Observation of Annealing Twin Nucleation at Triple Lines in Nickel During Grain Growth

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Abstract

Three-dimensional near-field high-energy x-ray diffraction microscopy has been used to observe the formation of new twinned grains in high purity Ni during annealing at 800 °C. In the fully recrystallized microstructure annealed at 800 °C, twinned grains form along triple lines. Both the grain boundary character and the grain boundary dihedral angles were measured before and after the twin formed. These measurements make it possible to show that although each new twinned grain increases the total grain boundary area, it reduces the total grain boundary energy.

Keywords: nickel; twins; grain boundary energy; diffraction

1. Introduction

Annealing twins, separated from parent grains by long straight grain boundaries, are one of the most common and easily recognizable features in face centered cubic (FCC) metals with stacking fault energies less than about 0.15 J/m^2 . Examples include metals and alloys such as Ni, Cu, Au, brass, and superalloys. Most notable in materials that have undergone recrystallization and grain growth, the twin boundary disorientation is 60° about a common $\langle 111 \rangle$ axis. When the boundary lies in the (111) plane of both crystals, the unique, low energy structure of these (coherent) twins [1, 2] confers improved intergranular properties; this is one of the special boundaries that are exploited in grain boundary engineering [3-5]. Twins in FCC metals have also been implicated in the strengthening of nanostructured Cu [6], the nucleation of fatigue cracks [7], and the stagnation of grain growth [8]. While methods to increase the twin boundary concentration through thermomechanical processes are known [3-5, 9, 10], we know much less about the mechanism of annealing twin formation.

The proposed mechanisms for twin formation can be classified into three categories. The first is that twins form when crystals that already have a twin relationship impinge during growth [11, 12]. The second assumes that a twin forms when growth occurs and a layer of atoms on the (111) plane is misplaced in the twin relationship; further growth on this misplaced layer leads to a twinned crystal [13-16]. The third involves the replacement of higher energy grain boundaries with a combination of a twin boundary and lower energy grain boundaries [17-20]. The available evidence cannot discriminate between these mechanisms for the case of grain growth because twins form within bulk metals that are opaque to visible light and electron beams. This makes it impossible to use standard

probes to observe the three dimensional structure and crystal orientations before and after the twin has formed. For example, serial sectioning cannot be directly applied to this problem because the sample is destroyed during analysis [1, 21, 22] and transmission electron microscopy can only visualize the structure within very thin, nearly two-dimensional, samples. However, the recent development of near-field high-energy x-ray diffraction microscopy (nf-HEDM) [23-28] and x-ray diffraction contrast tomography [29, 30] enables non-destructive measurements of the shapes and orientations of grains within a bulk sample at sequential stages during annealing.

In this paper, we consider the boundary replacement mechanism for twin formation during normal grain growth. Figure 1 illustrates the most important aspects of the theory [20]. The figure depicts three grains (labeled 1, 2, and 3) meeting along a triple line. The grain boundary energies per area (γ_{ij}), dihedral angles (θ_{ij}), and areas (A_{ij}), are labeled with subscripts that denote the relevant crystals. If a part of grain 2 adjacent to the triple line is replaced by a new grain with the twin disorientation, then a twin boundary is introduced and assumed to be on a (111) plane. The formation of this new grain reduces the energy if the following condition is met [20]:

$$A_{1T}\gamma_{1T} + A_{3T}\gamma_{3T} + A_T\gamma_T < A_{12}\gamma_{12} + A_{23}\gamma_{23} \quad (1)$$

Given the relatively low energy of the twin ($\gamma_T = 0.06 \text{ J/m}^2$ for Ni) and the significant anisotropy of other grain boundaries [2], there should be many combinations of grains where this condition is met. According to Herring's [31] interfacial equilibrium condition, a

decrease in the total energy should lead to observable changes in the dihedral angles such that $\theta'_{13} < \theta_{13}$ and $\theta_{23} + \theta_{12} < \theta_{1T} + \theta_{3T}$.

Fullman and Fisher [17], and later Murr [20], provided strong albeit indirect evidence for the mechanism depicted in Fig. 1. With previously available techniques, it was simply not possible to observe the same triple junction before and after the event. The repetitive non-destructive three-dimensional structural characterization afforded by nf-HEDM [23-28] makes it possible to directly observe the boundary replacement mechanism, if it occurs, and to evaluate the grain boundary crystallography and grain boundary dihedral angles. In this paper, results from high purity Ni annealed at 800 °C show that twins form at triple lines, as depicted above, and that the process decreases the total interfacial energy.

2. Methods

The three-dimensional microstructure of a Ni sample was measured in three different grain growth anneal states using nf-HEDM. The data from each anneal state was compiled as a discrete cubic grid of integers (grain identifiers) that are associated with a set of three Euler angles that describe the orientation of the crystal with respect to the external reference frame. The size of each voxel is 2 μm x 2 μm x 4 μm , in x, y and z, respectively. The x-y plane spanned a total of 600 x 600 voxels, while the z dimension was greater than or equal to 70 in each anneal state. The experiment was performed at Argonne National Laboratory's Advanced Photon Source, utilizing the 1-ID beam line to collect orientation diffraction patterns using 65 keV x-rays. The initial sample was a 99.999 % pure 1 mm diameter nickel wire obtained from Alfa Aesar, which was first homogenized for two hours at 750 °C to obtain a reasonable grain size for the nf-HEDM method of about 25 μm . The

sample was then characterized after three successive annealing steps, all at 800 °C and in a 3 % H₂ / 97 % N₂ environment to limit oxidation. The three annealing times were 23 min, 30 min, and 25 min. Details of the nf-HEDM experiment and data analysis are given elsewhere [23-28].

The normals to the grain boundary planes, also referred to as the grain boundary orientations, were determined in the following way; a more detailed description can be found in references [1, 22, 32]. First, the traces of each grain boundary on two-dimensional layers were approximated by line segments using the method described by Wright and Larsen [33]. Triple points were identified as three grain boundary traces that shared an end point. Triple points between three crystals with the same orientations on adjacent layers were assumed to be connected by a triple line; the vector product of the triple line and a grain boundary line segment provides the grain boundary normal. The dihedral angles were calculated from the angles between the grain boundary normals.

3. Results

An example of the three-dimensional Ni microstructure, interpreted from the nf-HEDM data, is illustrated in Fig. 2. The microstructure of the wire shaped specimen was established by a 2 h anneal at 750 °C that recrystallized damage from the wire drawing process. The microstructure contains many twins, which are apparent as long straight boundaries. One particular twinned grain that is visible on two perpendicular sections is indicated by the white arrows. The microstructure was measured in the initial state and after three sequential anneals (each for about 30 min) at 800 °C. Three-dimensional representations of the microstructures after the three anneals are illustrated in Fig. S1 and

show that annealing led to modest but obvious changes in the microstructure. For example, the average grain size (equivalent spherical diameter) increased from 68 μm in anneal state 1 to 72 μm in anneal state 2 and was roughly constant after that (see Fig. S2). The increase in grain size led to an associated decrease in grain boundary area per volume.

The disorientation distribution measured after each anneal exhibits a significant peak at 60° (see Fig. S3), and the peak increases by a small amount during annealing. After the initial anneal, boundaries with a 60° disorientation comprise 25 % of all boundaries (length fraction) and this increases to 29 % after the final anneal. Among these boundaries, coherent twins, the boundaries with a 60° around $[111]$ and terminated by (111) planes make up 5 % of all boundaries (using a 10° tolerance on the orientation of the grain boundary plane). Because the thinnest twin plates are not resolved in nf-HEDM, the twin fraction is likely underestimated. Nevertheless, this is the most common boundary in the microstructure and the maximum in the grain boundary plane distribution occurs at the position of the coherent twin, as illustrated in Fig. S4. The increase in the maximum from 590 MRD to 670 MRD indicates an increase in the relative twin area fraction brought about by annealing. This, by itself, is not sufficient to conclude that new twins formed during annealing. The increase in relative area fraction also occurs by the preferential elimination of higher energy grain boundaries as the average grain size increases and the total number of grain boundaries decreases [34].

The detection of new twinned grains requires a detailed comparison of the microstructure at each state. In the overlapping volume of the three anneal states, more than 2000 grains were identified. Using lists of all grains that are completely within the field of view at each anneal step, we identified the subset of grains within this volume that

did not appear before the anneal and met the following three criteria: First, this disorientation had to be within Brandon's [35] criterion of 60° around <111> with a neighbor. Second, the grain size had to be greater than 10 voxels. Third, voxels of the new grain had to occur on multiple vertical layers so that the three dimensional shape could be estimated. There were nine grain appearance events that met these criteria. Note that no new grains were detected that did not have the twin disorientation.

One of the grains that appeared is illustrated in Fig. 3. The twinned grain (red) is not visible after the first 23 min annealing step and then, after an additional 30 min at 800 °C, appears at a triple line between three grains. When the sample is further annealed for 25 min at 800 °C, the new grain increases in size from 32 voxels to 117 voxels. It should be noted that according to our conventional ideas about grain growth, small grains and grains with a small number of sides should invariably shrink rather than grow [36, 37]. However, the twinned grains observed here all grew after appearance, suggesting that their growth was energetically favorable. All nine of the new twins that were detected were located at triple lines.

Assuming that local thermal equilibrium is obtained at the triple line, then the Herring [31] condition should describe the relationship between the energies and the geometry of the interface. Under the condition that torque terms (the differentials of the grain boundary energy with respect to changes in the grain boundary plane orientation) are negligible, this reduces to Young's equation:

$$\frac{\gamma_{12}}{\sin \theta_{12}} = \frac{\gamma_{23}}{\sin \theta_{23}} = \frac{\gamma_{13}}{\sin \theta_{13}} \quad (2)$$

These quantities are defined in Fig. 1(a). While the assumption of negligible torques is probably not realistic, it has been shown in the past that equation 2 provides a reasonable measure of relative energies [38, 39]. From equation 2, if $\gamma_{1T} < \gamma_{12}$, it follows that $\sin\theta_{1T} < \sin\theta_{12}$ and, in the expected domain of $90^\circ \leq \theta \leq 180^\circ$, this means that $\theta_{1T} > \theta_{12}$. By the same argument, a reduction in boundary energy leads to $\theta_{3T} > \theta_{23}$. In other words, the final dihedral angles after twin formation (θ_{2T} and θ_{3T}) should be greater than the dihedral angles before the twin is formed (θ_{12} and θ_{23}). To test this, the grain boundary planes meeting at the triple junction were determined using previously described methods [1, 22], and the dihedral angles were computed. An uncertainty in the angle, represented by the vertical line through each point, was estimated based on the size of the voxels. The dihedral angles are plotted on Fig. 4(a), which shows that all but one dihedral angle increases after twin insertion, and even that boundary was within the range of estimated uncertainty. However, it should also be recognized that it is not necessary for every single boundary to reduce its energy, only that the sum of the energies ($\gamma_{1T} + \gamma_{3T}$) after the transition is less than before the transition ($\gamma_{12} + \gamma_{23}$), by an amount greater than the energy of the twin boundary. Using Eq. 2, we can write:

$$\gamma_{12} + \gamma_{23} = \gamma_{13} \left(\frac{\sin \theta_{23} + \sin \theta_{12}}{\sin \theta_{13}} \right) \quad (3)$$

Because γ_{13} is the same before and after twin insertion, we can take the quantities $(\sin\theta_{23} + \sin\theta_{12} / \sin\theta_{13})$ and $(\sin\theta_{3T} + \sin\theta_{1T} / \sin\theta'_{13})$ to represent the sums of the relative grain boundary energies before and after twin insertion and compare them. The

comparison is illustrated in Fig. 4b, which shows that for all nine triple junctions, twin insertion decreases the energy within the estimated uncertainty.

4. Discussion

It should be noted that the new twin-related grains observed here must have started at sizes that were initially below the threshold for detection. The voxel size in the nf-HEDM data is about $2\text{ }\mu\text{m} \times 2\text{ }\mu\text{m} \times 4\text{ }\mu\text{m}$ in the x, y, and z directions, respectively. Considering that the twins all grew after detection, we assume that this trajectory was the same since they first formed. As long as the energy criterion in Eq. 1 is satisfied, the growth of the twin is energetically favorable along the two boundaries, provided the boundaries are relatively straight and maintain a similar geometry.

When one considers that there are thousands of triple lines in the specimen, the process observed here is relatively rare. In fact, given reasonable estimates of the grain boundary energies in Ni,[1, 2] we can find many other triple lines in this sample where the total energy would be lowered by inserting a twin. One possible explanation for why twins are not inserted at all of the triple lines that have a potential to reduce their energy is that there is a barrier for the initial nucleation of the twinned grain and that this barrier is only occasionally surmounted. The energy balance in Eq. 1 suggests that as long as the criterion is met, energy is always reduced. However, the equation ignores any excess energy associated with the two new triple lines where the twin boundary intersects the preexisting grain boundaries. As long as this energy is finite, then at very small sizes, the formation of the twin grain initially increases the total energy. Therefore, the energies associated with the triple lines are a plausible source of an energy barrier that prevents

twin formation at all of the triple lines where the energy could be reduced. Assuming that twins form via a nucleation process, one can compare the barriers for the formation of a wedge shaped twin at a triple line (as in Fig. 1(b)) and a hemispherical twin on a flat grain boundary. While the details depend on the exact geometric assumptions, if the triple line energy is assumed to be the same in all cases, then the barrier for nucleation on a grain boundary is at least four times larger than at a grain boundary triple junction. This is consistent with the fact that new twins have only been observed at triple junctions.

There might also be a geometric criterion that must be met for the formation of new twinned grains. The geometry shown in Fig. 1 suggests that the triple line should lie in or near the (111) plane, meaning that the triple line direction should be perpendicular to (and in the zone of) [111]. The directions of the triple lines in crystal space are illustrated Fig. 5. The directions of the triple lines in the reference frame of the twin's parent grain are indicated by the larger circles; eight of nine of these are within 15° of the (1-11) trace. This indicates that having a triple line approximately perpendicular to the [111] direction may be a moderately strong selection criterion for the nucleation of a twin grain.

Finally, it is also likely that the coupled motion of the grain boundaries and triple lines plays a role in the process. If a triple line is moving in a direction that is reducing the total area of the highest energy boundaries, then any twin that is nucleated may be rapidly consumed. On the other hand, if the triple line is moving in a way that extends the area of these boundaries, the nucleation a twin and the creation of lower energy boundaries would reduce the energy needed for this process. Because of simultaneous changes in the boundary network during annealing, it is difficult to compare the absolute positions of the

triple line at the different anneal steps with the precision needed to determine the direction of motion.

Because the formation of a new twin at certain triple junctions lowers the total grain boundary energy, it should not be surprising *per se*. However, because it leads to a temporary and local increase in the total grain boundary area, it contradicts the simple idea that polycrystals reduce their excess interfacial energy by eliminating grain boundaries through grain growth. In fact, it has been shown that the highest energy boundaries are preferentially eliminated during grain growth and this leads to anisotropic grain boundary character distributions [34]. The necessary requirement for this twin formation mechanism is that high energy grain boundaries can be replaced by much lower energy boundaries and the twin; it is assumed that the twin boundary has a much lower energy than the other boundaries. This is true only for certain FCC materials with stacking fault energies that are less than about 0.15 J/m². As an example, the twin energy in Ni is 0.06 J/m² or about 6 % of the average grain boundary energy [2]. For comparison, in BCC Fe, the twin energy is 23 % of the average energy [40]; twins in BCC Fe are much less common and this mechanism would not be expected to operate at a measurable rate nor are such long, straight boundaries observed.

We emphasize that the twin formation mechanism described here has been observed at very low capillary driving forces during normal grain growth. It should be noted that during grain growth, the absolute twin content per volume decreases as grains are consumed and twins are annihilated. The process observed here adds some twins, but not at a rate large enough to compensate those lost as grains are eliminated from the microstructure. It is now known that most twins in metals form during recrystallization

[41], where stored plastic energy provides a driving force for boundary motion that is approximately ten times as large as during grain growth. The extent to which this mechanism is relevant during recrystallization is not clear from the current experiments. However, we note that many twins formed during recrystallization appear as plate-shaped crystals with two parallel twin boundaries. The mechanism described here could not form such a structure, unless the triple junction changed character after the formation of the first boundary. This could happen if grain 1 or 3 was replaced with a new grain during the normal changes in grain connectivity that occur during grain growth, but this was not observed in the present study. As a final note, considering the similarity of grain boundary energy anisotropies in FCC metals [42], we speculate that this same mechanism could happen in isostructural materials.

5. Conclusion

The present results demonstrate the utility of nondestructive three-dimensional characterization to measure changes in the grain boundary network during grain growth. The results show that during grain growth in a fully recrystallized microstructure at 800 °C, twins nucleate at triple lines in Ni. The results also show that this process reduces the total grain boundary energy. The selection criteria for the triple junctions that nucleate twins is not currently clear, but they are likely to combine geometric as well as energetic factors.

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Figure Captions

Figure 1. A schematic illustration of a triple junction before (a) and after (b) a new grain, twinned with respect to grain 2 and labeled ‘twin’, is inserted. Three grain boundaries separating grains 1, 2, and 3, meet along the triple line labeled tl . The schematic defines all of the parameters referred to throughout this paper. Adapted from Murr, 1968 [20].

Figure 2. Visual representation of the Ni microstructure measured by nf-HEDM. The sample was a 1 mm diameter wire; a 90° wedge has been made invisible to illustrate the internal structure. The grains are colored randomly so that discrete changes in color correspond to grain boundaries. The white arrows point to two traces of a twin boundary on perpendicular sections.

Figure 3. Illustration of three grains that meet at a triple line where a new twinned grain appears. (a-c) three-dimensional representations of the three grains. The peach (1) and olive (3) colored grains are semi-transparent to show the blue (2) grain in the rear and their line of intersection. The viewing direction is close to the plane of the boundary between the peach and olive colored grains. (a) Microstructure after 23 min at 800 °C, (b) after an additional 30 min at 800 °C, and (c) after an additional 25 min at 800 °C. The red grain that appears in (b) is at the line of intersection between the three grains and is twin related to the blue grain. (d-e) Plane sections of the same three grains through the triple line where the twin forms.

Figure 4. (a) The final dihedral angles (θ_{2T} and θ_{3T}) after twin formation (two per new grain) plotted against the angles before the twin formed (θ_{12} and θ_{23}). (b) The relative grain boundary energy after each twin formation event is compared to the relative energy before twin formation. In both plots, the dashed line marks the positions where the two quantities are equal. In (a) the vertical line through each data point marks the estimated uncertainty and in (b) it marks the uncertainty that would maximize the final energy; the uncertainty in the other direction is similar.

Figure 5. The directions of triple lines, in crystal space, plotted in stereographic projection, for the nine triple lines where a twin was detected. The directions of each triple line in the reference frame of each of the three crystals are plotted; the larger circles are the direction in the parent grain. The dashed line corresponds to the (1-11) trace.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5