsilon}_{20} - 0.363\bar{\varepsilon}_{40} + 0.166\bar{\varepsilon}_{60} - 0.258\bar{\varepsilon}_{66}$
Pyramidal I-b	(1 $\bar{1}$ 01)[$\bar{1}$ 102]	$-0.084\bar{\varepsilon}_{20} - 0.363\bar{\varepsilon}_{40} + 0.166\bar{\varepsilon}_{60} + 0.007\bar{\varepsilon}_{66}$	$0.750\bar{\varepsilon}_{20} + 5.693\bar{\varepsilon}_{40} - 6.817\bar{\varepsilon}_{60} + 0.026\bar{\varepsilon}_{66}$
Pyramidal II-a	(11 $\bar{2}$ 2)[$\bar{1}$ 100]	$-0.043\bar{\varepsilon}_{20} - 0.490\bar{\varepsilon}_{40} + 0.152\bar{\varepsilon}_{60} - 0.006\bar{\varepsilon}_{66}$	$-0.043\bar{\varepsilon}_{20} - 0.490\bar{\varepsilon}_{40} + 0.152\bar{\varepsilon}_{60} + 0.208\bar{\varepsilon}_{66}$
Pyramidal II-b	(11 $\bar{2}$ 2)[$\bar{1}$ 121]	$-0.043\bar{\varepsilon}_{20} - 0.490\bar{\varepsilon}_{40} + 0.152\bar{\varepsilon}_{60} - 0.002\bar{\varepsilon}_{66}$	$0.630\bar{\varepsilon}_{20} + 8.193\bar{\varepsilon}_{40} - 6.485\bar{\varepsilon}_{60} + 0.036\bar{\varepsilon}_{66}$
Twinning I	(1 $\bar{1}$ 02)[$\bar{1}$ 101]	$0.150\bar{\varepsilon}_{20} - 0.571\bar{\varepsilon}_{40} - 0.111\bar{\varepsilon}_{60} - 0.002\bar{\varepsilon}_{66}$	$0.051\bar{\varepsilon}_{20} + 12.315\bar{\varepsilon}_{40} + 3.417\bar{\varepsilon}_{60} - 0.044\bar{\varepsilon}_{66}$
Twinning II	(11 $\bar{2}$ 0)[$\bar{1}$ 122]	$-0.185\bar{\varepsilon}_{20} + 0.123\bar{\varepsilon}_{40} + 0.059\bar{\varepsilon}_{60} + 0.012\bar{\varepsilon}_{66}$	$1.055\bar{\varepsilon}_{20} - 3.122\bar{\varepsilon}_{40} - 2.128\bar{\varepsilon}_{60} - 0.026\bar{\varepsilon}_{66}$
Twinning III	(1 $\bar{1}$ 03)[11 $\bar{2}$ 0]	$0.290\bar{\varepsilon}_{20} - 0.086\bar{\varepsilon}_{40} - 0.202\bar{\varepsilon}_{60} + 0.0003\bar{\varepsilon}_{66}$	$-0.37\bar{\varepsilon}_{20} + 7.141\bar{\varepsilon}_{40} + 8.776\bar{\varepsilon}_{60} + 0.025\bar{\varepsilon}_{66}$

$$^*\bar{\varepsilon}_{20} = \sqrt{\frac{5}{\pi}}\varepsilon_{20}, \quad \bar{\varepsilon}_{40} = \sqrt{\frac{1}{\pi}}\varepsilon_{40}, \quad \bar{\varepsilon}_{60} = \sqrt{\frac{13}{\pi}}\varepsilon_{60}, \quad \bar{\varepsilon}_{66} = \sqrt{\frac{6006}{\pi}}\varepsilon_{66}$$

3.2. Interface stiffness

Calculating the interface stiffness using MD simulations consists of two major steps: a) determining the interface height of the two-phase HCP-liquid coexisting slabs (Fig. 1), where the thickness of the slab is small comparing to its width ($b \ll W$), and b) calculating the Fourier amplitudes of the interface height, $A(k)$, and relating it to the interface stiffness using the following well-known relation

$$\gamma + \gamma'' = \frac{k_B T_M}{bW \langle |A(k)|^2 \rangle k^2}. \quad (5)$$

In Eq. (5), k_B is the Boltzmann constant, k is the Fourier wave-length, and the time-averaged Fourier amplitudes are used in the relation because of the inherent fluctuations associated with all the MD simulations, especially those simulations performed at high temperatures. It must be noted here that Eq. (5) is only valid for long wave-length limit (or when $1/k^2$ is small) [30, 33, 34], because the relation between $1/k^2$ and interface stiffness for small-wave-length limit becomes logarithmic rather than linear. Such a logarithmic finite-size effect has been well-demonstrated in the literature and interested readers are invited to study Refs. [35-40]. Therefore,

we will use only a finite number of $1/k^2$ (for long wave-lengths where the relation is still linear) in our calculations of interface stiffness as it will be discussed in details later.

In the present simulations, we use 1251 sampling frames over the 250 ps simulation time of the two-phase HCP-liquid coexisting slabs. The total number of frames for the time-averaging is 2502 because the calculations are averaged over the two HCP-liquid interfaces at the slab. The height of the HCP-liquid interface is located by defining a continuous order parameter (ψ) to identify the HCP and liquid regions of the slab. This order parameter is defined based on the disordering of the atoms compared to their configuration in a perfect HCP crystal at the simulation temperature as

$$\psi = \frac{\sum_i w_d r_i \phi_i}{\sum_i w_d r_i}, \quad (6)$$

where $w_d = [1 - (r_i/d)^2]^2$, $r_i = \sqrt{(x_i - x)^2 + (z_i - z)^2}$, d is the radius of the smoothing cylinder, and the summation is over all the atoms in the smoothing distance $r_i < d$. Also, $\phi = (1/12) \sum |\vec{r}_i - \vec{r}_{HCP}|^2$, where the summation is over the twelve first nearest-neighbors, and $\vec{r}_{HCP}$ denotes the neighbor atoms position at the perfect HCP crystal. Consequently, ψ must be small in the solid state (~ 0.15 here) and bigger in the liquid state (~ 0.42 here). The interface location is determined to be the location at which ψ is in between of its liquid and solid values (~ 0.29 here). For instance, Fig. 4 shows the atoms near the HCP-liquid interface for one of the sampling frames, where the atoms are colored based on the value of ψ at their location, and the interface location is shown by a red curve. Once the location of the interface is determined, its time-averaged Fourier amplitudes are calculated and substituted into Eq. (5) to determine the HCP-liquid interface stiffness.

The calculated stiffness of interfaces for all the twelve slabs, along with the size and number of atoms at each slab, are listed in Table 4. As it was mentioned earlier, b must be as small as possible because the general assumption in CFM is that the solid-liquid interface location is constant along the thickness direction. However, b must be chosen sufficiently large to make the construction of the two-phase coexisting HCP-liquid slab possible. Also, W must be chosen at least fifteen times larger than b to provide sufficient data points for the calculations of

the Fourier amplitudes. Nevertheless, we performed a convergence study on the size of the simulation slab to make sure that our interface stiffness calculations are size independent. We included the Fourier amplitudes for the first thirty modes ($k = 1, 2, \dots, 30$) in the interface stiffness calculations for all the orientations. The calculated $k_B T_M / bW \langle |A(k)|^2 \rangle$ versus k^2 data for all the twelve orientations are depicted in Fig. 5. The stiffness of interfaces for all the orientations are obtained as the mean value of $k_B T_M / bW \langle |A(k)|^2 \rangle$ divided by k^2 , for $k = 1, 2, \dots, 30$ as listed in Table 4 along with their standard statistical uncertainties. The calculated average interface stiffness for all the orientations (reported in Table 5) is used to generate the solid lines in Fig. 5 representing the mean values of $k_B T_M / bW \langle |A(k)|^2 \rangle$ versus k^2 data.

Table 4. The calculated interface stiffness ($\gamma + \gamma''$) with their standard statistical uncertainties.

Interface plane	Slab size (nm)	Number of Atoms	$\gamma + \gamma''$ (mJ/m ²)
Basal	$1.32 \times 28.56 \times 96.20$	144000	133.4 ± 2.2
Prismatic I-a	$26.38 \times 1.60 \times 91.38$	153600	137.2 ± 2.0
Prismatic I-b	$1.32 \times 26.72 \times 91.38$	128000	116.2 ± 1.6
Prismatic II-a	$1.71 \times 32.07 \times 72.54$	158400	109.6 ± 1.8
Prismatic II-b	$34.27 \times 1.60 \times 72.54$	158400	120.0 ± 2.0
Pyramidal I-a	$39.68 \times 1.21 \times 90.65$	129600	129.4 ± 3.0
Pyramidal I-b	$1.32 \times 31.51 \times 90.65$	149760	117.4 ± 2.0
Pyramidal II-a	$31.41 \times 1.26 \times 105.77$	165880	117.6 ± 1.6
Pyramidal II-b	$1.71 \times 29.51 \times 97.63$	196272	114.6 ± 2.8
Twinning I	$1.32 \times 31.29 \times 105.31$	172800	117.6 ± 3.2
Twinning II	$1.14 \times 33.56 \times 108.69$	165600	117.8 ± 2.8
Twinning III	$1.32 \times 30.29 \times 113.29$	180000	121.0 ± 3.2

From Fig. 5, it is clear that most of the presented data are fairly close to their related mean lines, which demonstrates the accuracy of the calculations. The standard statistical uncertainties for interface stiffness calculations are ~ 2 to 3 mJ/m². Another significant highlight of the current calculations is the large number of Fourier modes (30 modes) used in the calculations which is much more than the number of Fourier modes in the previous works [6]. This is mainly owed to the new order parameter ψ which damps the fluctuations of the previously used order parameter (ϕ). However, using of order parameter ψ in CFM requires determination of the smoothing distance, d , which implies a further computational work. We

determined d for each orientation such that: a) the calculated interface stiffness is converged, and b) the resulted standard statistical uncertainty is minimum.

3.3. Interface free energy and surface anisotropy

Equating the relations for the interface stiffness determined by HCP symmetry-adapted spherical harmonics (the fourth column of Table 3) with the corresponding calculations of the interface stiffness by MD simulations (the last column of Table 4) results in twelve equations to solve for $\gamma_0, \varepsilon_{20}, \varepsilon_{40}, \varepsilon_{60}$, and ε_{66} . From the twelve resultant equations, the equations related to Prismatic I-a, I-b, II-a, and II-b need an especial attention. By adding/subtracting the interface stiffness equation related to Prismatic I-a and I-b to equations related to Prismatic II-a and II-b, respectively, these equations can be written as

$$\gamma_0 \left[1 - \frac{1}{4} \sqrt{\frac{5}{\pi}} \bar{\varepsilon}_{20} + \frac{9}{16} \sqrt{\frac{1}{\pi}} \bar{\varepsilon}_{40} - \frac{5}{32} \sqrt{\frac{13}{\pi}} \bar{\varepsilon}_{60} \right] = 123.4, \quad (7a)$$

$$-\gamma_0 \frac{35}{64} \sqrt{\frac{6006}{\pi}} \bar{\varepsilon}_{66} = 6.9, \quad (7b)$$

$$\gamma_0 \left[1 + \frac{5}{4} \sqrt{\frac{5}{\pi}} \bar{\varepsilon}_{20} - \frac{171}{16} \sqrt{\frac{1}{\pi}} \bar{\varepsilon}_{40} + \frac{205}{32} \sqrt{\frac{13}{\pi}} \bar{\varepsilon}_{60} \right] = 118.1, \quad (7c)$$

$$-\gamma_0 \frac{5}{64} \sqrt{\frac{6006}{\pi}} \bar{\varepsilon}_{66} = 0.95. \quad (7d)$$

It is obvious that only three of these four equations are independent. Therefore, including the equations related for at least one other orientation is necessary (other than the equations related to Basal, Prismatic I-a, I-b, II-a, and II-b) to determine $\gamma_0, \varepsilon_{20}, \varepsilon_{40}, \varepsilon_{60}$, and ε_{66} . Considering Eqs. (7b) and (7d) simultaneously makes it clear that the anisotropy parameter ε_{66} is governed by the difference between the stiffness of interfaces for Prismatic I-a and II-a, or I-b and II-b. Furthermore, $\gamma_0 \bar{\varepsilon}_{66} (5/64) \sqrt{6006/\pi}$ is equal to 0.986 or 0.95 as a result of Eq. (7b) or (7d), respectively; the resultant error in this calculation is considered a reasonable error in the concept of CFM and MD simulation.

To calculate $\gamma_0, \varepsilon_{20}, \varepsilon_{40}, \varepsilon_{60}$, and ε_{66} , we formed fourteen system of equations, each consisting of five equations. In each system of equations, we included the Basal equation, Eqs. (7a) and (7c), Eq. (7b) or (7d), and one of the remaining seven equations related to the other

orientations. The calculated mean value of γ_0 , ε_{20} , ε_{40} , ε_{60} , and ε_{66} along with their standard statistical uncertainties are reported in Table 5.

Table 5. The average HCP-liquid interface free energy γ_0 and anisotropy parameters ε_{20} , ε_{40} , ε_{60} , and ε_{66} for Mg.

Potential	$\gamma_0 (mJ / m^2)$	$\varepsilon_{20} (\%)$	$\varepsilon_{40} (\%)$	$\varepsilon_{60} (\%)$	$\varepsilon_{66} (\%)$
MEAM; present	122.2 $\pm$ 0.1	-3.45 $\pm$ 0.34	-0.47 $\pm$ 0.04	-0.06 $\pm$ 0.03	-0.46 $\pm$ 0.01
EAM; [6]	89.9 $\pm$ 1.5	-2.6 $\pm$ 1.5	---	---	0.3 $\pm$ 0.1

Also, the HCP-liquid interface stiffness and energy for different orientations are calculated by substituting these calculated parameters into the relations in the third and fourth columns of Table 3, respectively. The calculated values are listed in Table 6 and labeled as “Fitted.” All the fitted calculations of interface stiffness for different cases are in agreement with the MD calculations, with minimal differences which are in the range of the standard statistical uncertainties of the MD calculated data. Moreover, the HCP-liquid interface free energy for the closed packed plane (Basal orientation) is minimum similar to the previous findings for Mg [6] and for FCC metals, where γ_{111} found to be minimum [19]. It is worthy to note that after the Basal orientation, the next minimum interface free energy belongs to the Twinning III orientation, and not to the planes with higher symmetries, such as Prismatic planes.

To the knowledge of the authors, there are no experimental measurements of γ_0 and anisotropy parameters for Mg. However, γ_0 has been experimentally measured for other HCP elements like Zn [41, 42] and Cd [43, 44]. Considering the previously proven concept that γ_0 for a material is directly related to its melting point and latent heat [19], a qualitative comparison of the calculated γ_0 for Mg with the γ_0 for other HCP elements is possible. Between Zn and Cd, the melting point and latent heat of Zn is closer to Mg. The experimental measurements of γ_0 for Zn are 77 and 87 $\pm$ 15 (mJ/m²) depending on the experimental method. Since the melting point and latent heat of Zn is, respectively, 1.33 and 1.16 times lower than those of Mg, it is expected that γ_0 for Mg be at least higher than γ_0 of Zn, which is in agreement with our calculations. Sun et al. [6] calculated γ_0 , ε_{20} , ε_{40} , ε_{60} , and ε_{66} for Mg using CFM and EAM potential. Those

results are also listed in the third row of Table 5 for comparison. They only considered the first five orientations (Basal, Prismatic I-a, I-b, II-a, and II-b) in their interface stiffness calculations which will produce four independent equations, as it was mentioned earlier. Therefore, they determined γ_0 , ε_{20} , and ε_{66} assuming that ε_{40} and ε_{60} are zero. However, they argued that including ε_{40} is necessary to get the experimentally observed grain growth direction in Mg alloys, and ε_{66} may be positive or negative. The grain growth direction predictions will be discussed in details in the next section. Nevertheless, we determine the anisotropy parameters ε_{20} and ε_{66} to be in the order of Sun and coworkers calculations; i.e., our calculations are, respectively, 33% and 53% higher but with much less uncertainties.

Table 6. The HCP-liquid interface stiffness ($\gamma + \gamma''$) and free energy (γ) as calculated by fitting to the HCP symmetry-adapted spherical harmonics compared to the MD calculated interface stiffness.

Interface plane	$\gamma + \gamma''$ (mJ/m ²)		γ (mJ/m ²)
	MD	Fitted	Fitted
Basal	133.4±2.2	133.4	119.0
Prismatic I-a	137.2±2.0	137.0	123.0
Prismatic I-b	116.2±1.6	116.2	123.8
Prismatic II-a	109.6±1.8	109.9	123.8
Prismatic II-b	120.0±2.0	120.0	123.0
Pyramidal I-a	129.4±3.0	129.2	122.6
Pyramidal I-b	117.4±2.0	116.8	122.6
Pyramidal II-a	117.6±1.6	117.5	122.8
Pyramidal II-b	114.6±2.8	116.3	122.7
Twinning I	117.6±3.2	118.6	121.7
Twinning II	117.8±2.8	118.6	122.9
Twinning III	121.0±3.2	120.0	120.7

3.4. Dendrite growth direction and rate

The anisotropy of the solid-liquid interface free energy can be used to predict the preferred dendrite growth directions and rates by considering Gibbs-Thompson relation for the local solid-liquid interface equilibrium temperature (T) as[45]

$$T = T_M + mC_L - \frac{T_M}{L} \sum_{i=1}^2 (\gamma(\hat{n}) + \frac{\partial^2 \gamma(\hat{n})}{\partial \theta_i^2}) K_i, \quad (8)$$

where T_M is the melting temperature, m is the liquidus slope, C_L is the solute composition at the liquid interface, L is the latent heat, K_i are the mean curvatures of the interface, θ_i are the local angles between the normal direction and local principal directions, and $\gamma(\hat{n}) + \frac{\partial^2 \gamma(\hat{n})}{\partial \theta_i^2}$ is the interface stiffness. Eq. (8) implies that the preferred dendrite direction is the direction at which the interface stiffness is minimum, and the dendrite growth rate is related to the inverse of the interface stiffness. Although the interface stiffness in Eq. (8) is a tensor in three dimensions, the trace of the interface stiffness tensor (TrS) can approximately predict the dendrite growth direction and rate [6], as

$$TrS = 2\gamma + \frac{\partial^2 \gamma}{\partial \theta^2} + \frac{1}{\sin^2 \theta} \frac{\partial^2 \gamma}{\partial \phi^2} + \frac{\cos \theta}{\sin \theta} \frac{\partial \gamma}{\partial \theta}, \quad (9)$$

where the relation for γ in terms of HCP symmetry-adapted spherical harmonics is given in Eq. (3). The plot of $1/TrS$ in spherical coordinates is shown in Fig. 6, where the anisotropy parameters in γ are substituted from the present MD data (Table 5). The preferred dendrite growth directions are the directions at which $1/TrS$ are locally maximum. Our calculations show that the absolute maximum of $1/TrS$ occurs at the basal plane and $[11\bar{2}0]$ direction, and this is the primary preferred growth direction (primary dendrite arms direction). Also, the absolute minimum and the least preferred growth direction is $[0001]$. These findings are in agreement with the EAM-MD calculations of Sun et al. [6] who calculated the anisotropy parameters as given in the third row of Table 5. In addition, we calculated a local maximum approximately in $[33\bar{6}2]$ direction and a local minimum in $[\bar{1}100]$ direction, where the absolute value of $1/TrS$ in $[33\bar{6}2]$ direction is bigger than its value in $[\bar{1}100]$ direction. Therefore, $[33\bar{6}2]$ is the second preferred dendrite growth direction for Mg. There is no published experimental work on determining the dendrite growth directions for elemental Mg. The only related work is the work

of Pettersen et al. [46] who used Bridgman equipment to conduct a directional solidification slab experiment for low gradient to velocity ratiosolidification for AZ91 alloy. They observed $[11\bar{2}0]$ as the primary dendrite growth direction similar to our prediction for elemental Mg. They also observed four secondary dendrite arms at $[22\bar{4}5]$ crystallographic direction for AZ91, while we predicted four secondary dendrite arms all approximately in $[33\bar{6}2]$ direction for elemental Mg. More computational works using the present MEAM-MD and CFM method are required to study the variation of the dendrite growth directions of Mg by adding 9% weight of Al and 1% weight of Zn to form AZ91 alloy.

4. Conclusions

A comprehensive study was performed to determine HCP-liquid interface free energy and anisotropy for the case study of Mg. In the concept of CFM, MEAM interatomic potentials were used in the MD simulations, and the pyramidal and twinning (as well as basal and prismatic) orientations were considered for the first time to determine the HCP-liquid interface properties. The average interface free energy was calculated to be $\gamma_0 = 122.2 \pm 0.1 \text{ mJ/m}^2$, and the HCP-liquid interface anisotropic parameters were calculated to be $\varepsilon_{20} = -3.45 \pm 0.34$, $\varepsilon_{40} = -0.47 \pm 0.04$, $\varepsilon_{66} = -0.46 \pm 0.01$, and a negligible ε_{60} . The uncertainty involved with these calculations was less than 2% which was achieved because of employing a continuous order parameter to accurately locate the HCP-liquid interface. Furthermore, the calculations of the HCP-liquid interface free energy and anisotropy predicts the primary dendrite growth direction of $[11\bar{2}0]$ and a secondary dendrite growth direction of $[33\bar{6}2]$.

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Figures Caption:

Fig. 1. The schematic of the eight different HCP-liquid interface planes.

Fig. 2. The schematic of the two-phase solid-liquid coexisting slab used in CFM.

Fig. 3. Plots of HCP-symmetry adopted spherical harmonics, a) y_{20} , b) y_{40} , c) y_{60} , and d) y_{66} .

Fig. 4. A snapshot of the two-phase HCP-liquid coexisting slab of Mg near the interface. The upper half is solid, the lower half is liquid, and the red curve shows the location of the HCP-liquid interface.

Fig. 5. The plot of $k_B T_M / bW \langle |A(k)|^2 \rangle$ versus k^2 data where the lines are the mean values and the interface planes are: a) Basal, b) Prismatic I, c) Prismatic II, d) Pyramidal I, e) Pyramidal II, and f) Twinning I, II, and III.

Fig. 6. The plot of $1/TrS$ in spherical coordinate system where the anisotropy parameters are substituted from the present MD data (Table 5).

Figure 1

Figure 2

Figure 3

a) $y_{20}(\theta, \phi)$

b) $y_{40}(\theta, \phi)$

c) $y_{60}(\theta, \phi)$

d) $y_{66}(\theta, \phi)$

Figure 4

Figure 5a

Figure 5b

Figure 5c

Figure 5d

Figure 5e

Figure 5f

Figure 6

graphical abstract

- Continuous Order Parameter to Identify Solid-Liquid Interface
- CFM and HCP-symmetry adopted spherical harmonics
- Calculation of HCP-liquid interface stiffness, free energy, and anisotropy

Predicting Preferred Dendrite Growth Direction in Solidification