Structural Characterization of GASDALIE Fc Bound to the Activating Fc receptor Fc γ R11a

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Abstract

The Fc region of Immunoglobulin G (IgG) initiates inflammatory responses such as antibody-dependent cell-mediated cytotoxicity (ADCC) through binding to activating Fc receptors (Fc γ RI, Fc γ RIIa, Fc γ RIIIa). These receptors are expressed on the surface of immune cells including macrophages, dendritic cells, and natural killer cells. An inhibitory receptor, Fc γ RIIb, is expressed on macrophages and other myeloid leukocytes simultaneously with the activating receptor Fc γ RIIa, thereby setting a threshold for cell activation. The affinity of IgG Fc for binding activating Fc receptors depends on IgG subclass and the composition of *N*-linked glycans attached to a conserved asparagine in the Fc C_H2 domain. For example, Fc regions with afucosylated glycans bind more tightly to Fc γ RIIIa than fucosylated Fc, and afucosylated Fcs exhibit enhanced ADCC activity *in vivo* and *in vitro*. Enhanced pro-inflammatory responses have also been seen for Fc regions with amino acid substitutions. GASDALIE Fc is an Fc mutant (G236A/S239D/A330L/I332E) that exhibits a higher affinity for Fc γ RIIIa and increased effector functions *in vivo* compared to wild-type Fc. To explore its altered functions, we compared the affinities of GASDALIE and wild-type Fc for activating and inhibitory Fc γ Rs. We also determined the crystal structure of GASDALIE Fc alone and bound to Fc γ RIIIa. The overall structure of GASDALIE Fc alone was similar to wild-type Fc structures, however, increased electrostatic interactions in the GASDALIE Fc:Fc γ RIIIa interface compared with other Fc:Fc γ R structures suggest a mechanism for the increased affinity of GASDALIE Fc for Fc γ RIIIa.

Keywords

Affinity, Fc-FcR structure, Fc receptors, GASDALIE Fc, IgG Fc, SDALIE Fc

Abbreviations

ADCC, antibody-dependent cell-mediated cytotoxicity; ADCP, antibody-dependent cellular phagocytosis; Fc, fragment crystallizable; Fc γ R, Fc gamma receptor; GlcNAc, N-acetylglucosamine; K_D , equilibrium dissociation constant; IgG, immunoglobulin G, IC, immune complex; ITAM, immunoreceptor tyrosine-based activating motif; ITIM, immunoreceptor tyrosine-based inhibitory motif; SPR, surface plasmon resonance; wt, wild-type.

Introduction

Immunoglobulin G (IgG) plays critical roles in antibody-mediated immune responses through specific engagement of both antigens and immune effector cells. The fragment crystallizable (Fc) region specifically engages Fc gamma receptors (Fc γ Rs), the initial step in effector functions such as antibody-dependent cell-mediated cytotoxicity (ADCC) and antibody-dependent cellular phagocytosis (ADCP) (Arnold et al., 2007). In humans, activating Fc γ R Fc γ RIIIa (CD16) is expressed on the surface of natural killer cells and monocytes while Fc γ RIIa (CD32) is found on a wider range of innate immune cells including macrophages, dendritic cells, and neutrophils (DiLillo and Ravetch, 2015b; Richards et al., 2008). Both are low-affinity receptors, therefore activation of cells results from crosslinking of these surface receptors upon engagement of clustered Fc regions in antibody-antigen immune complexes (ICs) (Nimmerjahn and Ravetch, 2008). Activation is mediated by immunoreceptor tyrosine-based activating motifs (ITAMs) found on the receptor-associated γ -chain. Upon engagement of ICs with Fc γ Rs, these motifs become phosphorylated leading to a cascade of events that activate the cell to destroy an invading pathogen or infected cell (Nimmerjahn and Ravetch, 2008). An inhibitory receptor, Fc γ RIIb, is found on cells including B cells, macrophages, neutrophils, and mast cells

(Hulett and Hogarth, 1994; Ravetch and Bolland, 2001; Ravetch and Kinet, 1991). Immunoreceptor tyrosine-based inhibitory motifs (ITIMs) in the cytoplasmic tail of Fc γ RIIb mediate suppression of Fc γ R activation by activating phosphatases that reverse the effects of kinases in the ITAM signaling pathway (Nimmerjahn and Ravetch, 2008). Co-expression of activating and inhibitory receptors sets a threshold for activation of specific effector cells.

IgG Fc regions are independently-folded, stable homodimers comprising two N-terminal hinges (each connected to an antigen-binding Fab arm) followed by two Ig constant domains, C_H2 and C_H3, on each chain of the homodimer. Fc γ Rs bind asymmetrically to Fc homodimers with 1:1 receptor:Fc stoichiometry to a site involving the hinge region and N-terminal portions of the C_H2 domains (Ferrara et al., 2011). The Fc region includes a heterogeneous *N*-linked glycan attached to a conserved residue, Asn297, in each Fc C_H2 domain. The Asn297-linked glycan is a complex carbohydrate composed of a mannose (Man) and N-acetylglucosamine (GlcNAc) core that is usually fucosylated. The glycan can be additionally modified with a terminal galactose or sialic acid. Typically, wild-type (wt) IgG is agalactosylated (G0; 35%), mono-galactosylated (G1; 35%), or digalactosylated (G2; 16%) and asialylated (S0; 85%), although mono- and disialylated IgG represent 11% and 4%, respectively, of IgG in human serum (Butler et al., 2003). Modification of the *N*-linked glycan of IgG Fc can alter its function. For example, terminally sialylating the glycan switches the Fc from a pro-inflammatory to anti-inflammatory molecule (Anthony et al., 2011; Anthony et al., 2008a; Anthony and Ravetch, 2010; Anthony et al., 2008b; Kaneko et al., 2006; Nimmerjahn and Ravetch, 2008; Samuelsson et al., 2001; Sondermann et al., 2013), which may correlate with increased conformational flexibility compared with wtFc regions (Ahmed et al., 2014). Afucosylation of the normally core-fucosylated glycan leads to enhanced binding to the activating

receptor Fc γ RIIIa, resulting in enhanced ADCC (Ferrara et al., 2011; Matsumiya et al., 2007; Mizushima et al., 2011; Okazaki et al., 2004) due to increased protein-protein and protein-carbohydrate interactions between the Fc and receptor (Ferrara et al., 2011; Matsumiya et al., 2007; Okazaki et al., 2004). Removing the *N*-linked glycan leads to a closed Fc conformation that exhibits no binding to any Fc γ R (Shields et al., 2001); however, aglycosylated Fc can bind the FcRn protection receptor (Shields et al., 2001), which recognizes the interface between the C_H2 and C_H3 domains of each Fc chain (Burmeister et al., 1994), a site distant from the Asn297-linked *N*-linked glycan.

Previous efforts were made to alter the IgG Fc region to either enhance or suppress binding to Fc γ Rs (Bournazos et al., 2014a; Duncan et al., 1988; Ferrara et al., 2011; Lazar et al., 2006; Lin et al., 2015; Matsumiya et al., 2007; Nimmerjahn and Ravetch, 2008; Oganessian et al., 2008a; Oganessian et al., 2008b; Richards et al., 2008; Sazinsky et al., 2008; Shields et al., 2001). In a 2006 study, IgG Fc with mutations S239D/I332E (SDIE) or S239D/A330L/I332E (SDALIE) showed enhanced ADCC and increased affinity for binding to Fc γ RIIIa_{F158} (30- and 60-fold for SDIE Fc and 60- and 170-fold for SDALIE Fc) (Lazar et al., 2006). In a separate study, SDIE Fc showed a 30-fold increased affinity for Fc γ RIIIa_{F158} (Smith et al., 2012). SDIE and SDALIE Fc also showed increased binding to the inhibitory receptor, Fc γ RIIb, by 70-fold and 40-fold, respectively (Lazar et al., 2006; Oganessian et al., 2008a; Smith et al., 2012). A later study reported only a 14-fold increased affinity for SDIE binding to Fc γ RIIb (Smith et al., 2012). The crystal structure of unbound SDALIE Fc revealed a more open conformation compared with wtFc structures, but no major changes in the Fc γ R recognition interface (Oganessian et al., 2008a). Related Fc mutants also showed increased activity and affinity for binding to Fc γ Rs. A single G236A substitution in Fc showed 7-fold enhanced binding to Fc γ RIIa and enhanced phagocytosis *in vitro*, while an Fc with mutations G236A/S239D/I332E

(GASDIE) exhibited a 70-fold phagocytosis enhancement (Richards et al., 2008). The G236A substitution alone did not cause enhanced binding to Fc γ RIIb, whereas the GASDIE mutant showed 14-fold enhanced affinity for this inhibitory receptor (Richards et al., 2008). GASDALIE Fc, a variant of SDALIE Fc that combined the G236A substitution with the SDALIE substitutions (G236A/S239D/A330L/I332E), showed increased affinity for activating receptor Fc γ RIIIa_{F158} by 20–30-fold (Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Smith et al., 2012). Unlike SDALIE Fc, GASDALIE Fc did not exhibit a large increase in affinity for the inhibitory receptor Fc γ RIIb (only a 2–3-fold increase for GASDALIE, as compared with a 40-fold increase for SDALIE), (Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Smith et al., 2012).

IgGs with the GASDALIE mutations have recently been shown to exhibit increased protection in animal models of cancer and infectious disease (Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Smith et al., 2012). Although affinities of GASDALIE and SDALIE Fcs have been measured for some of the Fc γ Rs (Bournazos et al., 2014a; Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Oganessian et al., 2008a; Richards et al., 2008; Sazinsky et al., 2008; Smith et al., 2012), they have not been directly compared in a single experiment. In addition, there are discrepancies in reported affinities of Fc mutants for activating and inhibitory Fc γ Rs (Bournazos et al., 2014a; Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Richards et al., 2008; Sazinsky et al., 2008; Smith et al., 2012). Here we directly compared binding of GASDALIE and SDALIE Fc to Fc γ Rs, finding that both Fcs exhibited large affinity increases for Fc γ RIIIa compared to wtFc, that GASDALIE exhibited a moderate affinity increase for Fc γ RIIa compared with wtFc, and that both Fcs showed only ~5-fold enhanced affinity for the inhibitory Fc γ RIIb receptor compared to wtFc. In order to determine the mechanism by which the GASDALIE substitutions enhanced binding to activating Fc γ Rs, we solved crystal structures of

GASDALIE Fc alone and in complex with Fc γ R11a, comparing the bound and free GASDALIE Fc structures to the previously-solved unbound SDALIE Fc structure (Oganesyan et al., 2008a; Smith et al., 2012) and other Fc structures. We find that the GASDALIE substitutions did not dramatically alter the overall Fc conformation; however, the GASDALIE Fc:Fc γ R11a structure revealed increased electrostatic interactions at the binding interface that may account for its increased affinity for Fc γ R11a when compared to wtFc structures. Our GASDALIE Fc:Fc γ R11a crystal structure, homology models of GASDALIE Fc bound to Fc γ R11a, and affinity measurements suggested that the G236A substitution that distinguishes GASDALIE Fc from SDALIE Fc is localized in the Fc γ R11a binding site, thereby enhancing binding of Fc γ R11a to GASDALIE compared with binding to wtFc or SDALIE Fc.

Materials and Methods

Protein Expression and Purification

The S239D/A330L/I332E (SDALIE) and G236A/S239D/A330L/I332E (GASDALIE) mutations were introduced by site-directed mutagenesis in a pcDNA3.1 vector containing the IgG1 Fc gene (Agilent Technologies). A plasmid containing the GASDALIE mutations (G236A/S239D/A330L/I332E) introduced into Fc region of the gene encoding the heavy chain of an anti-HIV-1 antibody, 3BNC117, was the gift of Stylianos Bournazos and Jeffrey Ravetch (Rockefeller University) (Bournazos et al., 2014b; Scheid et al., 2011). IgG and Fc proteins were expressed in transiently-transfected HEK 293-6E cells and purified from harvested supernatants using protein A chromatography (GE Healthcare) followed by size exclusion chromatography in 25 mM Tris-Cl pH 7.5, 100 mM NaCl on a Superdex 200

10/300 gel-filtration column (GE Healthcare) as described (Diskin et al., 2010; Sprague et al., 2004). The Fc region from 3BNC117 IgG was isolated after papain digestion of 3BNC117 GASDALIE IgG as described (Ahmed et al., 2014) and used for crystallization of GASDALIE Fc alone; other experiments used GASDALIE Fc expressed as an Fc fragment.

Expression plasmids encoding the F158 variant of Fc γ R1IIa and the H131 variant of Fc γ R1IIa (Fc γ R1IIa_{F158} and Fc γ R1IIa_{H131}) were obtained from Stylianos Bournazos and Jeffrey Ravetch (Rockefeller University) (Bournazos et al., 2014b). Site directed mutagenesis was used to remove three of five potential *N*-linked glycosylation sites on Fc γ R1IIa (Asn38, Asn74, Asn169) by changing asparagines to glutamines to make N38Q, N74Q, N169Q substitutions, as described for previous structural studies of Fc γ R1IIa (Ferrara et al., 2011). Potential *N*-linked glycosylations sites at sites 45 and 162 were retained due to their effects on protein expression and binding affinity, respectively (Ferrara et al., 2011; Ferrara et al., 2006). C-terminally 6x-His tagged Fc γ R ectodomains Fc γ R1IIa_{H131} (residues 1-211) and Fc γ R1IIa_{F158} (residues 1-218) were expressed in transiently-transfected HEK293 cells as described for Fc proteins and isolated from supernatants using a HisTrap HP affinity column (GE Healthcare) followed by size exclusion chromatography in 25 mM Tris-Cl pH 7.5, 100 mM NaCl on a Superdex 200 16/ 60 gel-filtration column (GE Healthcare). To reduce carbohydrate heterogeneity that might impede crystallization, 2.5 μ M kifunensine was added immediately before transfection of cells to inhibit processing of high-mannose *N*-linked glycans to their complex forms (Edberg and Kimberly, 1997).

Conventional SPR experiments

Biacore assays were performed on a Biacore T200 instrument. Proteins were immobilized on a CM5 sensor chip using primary amine coupling chemistry (amine-

coupling wizard routine in the Biacore T200 software). Briefly, 1 μ M Fc γ RIIIa_{F158}, Fc γ RIIa_{H131}, or Fc γ RIIb in 10 mM sodium acetate buffer at pH 5.0 or pH 4.5 were coupled at a flow rate of 1 μ L/minute for 420 seconds followed by ethanolamine coupling to block excess reactive carboxyl groups on the sensor chip surface. The coupling density was equivalent to 3162 RU for Fc γ RIIIa_{F158}, 1840 RU for Fc γ RIIa_{H131}, and 1516 RU for Fc γ RIIb. Flow channel 1 served as the blank reference channel for bulk refractive index subtraction. Serial dilutions starting at a concentration of 10,000 nM of wtFc, SDALIE Fc, or GASDALIE Fc in HBSEP⁺ buffer (10 mM HEPES, 150 mM NaCl, 3 mM EDTA, and 0.05% (v/v) surfactant P20, pH 7.4) were injected at a flow rate of 30 μ L/minute at 25 °C followed by a 200 second dissociation phase during which only HBSEP⁺ buffer was injected. 10 mM glycine pH 3 at 30 μ L/minute for 30 seconds was used for regeneration.

Sensorgrams were globally fitted to a 1:1 binding model using nonlinear regression in the Biaevaluation software. The fits were evaluated by plotting residuals between modeled and experimental curves. For comparisons with previously reported affinity measurements (Bournazos et al., 2014a; Bournazos et al., 2014b; Bruhns et al., 2009; DiLillo and Ravetch, 2015a; Janeway et al., 2005; Lazar et al., 2006; Smith et al., 2012), K_D values derived from these experiments are reported in Table 1 as conventional measurements and are calculated from the ratio of the kinetic constants as $K_D = k_d/k_a$.

Competition SPR experiments

Competition SPR measurements were performed using methods similar to previously-reported competition Biacore studies (Nieba et al., 1996). Flow cell 1 (ethanolamine blank) and flow cell 2 (Fc γ RIIIa_{F158}) from the CM5 sensor chip used in the conventional SPR experiments were used for competition Biacore experiments. All measurements were carried out at 25 °C and a flow rate of 10 μ L/minute and an injection

time period of 120 seconds using HBSEP⁺ buffer. The chip was regenerated by injecting 10 mM glycine pH 3 at 30 µL/minute for 30 seconds. Each experimental cycle evaluating the binding of an Fc protein to a receptor consisted of (i) Establishing a calibration curve to correlate the SPR response for each Fc protein binding to immobilized FcγRIIIa_{F158} as a function of concentration. For the calibration measurements, 2-fold dilution series of the Fc proteins including a no-protein standard (HBSEP⁺ buffer only) were used starting at the following concentrations: 803 nM (wtFc), 8 nM (SDALIE Fc), 5 nM (GASDALIE Fc). (ii) A measurement series evaluating the binding of an Fc protein to immobilized FcγRIIIa_{F158} in the presence of varying concentrations of competing FcγR in solution. For these measurements, a given Fc protein at a fixed concentration was first incubated for three hours with a 2-fold dilution series of a competitor receptor in solution. For wtFc injections, the concentration of wtFc was 401.5 nM and the competitor dilution series starting concentrations were 1396 nM (FcγRIIIa_{F158}), 1692 nM (FcγRIIa_{H131}), and 1756 nM (FcγRIIb). For SDALIE Fc injections, the concentration of SDALIE Fc was 4 nM and the competitor dilution series starting concentrations were 87 nM (FcγRIIIa_{F158}), 1692 nM (FcγRIIa_{H131}), and 3512 nM (FcγRIIb). For GASDALIE Fc injections, the concentration of GASDALIE Fc was 2.5 nM and the competitor dilution series starting concentrations were 44 nM (FcγRIIIa_{F158}), 846 nM (FcγRIIa_{H131}), and 3512 nM (FcγRIIb).

Data were analyzed using the Biacore T200 Evaluation software version 3.0. For the calibration curve, a 4-parameter fitting function was used. For calculation of the K_D , the software uses a non-linear regression fit to the following function:

$$B_{free} = \frac{(B_{tot} - A_{tot} - K_D)}{2} \pm \sqrt{\frac{(A_{tot} + B_{tot} + K_D)^2}{4} - A_{tot} \times B_{tot}}$$

where variable A_{tot} refers to the total concentration of the receptor in the Fc:FcγR mixture, B_{free} refers to the concentration of the unbound Fc, and B_{tot} refers to the total concentration of Fc in the mixture.

All curves were generated using the bulk refractive index subtracted response after an optimal injection time of 35 seconds (wtFc) or 144 seconds (SDALIE and GASDALIE Fc).

Crystallization

Crystals of GASDALIE Fc (space group $P2_12_12_1$; $a = 49.32 \text{ \AA}$, $b = 79.13 \text{ \AA}$, $c = 137.62 \text{ \AA}$; one Fc dimer per asymmetric unit) were grown in sitting drop vapor diffusion by mixing equal volumes of GASDALIE Fc (7.36 mg/ml) with a solution containing 0.2 M ammonium formate and 20% (w/v) PEG 3350 at 20°C. Crystals were cryopreserved in well solution supplemented with 30% glycerol. Crystals of the GASDALIE Fc:Fc γ R111a_{F158} complex (space group $P2_12_12_1$; $a = 74.38 \text{ \AA}$, $b = 94.50 \text{ \AA}$, $c = 108.67 \text{ \AA}$; one complex per asymmetric unit) were grown in sitting drop vapor diffusion by mixing equal volumes of protein (10 mg/ml) with a solution containing 0.04 M potassium dihydrogen phosphate and 16% (w/v) PEG 8000 at 20°C. The complex crystals were cryopreserved in well solution.

Data Processing and Structure Determination

Data were collected to 2.4 Å resolution (GASDALIE Fc alone) and 3.1 Å resolution (Fc:Fc γ R111a_{F158} complex) at beamline 8.2.1 of the Advanced Light Source (ALS) at Lawrence Berkeley National Laboratory. Diffraction data were processed, indexed, integrated and scaled using iMosflm (Leslie and Powell, 2007) POINTLESS and SCALA, respectively (Evans, 2006; Evans, 2011). To determine the high-resolution cutoff for datasets, we used $I/\sigma I$ ratios and completeness of the highest resolution shell in addition to the criterion that the $CC_{1/2}$ statistic (correlation coefficient between two random halves of a data set) should be greater than 10% (Karplus and Diederichs, 2012). We used Phenix (Adams et al., 2010) to compute $CC_{1/2}$ values. Structures were solved by molecular

replacement using PHASER (McCoy et al., 2007) and published Fc and Fc:Fc γ RIIIa structures as search models (PDB codes 3DO3 and 3SGK). Modeling was done using COOT (Emsley et al., 2010). Crystallographic refinement was done using the Phenix crystallography package (Adams et al., 2010) by refining individual B factors for GASDALIE Fc and group B factors for the lower-resolution GASDALIE Fc:Fc γ RIIIa_{F158} structure. We used PyMol (Schrödinger, 2011) for superposition calculations and molecular representations. Protein interfaces, surfaces and assemblies' service PISA at the European Bioinformatics Institute (Krissinel and Henrick, 2007) was used to determine hydrogen bonding and electrostatic interactions at the GASDALIE Fc:Fc γ RIIIa_{F158} interface.

The GASDALIE Fc model ($R_{\text{free}} = 25.6\%$; $R_{\text{work}} = 23.5\%$) included 416 protein residues in the GASDALIE Fc dimer (Leu235 – Ser444 on chain A and Gly237 – Ser444 on chain B), 18 glycan residues (GlcNAc1-Gal6, Fuc12 on chain A and GlcNAc1-GlcNAc8, Fuc12 on Chain B), and 105 water molecules.

The GASDALIE Fc: Fc γ RIIIa_{F158} complex ($R_{\text{free}} = 29.6\%$; $R_{\text{work}} = 27.1\%$) included 417 protein residues in the GASDALIE Fc dimer (Ala236-Ser444 on chain A and Ala236-Leu443 on chain B), 17 Fc glycan residues (GlcNAc1-Gal6, Fuc12 on chain A and GlcNAc1-Gal6, Fuc12 on Chain B), 169 protein residues in the Fc γ RIIIa_{F158} ectodomain, and Fc γ RIIIa_{F158} glycans GlcNAc1 attached to Asn162 and GlcNAc1 attached to Asn45. Side chains were disordered for residues Lys246, Lys248, Glu258, Glu269, Asp270, Glu272, Glu294, Tyr300, Lys326, and Arg355 on chain A, Lys246, Gln419 and Ser442 on chain B in the GASDALIE Fc dimer. No electron density was observed for side chains Phe11, Leu48, Ile49, Glu68, Gln72, Lys101, Glu103, Thr116, Lys128, Lys 143, Arg155, Gln174 and residues Tyr33-Ser39 and Ser75-Leu78 in the Fc γ RIIIa_{F158} ectodomain.

Homology Modeling

We used Pymol (Schrödinger, 2011) to superimpose the D2 domains of Fc γ RIIa (3RY4) or Fc γ RIIb (2FCB) to the D2 domain of Fc γ RIIIa in the GASDALIE Fc:Fc γ RIIIa_{F158} complex and the wtFc:Fc γ RIIIa_{V158} complex (3SGJ) in order to generate homology models of GASDALIE Fc:Fc γ RIIa, GASDALIE Fc:Fc γ RIIb, wtFc:Fc γ RIIa, and wtFc:Fc γ RIIb. The D2 domain of Fc γ RIIIa in the wtFc:Fc γ RIIIa_{V158} structure was superimposed onto the D2 domain in the GASDALIE Fc:Fc γ RIIIa_{F158} complex to generate a GASDALIE Fc:Fc γ RIIIa_{V158} homology model. PISA (Protein interfaces, surfaces and assemblies) service at the European Bioinformatics Institute (Krissinel and Henrick, 2007) was used to evaluate hydrogen bonding and electrostatic interactions at the GASDALIE Fc:Fc γ RIIIa_{V158}, GASDALIE Fc:Fc γ RIIa and wtFc:Fc γ RIIa interfaces.

Results

Comparison of Affinities of Fc Variants for Activating and Inhibitory Fc γ Rs

Activating Fc γ Rs exist in variant forms in the human population depending on the amino acid identity at positions 158 (Phe158 or Val158 for Fc γ RIIIa) or 131 (His131 or Arg131 for Fc γ RIIa) (Ackerman and Nimmerjahn, 2014). The polymorphism at residue 158 of Fc γ RIIIa was reported to affect binding to Fcs: e.g., Fc γ RIIIa_{F158} exhibited an ~5-fold lower affinity for wtFc compared to Fc γ RIIIa_{V158} (Bournazos et al., 2014b); however, there was no interaction between residue 158 of Fc γ RIIIa and wtFc in the wtFc:Fc γ RIIIa_{V158} complex structure (PDB 3SGJ). The histidine to arginine polymorphism at residue 131 of Fc γ RIIa did not affect binding to wtFc of human IgG1 (Bournazos et al., 2014b), although Arg131 of Fc γ RIIa_{R131} interacts with Fc in a wtFc:Fc γ RIIa_{R131} complex structure (PDB 3RY6). For

our studies, we expressed the Phe158 and His131 forms of Fc γ RIIIa and Fc γ RIIa (Fc γ RIIIa_{F158} and Fc γ RIIa_{H131}) for comparisons with previous *in vitro* and *in vivo* studies (Bournazos et al., 2014a; Bournazos et al., 2014b; DiLillo and Ravetch, 2015a; Oganessian et al., 2008a; Richards et al., 2008; Sazinsky et al., 2008; Smith et al., 2012).

Fc γ R ectodomains, wtFc, and Fc variants were purified from supernatants of transiently-transfected mammalian cells as previously described (Diskin et al., 2010; Sprague et al., 2004). To attempt to resolve inconsistencies in the reported affinities of Fc mutants to Fc γ Rs (Bournazos et al., 2014a; Bournazos et al., 2014b; Richards et al., 2008; Sazinsky et al., 2008; Smith et al., 2012) (Table 1), we first directly compared the affinities of wtFc, SDALIE Fc and GASDALIE Fc for binding to immobilized Fc γ RIIa_{H131}, Fc γ RIIb, or Fc γ RIIIa_{F158} using a surface plasmon resonance (SPR)-based binding assay in which equilibrium dissociation constants (K_D values) were calculated by determining the ratio of kinetic constants based on global fits of the association and dissociation phases of sensorgrams. However, we found that the binding data could not be accurately modeled by a 1:1 binding interaction (Fig. 1a). When we calculated K_D values using the poorly-fit models, we obtained results similar to some of the previous reports that obtained affinities using a 1:1 binding interaction to model Fc:Fc γ R sensorgrams involving Fcs injected over immobilized Fc γ Rs (Bournazos et al., 2014a; Bournazos et al., 2014b; Bruhns et al., 2009; DiLillo and Ravetch, 2015a; Janeway et al., 2005; Smith et al., 2012) (Table 1). However, higher affinities were reported for methods that did not involve measurements of affinities for immobilized Fc γ Rs: for example, competition Biacore measurements (Lazar et al., 2006) (Table 1) or conventional SPR experiments in which the affinity of an injected Fc γ R for immobilized Fc was derived (Oganessian et al., 2008a; Richards et al., 2008). These results suggested the possibility of artifacts in experiments that derive affinities for direct interactions with immobilized Fc γ Rs.

To obtain consistent and more accurate comparisons of affinities, we used a measurement method similar to competition Biacore (Nieba et al., 1996) in which affinities are derived for Fc:Fc γ R interactions in solution. In this method, an Fc γ R (Fc γ RIIIa_{F158} in our experiments) is immobilized on a sensor chip, and a constant concentration of Fc incubated with varying amounts of a soluble Fc γ R (either Fc γ RIIIa_{F158}, Fc γ RIIIa_{H131}, or Fc γ RIIb) is injected over the sensor chip (Fig. 1b). Fc proteins that are bound to the Fc γ R in solution are unable to bind the immobilized receptor. By measuring the amount of Fc that binds to immobilized receptor, we can calculate the concentration of Fc bound to the soluble Fc γ R. As the concentration of soluble receptor is decreased, fewer Fc γ R interactions with Fc occur in solution, and more of the unbound Fc is available to bind to the immobilized receptor. This allows for correlation of the SPR signal generated from unbound Fc in solution with a signal derived from an independent calibration experiment recording SPR signals from a series of known Fc concentrations. The concentration values derived this way are used together with known concentrations of total Fc and soluble Fc γ R to calculate the K_D for a given Fc:Fc γ R interaction. Because the K_D values obtained using this method were higher than those derived in our own (Table 1) and in others' conventional SPR experiments (Bournazos et al., 2014a; Bournazos et al., 2014b; Bruhns et al., 2009; DiLillo and Ravetch, 2015a; Smith et al., 2012), we present the results as the fold improvement in the affinity of the SDALIE or GASDALIE Fc for a given Fc γ R in Table 1 (i.e., K_D of Fc mutant/ K_D of wtFc). Given the poor fits of models to the conventional SPR measurements (Fig. 1a) compared with the excellent fits for the competition-based experiments (Fig. 1b), we based our comparisons of wtFc:Fc γ R and mutant Fc:Fc γ R affinities on the competition-based experiments.

We found that both GASDALIE and SDALIE Fc bound to Fc γ RIIIa_{F158} with approximately the same increased affinity compared with wtFc (431-fold and 318-fold

increases for GASDALIE and SDALIE, respectively) (Table 1). GASDALIE Fc bound to Fc γ RIIa_{H131} 7-fold more tightly than did SDALIE Fc, with GASDALIE Fc showing 17-fold increased affinity for Fc γ RIIa_{H131} compared to wtFc, but SDALIE Fc and wtFc showing approximately the same affinity for Fc γ RIIa_{H131} (within ~2-fold). Both GASDALIE Fc and SDALIE Fc had a similar increase in binding to the inhibitory receptor Fc γ RIIb when compared to wtFc (5-6-fold). Although GASDALIE Fc and SDALIE Fc showed similar binding to Fc γ RIIIa_{F158} and Fc γ RIIb, the additional G236A mutation (GA in GASDALIE) further enhanced the affinity of GASDALIE Fc for Fc γ RIIa_{H131} when compared to SDALIE Fc (Table 1).

Crystal Structure of GASDALIE Fc Bound to Fc γ RIIIa

To facilitate crystallization of the GASDALIE Fc:Fc γ RIIIa_{F158} complex, three of five potential *N*-linked glycosylation sites on Fc γ RIIIa_{F158} were removed by site directed mutagenesis (N38Q, N74Q, N169Q). *N*-linked glycans at asparagines 45 and 162 were retained due to their effects on protein expression and Fc binding affinity, respectively (Ferrara et al., 2011; Ferrara et al., 2006). The complex structure was solved by molecular replacement using an afucosylated Fc:Fc γ RIIIa complex structure (PDB 3SGK) as a search model and refined to 3.1 Å ($R_{\text{cryst}} = 0.27$; $R_{\text{free}} = 0.29$) (Supplementary Table 1). *N*-linked glycans were built into electron density up to a terminal galactose on the 6-arm and up to a terminal GlcNAc on the 3-arm of Fc chains A and B (Supplementary Fig. 1a). On Fc γ RIIIa_{F158}, ordered electron density was observed for a GlcNAc attached to Asn45 and for a GlcNAc attached to N162 (Supplementary Fig. 1b). The sites of the GASDALIE substitutions (positions 236, 239, 330, and 332) were visible in ordered electron density on Fc chains A and B (Supplementary Fig. 1c).

Similar to previous structures of Fc:Fc γ R complexes (Ferrara et al., 2011; Matsumiya et al., 2007; Mizushima et al., 2011; Radaev et al., 2001), the GASDALIE Fc:Fc γ RIIIa_{F158} structure revealed an asymmetric 1:1 interaction in which the region connecting Fc γ RIIIa_{F158} domains 1 and 2 (D1 and D2) together with D2 loops BC, C'E and FG interacted with the lower hinge region of Fc chains A and B and the C_H2 domain BC, C'E and FG loops (Fig. 2a). Because the asymmetric binding of Fc γ Rs to two-fold symmetric Fc regions results in different effects of the Fc substitutions on the two chains of the Fc homodimer, we will describe comparisons of the GASDALIE Fc:Fc γ RIIIa_{F158} and wtFc:Fc γ RIIIa_{V158} (PDB 3SGJ) structures separately for both Fc chains. Starting with the interactions of Fc chain A with Fc γ RIIIa_{F158}, we found electrostatic interactions resulting from one of the GASDALIE substitutions, S239D, in which a positively-charged residue, Lys120, in the BC loop of Fc γ RIIIa_{F158} D2 was positioned in a pocket between Fc chain A residues Asp239 (SD in GASDALIE) and Asp265 (Fig. 2b). By comparison, in the wtFc:Fc γ RIIIa_{V158} complex, Fc residue Ser239 formed a hydrogen bond with Fc γ RIIIa Lys120. The introduced residues Leu330 and Glu332, the AL and IE substitutions of GASDALIE, did not play a role in the interface of Fc γ RIIIa_{F158} with chain A of GASDALIE Fc. Ala236 (the GA substitution in GASDALIE Fc) also was not at the binding interface; however, Gly236 in the wtFc:Fc γ RIIIa_{V158} complex formed a hydrogen bond with His135 of Fc γ RIIIa_{F158} (Fig. 2b). The salt bridge formed by Asp239 on Fc chain A (SD substitution in GASDALIE Fc and SDALIE Fc) and Lys120 of Fc γ RIIIa, which is not seen in wtFc:Fc γ RIIIa_{V158} complex structures (Ferrara et al., 2011; Mimoto et al., 2013; Mizushima et al., 2011; Radaev et al., 2001; Sondermann et al., 2000), may contribute to the increased affinity of GASDALIE Fc for Fc γ RIIIa, whereas the additional mutations G236A, A330L, and I332E do not participate in the interaction between GASDALIE Fc chain A and Fc γ RIIIa_{F158}.

On chain B of GASDALIE Fc, we found new interactions with the introduction of the GASDALIE substitutions that may also contribute to the enhanced affinity for Fc γ R11a_{F158} of GASDALIE compared to wtFc (Bournazos et al., 2014b; Smith et al., 2012) (Table 1). Lys161 in the FG loop of Fc γ R11a_{F158} formed an electrostatic interaction with Fc chain B residue Glu332, the IE substitution in GASDALIE Fc, an interaction not seen in wtFc:Fc γ R11a_{V158} complex structures since Ile332 of wtFc did not interact with Fc γ R11a_{F158} Lys161 (Fig. 2c). Ala236, the GA substitution in GASDALIE Fc, makes a hydrophobic contact with Fc γ R11a residue Phe158; however, Fc residue Gly236 in the wtFc:Fc γ R11a_{V158} complex formed a hydrogen bond with Lys161 of the receptor and did not contact receptor residue 158. Asp239 and Leu330 (the SD and AL substitutions in GASDALIE) on chain B were not at the binding interface with the receptor. The salt bridge formed by Glu332 on Fc chain B (IE substitution in GASDALIE Fc and SDALIE Fc) and Lys161 of Fc γ R11a_{F158}, and the hydrophobic interaction between Fc residue Ala236 and Fc γ R11a residue Phe158, which is not seen in wtFc:Fc γ R11a_{V158} complex structures (Fig. 2c), may have contributed to the increased affinity of GASDALIE Fc to Fc γ R11a, whereas the additional mutations on chain B (S239D and A330L) did not participate in the interface.

Modeling of the interactions of GASDALIE Fc with Fc γ R11a and Fc γ R11b

To gain understanding of the enhanced affinity of GASDALIE Fc for Fc γ R11a compared to SDALIE Fc and to wtFc (GASDALIE Fc binds Fc γ R11a with 17-fold increased affinity compared with wtFc, whereas SDALIE Fc binds Fc γ R11a with only 2-fold increased affinity compared with wtFc; Table 1 competition SPR results), we used homology modeling (Schrödinger, 2011) to create models of GASDALIE Fc:Fc γ R11a, and wtFc:Fc γ R11a complexes based on high-resolution structures of Fc γ R11a (PDB 3RY4), the wtFc:Fc γ R11a_{V158} complex (PDB 3SGJ) and the GASDALIE Fc:Fc γ R11a_{F158} complex

described in this study. We also created homology models of GASDALIE Fc:Fc γ R1Ib and wtFc:Fc γ R1Ib complexes using the Fc γ R1Ib structure (PDB 2FCB) to look for a structural rationalization for the relatively small effect of the GASDALIE substitutions on Fc binding to the inhibitory Fc γ R1Ib receptor (GASDALIE Fc and SDALIE Fc bind Fc γ R1Ib with only 5–6-fold increased affinities compared with wtFc; Table 1 competition SPR results). The homology modeling was feasible because the Fc-binding D2 domains of Fc γ R1Ia, Fc γ R1Ib, and Fc γ R1Ic share sequence and structural homology (root mean square deviations, rmsds, ranging from 0.5 – 0.7 Å for pairwise combinations) (Fig. 3a,b) and bind to Fcs with similar orientations, as demonstrated by crystal structures (Ferrara et al., 2011; Maxwell et al., 1999; Mimoto et al., 2013; Mizushima et al., 2011; Radaev et al., 2001; Ramsland et al., 2011; Sonderrmann et al., 1999; Sonderrmann et al., 2000; Sonderrmann et al., 2001) and site-directed mutagenesis studies (Maxwell et al., 1999; Mimoto et al., 2013; Ramsland et al., 2011; Shields et al., 2001; Sonderrmann et al., 1999; Sonderrmann et al., 2001).

To validate the modeling methods, we first constructed a homology model of the GASDALIE Fc:Fc γ R1Ia_{F158} structure using the wtFc:Fc γ R1Ia complex structure (PDB 3SGJ) as a starting reference. The GASDALIE Fc:Fc γ R1Ia_{F158} model exhibited a 1.2 Å rmsd compared with the GASDALIE Fc:Fc γ R1Ia_{F158} crystal structure (calculated for all C α atoms) and reproduced the interactions of the GASDALIE substitutions with Fc γ R1Ia_{F158}. The relatively large rmsd for the superposition is explained by the flexibility and partial disorder of the D1 domain of Fc γ R1Ia, which does not contact Fc in Fc:Fc γ R1Ia complex structures (Ferrara et al., 2011; Mizushima et al., 2011; Radaev et al., 2001; Sonderrmann et al., 2000) (Fig. 2a). By comparison, the D2 domain in the modeled GASDALIE Fc:Fc γ R1Ia_{F158} complex exhibited a lower rmsd (0.4 Å; calculated for 72 C α atoms) compared with the GASDALIE Fc:Fc γ R1Ia_{F158} crystal structure. Having validated the

homology modeling procedure, we generated homology models for GASDALIE Fc:Fc γ RIIa, GASDALIE Fc:Fc γ RIIb, wtFc:Fc γ RIIa, and wtFc:Fc γ RIIb complex structures by aligning the D2 domain of Fc γ RIIa or Fc γ RIIb with D2 of Fc γ RIIIa in the appropriate Fc:Fc γ RIIIa complex structure.

Several interactions predicted by the GASDALIE Fc:Fc γ RIIa and wtFc:Fc γ RIIa homology models gave insight into the 17-fold increased affinity of GASDALIE Fc versus wtFc for Fc γ RIIa. On Fc chain A, Asp239 (SD in GASDALIE) and Asp265 were predicted to form electrostatic interactions with Fc γ RIIa Lys117 (the counterpart of Fc γ RIIIa Lys120) (Fig. 3c, top left). Fc residue Asp265 may also hydrogen bond with Fc γ RIIa residue His131. By comparison, in the wtFc:Fc γ RIIa structure, Fc residue 239, a serine, did not contact Fc γ RIIa, although Fc residue Asp265 was predicted to form a salt bridge with Fc γ RIIa Lys117 and a hydrogen bond with Fc γ RIIa His131 (Fig. 3c, top right). Fc substitutions Leu330 and Glu332 (AL and IE in GASDALIE Fc) were not predicted to play a role in binding at the interface of Fc γ RIIa with GASDALIE Fc chain A. The effects of the GA substitution in GASDALIE Fc may be explained by a predicted hydrogen bond between GASDALIE Fc residue Ala236 (the site of the GA substitution) and Fc γ RIIa residue His131, whereas Fc residue Gly236 in wtFc is not predicted to interact with the Fc γ RIIa (Fig. 3c). Consistent with the similar binding affinities of GASDALIE Fc and wtFc for Fc γ RIIb (Table 1), the GASDALIE substitutions Ala236, Asp239, Leu330, and Glu332 in GASDALIE Fc chain A were not predicted to play a role at the binding interface with Fc γ RIIb in the GASDALIE Fc:Fc γ RIIb homology model (Fig. 3c, bottom left). Although Fc γ RIIa residue Lys117 was predicted to participate in electrostatic interactions and hydrogen bonding at the GASDALIE Fc:Fc γ RIIa interface, Lys117 of Fc γ RIIb was not predicted to interact with any of the Fc residues on chain A of either GASDALIE Fc or wtFc (Fig. 3c, bottom).

On Fc chain B, residues Asp239 (the SD substitution of GASDALIE) and Asp265 are predicted to hydrogen bond with Fc γ R1Ia residue Thr158 (Fig. 3d, top left). By comparison, if there is a serine instead of an aspartate at Fc position 239, as in wtFc, a hydrogen bond is predicted to form between the serine and Fc γ R1Ia residue Tyr157 (Fig. 3d, top right). The remaining GASDALIE substitutions (GA, AL, and IE substitutions) are not predicted to play a role at the interface in this region. In the GASDALIE Fc:Fc γ R1Ib model, Fc residue Ala236 (GA substitution) is predicted to hydrogen bond with Fc γ R1Ib residues Tyr160 and possible also Thr158 (Fig. 3d, bottom left). If there is a glycine at Fc position 236 instead of an alanine, as in the wtFc, a hydrogen bond is predicted to form with Fc γ R1Ib residue Tyr160 (Figure 3d, bottom right). Asp239, Leu330 and Glu332 (GASDALIE SD, AL, and IE substitutions) are not predicted to play a role at the GASDALIE chain B Fc interface with Fc γ R1Ib. Thus the GASDALIE Fc substitutions in Fc chain B do not exert major effects on interactions with Fc γ R1Ib. The addition of the G236A mutation is predicted to result in an increase of hydrogen bonding at the GASDALIE Fc:Fc γ R1Ia interface but not at the GASDALIE Fc:Fc γ R1Ib interface, which may explain the 7-fold increase in affinity for Fc γ R1Ia of GASDALIE Fc when compared to SDALIE Fc, which lacks the GA substitution in GASDALIE Fc.

Conformations of free and receptor-bound GASDALIE Fc

In order to determine if unbound GASDALIE Fc exhibits conformational changes associated with its increased affinity for Fc γ R1Ia_{F158} compared with wtFc, we solved a 2.4 Å resolution crystal structure of GASDALIE Fc alone by molecular replacement ($R_{\text{cryst}} = 0.24$; $R_{\text{free}} = 0.26$). The structure revealed a typical Fc homodimer with *N*-glycans attached to C_H2 domain residue Asn297. Ordered electron density was observed up to a terminal galactose on the 6-arm and up to a terminal *N*-acetyl glucosamine on the 3-arm of the *N*-

linked glycan, as found in wtFc structures and the SDALIE Fc structure (Oganessian et al., 2008a). The SDALIE substitutions were located in ordered electron density; however, the region containing Ala236 (the GA substitution in GASDALIE) was disordered on both chains A and B (Supplementary Fig. 1d).

To assess potential differences between GASDALIE Fc and wtFc structures, the C_H3 domains of each Fc were aligned and the positions of the C_H2 domains were compared (Figure 4a,b). We monitored C_H2 domain separations in the various Fc structures by measuring distances between the C α atoms at C_H2 positions 238, 241, 301, and 329 as described (Ahmed et al., 2014; Teplyakov et al., 2013) (Figure 4c). As previously noted, wtFc structures exhibit relatively small structural differences, showing similar C_H2 domain separations despite differences in crystal packing (Ahmed et al., 2014). The separation between the C_H2 domains of GASDALIE Fc structure fell within range of C_H2 domain separations in wtFc (PDB 3DO3, 2DTS, 3AVE, 1HZH and 4Q7D); therefore the GASDALIE mutations did not greatly influence the overall C_H2-C_H2 domain arrangement in the unbound structure (Fig. 4a; Table 2). We also aligned the C_H3 domains of the unbound GASDALIE Fc structure with the C_H3 domains of the 2.5 Å SDALIE Fc structure (PDB 2QL1) (Oganessian et al., 2008a). SDALIE Fc was in a more open conformation than GASDALIE with an rmsd of 5.8 Å calculated for the 201 C_H2 domain C α atoms (Fig. 4a).

We next compared the free GASDALIE Fc structure to the structure of GASDALIE solved in complex with Fc γ RIIIa_{F158}. No notable differences were seen in side chain conformations at the sites of the GASDALIE mutations (Supplementary Fig. 1c,d). The C_H2 domains of the bound GASDALIE Fc were in a more open conformation compared with the unbound form (Fig. 4b, Table 2) (rmsd of 2.3 Å calculated for the 202 C α atoms of the C_H2 domains of one Fc after alignment of the C_H3 domains in each structure) and the

Fc γ RIIIa_{F158}-bound GASDALIE Fc fell within the range of C_H2 domain separations for receptor-bound Fc structures (Fig. 4b; Table 2). This result was expected since previously solved complexes of afucosylated and fucosylated Fc bound to Fc γ RIIIa did not show major changes in overall Fc conformation (Ferrara et al., 2011; Sondermann et al., 2000).

Discussion

The effector functions of IgG can be modulated with glycan and protein modifications to its Fc region (Ackerman and Nimmerjahn, 2014). Here we examined the effects of introducing amino acid substitutions in the Fc C_H2 domain, either G236A/S239D/A330L/I332E (GASDALIE) or S239D/A330L/I332E (SDALIE), which increase binding to activating Fc γ R receptors but exhibit relatively small affinity increases for the Fc γ RIIb inhibitory receptor (Bournazos et al., 2014a; Bournazos et al., 2014b) (Table 1). These effects lead to enhanced Fc-mediated effector functions, of importance for the efficacies of therapeutic antibodies against cancer and infectious diseases (Ferrara et al., 2011; Lazar et al., 2006; Matsumiya et al., 2007; Mizushima et al., 2011; Richards et al., 2008).

Previous SPR-based measurements to derive affinities of wtFc and mutant Fcs for Fc γ Rs used a 1:1 (Langmuir) binding model to derive kinetic constants for the interactions of Fc proteins with immobilized receptors (Bournazos et al., 2014b; Lazar et al., 2006; Richards et al., 2008; Smith et al., 2012). Here we showed that sensorgrams of Fc binding to immobilized Fc γ Rs do not fit a 1:1 binding model, and are therefore unlikely to result in reliable binding affinity constants (Fig. 1a). In order to avoid inaccuracies resulting from poorly fit models of Fc binding to immobilized receptors, we chose a measurement method based on equilibrium binding in solution to derive affinities for Fc γ Rs binding to the GASDALIE and SDALIE Fcs (Fig. 1b). The method is based on concentration

measurements of unbound ligands after equilibrium binding to their binding partner (Nieba et al., 1996); in this case, Fc binding to an Fc γ R. Concentrations of free ligand were determined using a calibration measurement series carried out in the same experiment. Using this method, we found that GASDALIE Fc and SDALIE Fc showed over two orders of magnitude of enhanced binding compared with wtFc to the activating Fc receptor Fc γ RIIIa_{F158}, but only a 5-6-fold increase in binding to the inhibitory receptor Fc γ RIIb. GASDALIE Fc also exhibited increased binding (17-fold higher affinity) to Fc γ RIIa, whereas the affinities of SDALIE Fc and wtFc for Fc γ RIIa were similar (Table 1). Although our structural studies showed that the G236A substitution that distinguishes GASDALIE and SDALIE formed a hydrophobic contact with residue Phe158 of Fc γ RIIIa_{F158} in the GASDALIE Fc:Fc γ RIIIa_{F158} complex structure, it did not cause a difference in increased binding affinity between SDALIE Fc and GASDALIE Fc to Fc γ RIIIa_{F158} when compared to wtFc (Fig. 2; Table 1); however, homology modeling of a GASDALIE Fc:Fc γ RIIa complex suggested that GASDALIE Fc residue Ala236 could enhance binding of GASDALIE Fc to Fc γ RIIa through increased H-bond interactions as compared with binding of SDALIE and wtFc (Fig. 3).

We used the GASDALIE Fc:Fc γ RIIIa_{F158} complex crystal structure to rationalize the increased affinities for activating Fc γ Rs resulting from the GASDALIE substitutions. The structure revealed electrostatic interactions resulting from the interactions of negative charges introduced by the SD and IE GASDALIE substitutions with lysine residues in the receptor; e.g., the side chain of Fc γ RIIIa Lys120 extended into a pocket on Fc containing chain A residues Asp265 and Asp239 (SD in GASDALIE). Although not one of the GASDALIE substitutions, Fc residue Asp265 is important for interactions with Fc γ Rs to mediate ADCC: when this residue was substituted for alanine, binding to both activating and inhibitory Fc γ Rs was abrogated (DiLillo et al., 2014). The introduction of Asp239 (SD

in GASDALIE and SDALIE) may further stabilize the Asp265_{Fc}/Lys120_{FcγR} interaction contributing to the higher than wtFc affinities of GASDALIE and SDALIE Fcs for FcγRIIIa. FcγRIIa also includes a lysine at position 120 predicted to form similar electrostatic interactions with Fc chain A Asp239 leading to an enhanced binding to activating FcγRs. Another receptor lysine, Lys161_{FcγRIIIa}, formed an electrostatic interaction with GASDALIE Fc residue Glu332 (the IE portion of the GASDALIE substitutions), which cannot occur in wtFc containing an isoleucine at position 332. The greater increase in electrostatic interactions at the interface of GASDALIE Fc with FcγRIIIa_{F158} (GASDALIE Asp239 interactions with FcγRIIIa_{F158} Lys120 and GASDALIE Glu332 interactions with FcγRIIIa_{F158} Lys161) and FcγRIIa (GASDALIE Asp239 interactions with FcγRIIa Lys120) suggests that electrostatic interactions with receptor lysines are the main contributors in enhancement of GASDALIE Fc binding to activating receptors, with FcγRIIIa benefitting more than FcγRIIa from the SD and IE substitutions of GASDALIE Fc.

We also present the structures of GASDALIE Fc in its unbound state. Fc structures exhibit varying degrees of openness, as assessed by the separation of their C_H2 domains (Ahmed et al., 2014; Ferrara et al., 2011; Mizushima et al., 2011; Oganessian et al., 2008a; Radaev et al., 2001; Sondermann et al., 2001), although wtFc structures are relatively similar to each other (Ahmed et al., 2014). The GASDALIE Fc structure was similar to wtFc structures in that the separation of its C_H2 domains fell within the range of C_H2 domain separations in wtFc structures (Fig. 4A; Table 2). By contrast, the SDALIE Fc structure was in a more open conformation than wtFc structures (Oganessian et al., 2008a). However, notable changes in the side chain conformations of the SDALIE substitutions were not observed when compared to GASDALIE Fc.

Conclusions

Here we present binding and structural data relevant to understanding the effects of the GASDALIE substitutions in Fc for its interactions with Fc γ Rs. We found that both GASDALIE and SDALIE Fcs exhibited large increases in binding to activating Fc γ Rs, most notably to Fc γ R11a. This property, when combined with their relatively small effects on binding to the inhibitory Fc γ R11b receptor, is optimal for eliciting activation of Fc γ R11a- and Fc γ R11a-expressing cells leading to ADCC. Further co-crystallization experiments with both Fc γ R11a and Fc γ R11b and binding experiments with other Fc variants will be essential for further understanding how these mutations allow selection for activating Fc receptors.

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Accession numbers

Atomic coordinates and structure factors have been deposited in the PDB with accession codes 5D4Q (GASDALIE Fc) and 5D6D (GASDALIE Fc:Fc γ R11a)

IgG Variant	Detection Method	FcγRIIIa K _D (nM)	Variant	Fold improved affinity compared with wtFc	FcγRIIIa K _D (nM)	Variant	Fold improved affinity compared with wtFc	FcγRIIb K _D (nM)	Fold improved affinity compared with wtFc	Reference
wtFc (IgG1)	Conventional SPR (FcR surface)	2950	F158		1380	H131		2520		Smith et al., 2012
	Conventional SPR (FcR surface)	432	V158		1550	R131				Smith et al., 2012
	Conventional SPR (FcR surface)	2220	F158		1330	R131		2700		Bournazos et al., 2014a
	Conventional SPR (FcR surface)	2200	F158		1400	H131		2400		Bournazos et al., 2014b
	Conventional SPR (FcR surface)	410	V158		1500	R131				Bournazos et al., 2014b
	Conventional SPR (FcR surface)	2950	F158		1550	R131		2520		DiLillo et al., 2015
	?	2000	?		500	?		500		Janeway et al., 2005
	Conventional SPR (FcR surface)	854	F158		192	H131		8333		Bruhns et al., 2009
	Conventional SPR (FcR surface)	500	V158		286	R131				Bruhns et al., 2009
	Competition SPR (FcR surface)	252	V158							Lazar et al., 2006
	Conventional SPR (FcR surface)	4454	F158		4486	H131		9275		current publication
SDALIE Fc	Conventional SPR (FcR surface)	201	F158	22	1487	H131	3	2193	4	current publication
	Competition SPR (FcR surface)	--	F158	318	--	H131	2	--	5	current publication
GASDALIE Fc	Conventional SPR (FcR surface)	100	F158	30	82	H131	17	736	3	Smith et al., 2012
		124	V158	3	63	R131	25			Smith et al., 2012
	Conventional SPR (FcR surface)	144	F158	15	104	R131	13	1670	2	Bournazos et al., 2014a
	Conventional SPR (FcR surface)	110	F158	20	82	H131	17	1500	2	Bournazos et al., 2014b
	Conventional SPR (FcR surface)	100	V158	4	120	R131	13			Bournazos et al., 2014b
	Conventional SPR (FcR surface)	100	F158	30	63	R131	25	736	3	DiLillo et al., 2015
	Conventional SPR (FcR surface)	213	F158	21	1585	H131	3	3882	2	current publication
	Competition SPR (FcR surface)	--	F158	431	--	H131	17	--	6	current publication

Table 1. Comparison of Fc:FcγR affinities

PDB	Protein	Resolution (Å)	Space group	Unit cell a, b, c (Å) α, β, γ (°)	Pro238 (Å)	Phe241 (Å)	Arg301 (Å)	Pro329 (Å)
5D4Q	GASDALIE Fc	2.4	P2 ₁ 2 ₁ 2 ₁	49, 79, 138 90, 90, 90	19	22	33	24
2QL1	SDALIE Fc	2.5	C222 ₁	50, 149, 76 90, 90, 90	28	29	37	39
5D6D	GASDALIE Fc: Fc γ RIIIa	3.1	P2 ₁ 2 ₁ 2 ₁	74, 95, 108 90, 90, 90	25	25	38	31
3SGJ	afucosylated Fc: Fc γ RIIIa	2.2	P2 ₁ 2 ₁ 2 ₁	67, 89, 140 90, 90, 90	26	26	37	32
3SGK	fucosylated Fc: Fc γ RIIIa	2.4	P2 ₁ 2 ₁ 2 ₁	67, 88, 141 90, 90, 90	26	27	37	33
3AY4	afucosylated Fc: Fc γ RIIIa	2.2	P4 ₁ 2 ₁ 2	77,77,350 90, 90, 90	25	26	37	31
1E4K	fucosylated Fc: Fc γ RIIIb	3.2	P6 ₅ 22	115, 115, 299 90, 90, 120	25	26	37	30
1T89	fucosylated Fc: Fc γ RIIIb	3.5	P6 ₅ 22	115, 115, 301 90, 90, 120	25	26	37	31
1T83	fucosylated Fc: Fc γ RIIIb	3.0	P2 ₁ 2 ₁ 2 ₁	73, 102,123 90, 90, 90	25	26	37	31

Table 2. Comparison of C_H2 domain separation in Fc structures

FIGURE LEGENDS

Figure 1. SPR binding assays of Fc:Fc γ R interactions. (a) Sensorgrams from conventional SPR experiments in which Fc proteins were injected over immobilized Fc γ Rs. Experimental data (colored lines) were fit to a 1:1 binding model (black lines). Residual plots are shown below each set of sensorgrams. For most interactions, the association and/or dissociation phases of the sensorgrams do not fit a 1:1 binding model. In cases in which the association and dissociation rates are very fast, the 1:1 binding model appears to fit the sensorgrams, but the kinetic rate constants are outside of the detectable range of the instrument and the equilibrium RU values do not converge as the concentration of analyte is raised. (b) Competition SPR results. Equilibrium binding curves for interactions of the Fc variants (wtFc, SDALIE Fc, and GASDALIE Fc) with Fc γ receptors (Fc γ RIIIa, Fc γ RIIa, and Fc γ RIIb). Each curve represents an equilibrium binding experiment in which the free Fc concentration (y-axis) is plotted versus the competitor (Fc γ R) concentration (logarithmic x-axis). Data points (triangles, circles, or squares) were fit by non-linear regression to the second order root function to an equilibrium binding model (solid black line) as described in the Methods. The more a curve is shifted to the left, the stronger the Fc:Fc γ R binding (i.e., the higher the affinity).

Figure 2. Structure of GASDALIE Fc:Fc γ RIIIa_{F158} complex. (a) Overview of the GASDALIE Fc:Fc γ RIIIa_{F158} complex. Fc γ RIIIa_{F158} is in pink ribbon representation and GASDALIE Fc is in blue ribbon representation with the G236/S239D/A330L/I332E mutations highlighted as red spheres and N-linked glycans shown as blue sticks. (b-c) Comparison of interfaces in the GASDALIE Fc:Fc γ RIIIa_{F158} and wtFc:Fc γ RIIIa structures on Fc chain A (panel b) and Fc chain B (panel c). Residues involved in interactions are shown in magenta (Fc γ RIIIa)

and blue (Fc) with oxygen atoms in red and nitrogens in blue. Dotted lines between atoms represent electrostatic interactions (red) or hydrogen bonds (yellow). Residues that do not participate in hydrogen bonds or electrostatic interactions are shown in gray.

Figure 3. Comparison of the interfaces of Fc γ RIIa and Fc γ RIIb with GASDALIE Fc and wtFc using homology modeling. (a) Alignment of the D2 domains of Fc γ RIIa (PDB 3RY4, yellow), Fc γ RIIb (PDB 2FCB, green), and Fc γ RIIIa (pink; from the GASDALIE Fc:Fc γ RIIIa complex structure). (b) Sequence alignment of the D1 and D2 domains of Fc γ RIIa, Fc γ RIIb and Fc γ RIIIa. Identical residues are highlighted in green and similar residues are highlighted in gray. (c-d) Homology modeled interfaces of GASDALIE Fc and wtFc with Fc γ RIIa and Fc γ RIIb. Predicted electrostatic interactions (red) and hydrogen bonds (yellow) are shown as dashes. Residues that are not predicted to participate in the Fc:Fc γ R interfaces are shown in gray. (c) Interactions of chain A of GASDALIE Fc (left) and wtFc (right) with Fc γ RIIa (top) and Fc γ RIIb (bottom). (d) Interactions of chain B of GASDALIE Fc (left) and wtFc (right) with Fc γ RIIa (top) and Fc γ RIIb (bottom).

Figure 4. Structure of unbound GASDALIE Fc. (a) Comparison of structures of unbound GASDALIE Fc (cyan), SDALIE Fc (blue; PDB 2QL1), and wtFc (gray; PDB IDs: 3DO3, 2DTS, 3AVE, 4Q7D, 1HZH) after alignment of C_H3 domains. (b) Comparison of unbound GASDALIE Fc (cyan), Fc γ RIIIa-bound GASDALIE Fc (blue-gray), and SDALIE Fc (blue) after alignment of C_H3 domains. (c) wtFc structure (PDB: 3AVE) showing location of C α atoms for Pro238, Phe241, Arg301, and Pro329 (red spheres) used for C_H2 domain separation distance measurements. C_H2 domain separations in individual Fc structures in Table 2 were evaluated by measuring distances (dotted lines) between the corresponding

red spheres on each chain. C α atoms for Asn297 (site of N-glycan attachment) indicated as blue spheres.

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Figure 1

Figure 2

a

b

c

Figure 3

Figure 4