The Influences of Soft and Stiff Inclusions on the Mechanical Properties of Cementitious Composites

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Abstract

The embedment of microencapsulated phase change materials (PCM) is a promising means for improving the **thermal inertia** of concrete. However the addition of such soft microcapsules degrades the mechanical properties, i.e., the elastic moduli and compressive strength, of cement-based composites. This study experimentally quantifies the effects of stiff quartz inclusions and soft PCM microcapsules, individually, and when added together, on the mechanical properties of cementitious composites. In addition, a variety of effective medium approximations (EMAs) were evaluated for their ability to predict the experimentally measured composite effective moduli. The EMAs proposed by Hobbs and Garboczi and Berryman (G-B) reliably estimate experimental data. The experimental data and the EMAs were applied to develop a design rule for performance equivalence, such that the composite modulus of elasticity can be maintained equivalent to that of the cementitious paste matrix, in spite of the addition of soft PCM microcapsules.

Keywords: Elasticity; Mechanical properties; Micromechanics; Mechanical testing; Modeling

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1. Introduction

Cementitious composites consist of hard mineral inclusions embedded within a matrix that is dominantly composed of calcium silicate hydrates [1]. Inclusions are embedded in cementing composites to reduce cost, since mineral aggregate inclusions (i.e., stone and sand) are far less expensive than cement, or to alter performance, such as to improve their stiffness [2]. There is interest in embedding microencapsulated phase change materials (PCM) to concrete to improve its thermal inertia, e.g., for building envelope applications. Indeed, based on their ability to store and release heat, PCMs increase the thermal inertia of building materials, and can thus improve the energy efficiency of buildings [3–8]. More recently, Fernandes et al. [9] also demonstrated the ability of PCM inclusions to reduce thermal cracking in cementitious systems. In such cases, it has been noted that while the addition of PCM improves thermal performance, it degrades the composite's mechanical properties (i.e., both elastic modulus and compressive strength) [9].

Several studies have noted that the compressive strength of cementitious materials decreases with increasing PCM content [9–11]. However, data on the influence of PCMs on the stiffness of cementitious composites are far less available. Reductions in the mechanical properties are problematic, as for reasons of structural capacity, even in building envelope applications, it is necessary that cement-based composites demonstrate sufficient strength and stiffness. Since PCMs are soft inclusions, i.e., substantially weaker than the inorganic cementing matrix, parallels can be drawn to the additions of other soft inclusions to cementitious systems, e.g., of rubber inclusions, EPS (expanded polystyrene) inclusions etc., which may be added to reduce the density of concrete [12–20]. While these studies indicate similar trends as in the case of PCM additions, i.e., the mechanical properties degrade with increasing inclusion content, they seldom present complete experimental data of elastic moduli (E , K , or G) and less often utilize EMAs to describe composite properties in relation to inclusion characteristics. Thus, this study has three main aims:

- To experimentally quantify the reduction in stiffness (modulus of elasticity, E) that is caused due to the addition of microencapsulated PCMs to cementitious mortars and to assess the success of the countermeasure of adding stiff (i.e., quartz) inclusions,
- To critically assess the ability of EMAs to predict the modulus of elasticity of cementitious materials containing both soft and stiff inclusions. While such studies have often been carried out for the case of inclusions stiffer than the matrix, far fewer studies have considered the case of both stiff and soft inclusions embedded simultaneously, and,
- To develop design rules which permit the formulation of composites with a modulus of elasticity that is equivalent to that of the paste matrix, even when soft inclusions are added, with due consideration of the properties/dosages of matrix and inclusion components.

2. Background

2.1. Experimental studies of the mechanical properties of cementitious composites

Experimental studies of cementitious composites containing soft inclusions have frequently relied on measurements of compressive strength as an indication of mechanical properties. Eldin and Senouci [13] measured the compressive strength of concretes containing tire rubber added by replacement of mineral aggregate. Their experimental data fit the empirical model of Popovics [21], which predicts an exponential decay in the compressive strength with increasing inclusion volume fraction. Le Roy et al. [22] presented compressive strength data of concrete containing EPS beads. The data were fit to a hyperbolic function that accounted for the maximum packing fraction of EPS, which was modified by an empirical “fitting factor”. Hunger et al. [10] measured the compressive strength of concretes containing PCMs and observed a 13% decrease in strength for each 1% increase in PCM dosage (by mass of concrete). Cui et al. [23] noted a 1% decrease in compressive strength for each 1% increase in PCM mass percentage in a cement paste matrix.

The influence of soft inclusions on the effective modulus of elasticity of cementitious composites has been far less frequently evaluated. Ghaly and Gill [24] measured the modulus of elasticity of concrete containing plastic chips at various water-to-cement ratios (w/c, by mass). The reduction in the modulus of elasticity due to plastic inclusions was presented as a function of the percent area of plastic inclusions measured in 2D slices. Their data was fit to an exponential relation, but the scatter in experimental data was large. Ganjian et al. [25] measured the modulus of elasticity of concretes containing scrap tire rubber. They observed a decrease in the modulus as a function of rubber volume fraction, but no attempt was made to describe these trends by fitting models or by the use of effective medium approximations. Shin et al. [20] presented modulus of elasticity data of cement mortars containing EPS inclusions dosed in partial replacement of normal weight sand inclusions. Xu et al. [26] noted an approximately linear decrease in the measured modulus of elasticity of EPS-containing concretes, and fit the data to an empirical polynomial relation. While these studies present valuable experimental data of the influence of soft inclusions on effective moduli, the mixture designs considered are not conducive to assessing the simultaneous effect of the addition of stiff inclusions. This is because they generally utilized the mortar (cement paste + stiff inclusions) as a homogeneous matrix component. In summary, while these studies clearly highlight the negative effects of soft inclusions on the mechanical response of concretes, the relations developed do not offer quantitative guidelines for predicting composite properties based on the mixture proportions and component properties, as they require fitting to empirical data, and are often mixture specific.

2.2. Effective medium approximations and their application to cementitious composites

Concrete comprises inclusions embedded in a cement paste matrix. Due to the formation of a water-rich zone around non-reactive inclusions, and wall-effects which hamper particle packing near flat surfaces, a surrounding layer of porous, and therefore weaker hydration products known as the interfacial transition zone (ITZ), develops around the aggregates [27]. This effect is independent of inclusion stiffness, but depends on particle size, i.e., a thicker ITZ forms around larger aggregates [28,29]. This results in cementitious microstructures consisting at a minimum of three material components, i.e., (i) the cement paste matrix, (ii) the aggregate inclusions, and (iii) the ITZ. While pores are present in the matrix (including in the ITZ) and aggregates, they are not considered as a discrete component, but rather their influence is lumped into the elastic response of the paste matrix and aggregates, respectively [30]. The addition of PCMs further complicates the organization of cementitious microstructures due to another component being added. To better evaluate these aspects, this section provides an overview of effective medium approximations (EMAs) for the elastic moduli of composite media and highlights how formulation details, e.g., the volume fractions, spatial distribution, and properties of inclusion, matrix and interfacial components may influence the property predictions [2]. In general, all EMAs discussed assume that the individual material components are: i) homogeneous, ii) isotropic, and iii) are (essentially) linear elastic.

The parallel (isostrain) and series (isostress) formulations developed by Voigt [31] and Reuss [32], respectively, can be applied to estimate the lower and upper bounds on the elastic moduli of two-component composites. Hansen [33] noted that the isostrain assumption is better when the stiffness of the matrix is greater than that of the inclusion, and the opposite for the isostress case. Hill [34] highlighted that the arithmetic mean of the Reuss and Voigt EMAs can be used as an approximation within these bounds, often referred to as the Reuss-Voigt-Hill (RVH) average.

Hashin and Shtrikman (H-S) [35] developed bounds for the elastic moduli of multi-component composites, which were proved to be the tightest bounds possible without any consideration of microstructural geometry [36]. Walpole's formulation of these bounds, which is readily extended to "N" component composites, is expressed as [36]

$$\left[\sum_{r=1}^N \phi_r (K'_l + K_r)^{-1} \right]^{-1} - K'_l \leq K_{eff} \leq \left[\sum_{r=1}^N \phi_r (K'_g + K_r)^{-1} \right]^{-1} - K'_g \quad (1a)$$

$$\left[\sum_{r=1}^N \phi_r (G'_l + G_r)^{-1} \right]^{-1} - G'_l \leq G_{eff} \leq \left[\sum_{r=1}^N \phi_r (G'_g + G_r)^{-1} \right]^{-1} - G'_g \quad (1b)$$

135 where: $K'_l = \frac{4}{3}G'_l$, $K'_g = \frac{4}{3}G'_g$, $G'_l = \frac{3}{2}\left(\frac{1}{G_l} + \frac{10}{9K_l + 8G_l}\right)^{-1}$, and $G'_g = \frac{3}{2}\left(\frac{1}{G_g} + \frac{10}{9K_g + 8G_g}\right)^{-1}$. In

136 this equation, K_l and G_l are the least bulk and shear moduli of the components, while K_g and G_g
 137 are the greatest. The subscript "r" refers to the r^{th} component in the composite. Nilsen and
 138 Monteiro [37] observed that experimental elastic moduli of cementitious composites often lie
 139 below the H-S lower bound. They attributed this mismatch to the presence of the weaker ITZ
 140 and concluded that three-component EMAs must be used in such cases. While such aspects are
 141 relevant to the case of PCM-containing concretes, the H-S bounds are known to be very wide
 142 when the ratio of the stiffnesses of the individual components is greater than 10 [38]. Le Roy et
 143 al. [22] found that the Hashin-Shtrikman upper bound provided a reasonable fit to experimental
 144 modulus of elasticity data EPS-containing concrete.

145
 146 Hobbs [39] derived EMAs for the Young's modulus for two cases: (a) when the Poisson's ratios
 147 of the components are identical, and (b) when there is considerable mismatch in Poisson's
 148 ratios and the inclusions are assumed to be voids (such that $E_i \approx 0$). The two resulting equations
 149 for the effective composite modulus of elasticity based on these assumptions are, respectively

$$150 \quad E_{eff} = E_m \left[1 + \frac{2\phi_i(E_i - E_m)}{(E_i + E_m) - \phi_i(E_i - E_m)} \right] \quad (2a)$$

151 and

$$E_{eff} = E_m \left[\frac{(1 - 2\nu_{eff})(1 - \phi_i)}{(1 - 2\nu_m)(1 + \phi_i)} \right] \quad (2b)$$

152 where E_{eff} is the effective composite modulus of elasticity, E_i and E_m are the moduli of elasticity
 153 of the inclusions and matrix. The volume fractions ϕ_i and ϕ_m of the inclusions and the matrix,
 154 respectively are such that $\phi_i + \phi_m = 1$. The effective Poisson's ratio of the composite is ν_{eff} , while
 155 ν_i and ν_m are the Poisson's ratios of the inclusion and matrix. In Equation 2(b), it is unclear what
 156 value to assume for the effective Poisson's ratio of the composite. The Poisson's ratio is often
 157 calculated from the effective RVH-averaged bulk (K) and shear (G) moduli. However, the
 158 present study applies the RVH-averaged Poisson's ratio, given by

$$159 \quad \nu_{eff} = \left[(v_i\phi_i + v_m\phi_m) + \left(\frac{v_i v_m}{v_i\phi_m + v_m\phi_i} \right) \right] / 2 \quad (2c)$$

160 This relation was selected as it yielded a more gradual transition in the Poisson's ratio with
 161 increasing PCM volume fraction, thereby offering better agreement with measured modulus of
 162 elasticity data (see also supplementary information, Section S1 for further discussion).

163
 164 Rigorous EMAs for the composite properties require consideration of the geometrical features
 165 of inclusion dispersion in the composite to render accurate predictions. This is more so when
 166 the inclusions are softer than the matrix, and hence inclusion shape may be influential [40]. The

generalized self-consistent method (GSCM) popularized by Christensen and Lo [41] is one such EMA for predicting elastic moduli. The effective shear modulus of the composite via the GSCM is obtained from the non-negative root of the equation [42]

$$\alpha[(G_{eff}/G_m)-1]^2 + \beta[(G_{eff}/G_m)-1] + \gamma = 0 \quad (3)$$

where the parameters α , β , and γ are dependent upon the Poisson's ratios of the inclusion and matrix, as well as the inclusion volume fraction [41]. Christensen [43] also detailed a formulation of the bulk modulus of the composite which is identical to the result of the composite sphere assemblage of Hashin [44]. Huang et al. [45] demonstrated a simple approximation for the GSCM for application to N-component composites.

Mori and Tanaka [46] developed a method for calculating the elastic moduli of a composite based on the concept of eigenstrains and 'average stress' in the matrix. For a composite of randomly distributed spherical inclusions at relatively large concentrations, the relevant Mori-Tanaka (M-T) equations for bulk and shear modulus are [46]

$$K_{eff} = K_m + \frac{\phi_i(K_i - K_m)}{1 + (1 - \phi_i)\frac{K_i - K_m}{K_m + \frac{4}{3}G_m}} \quad (4a)$$

$$G_{eff} = G_m + \frac{\phi_i(G_i - G_m)}{1 + (1 - \phi_i)\frac{G_i - G_m}{G_m + F_m}} \quad (4b)$$

where, for spherical inclusions, $F_m = \left(\frac{G_m}{6}\right)\frac{9K_m + 8G_m}{K_m + 2G_m}$. These equations can also be written

for multi-component composites [47]. Weng [48] showed that the M-T formulation is equivalent to the H-S upper or lower bounds of a composite containing spherical inclusions of either hard or soft homogeneous and isotropic particles, respectively. For other multi-component composites, however, Norris [49] demonstrated that the bulk modulus predicted by the M-T scheme can exceed the H-S bounds for certain combinations of mechanical properties of the different constituents, e.g., in a three-component composite where the inclusion bulk moduli are two to three times greater than that of the matrix.

Kuster and Toksöz (K-T) [50] used a wave-scattering analogy to develop an EMA for the elastic moduli of composites composed of a continuous matrix containing randomly embedded, polydisperse, spherical homogeneous inclusions. Berryman and Berge [51] found that this EMA and that of Mori and Tanaka are accurate only when the inclusion volume fraction is less than 0.30. The multi-component K-T equations for bulk and shear modulus as written by Berryman and Berge [51] are given by

$$(K_{eff} - K_m)\frac{K_m + \frac{4}{3}G_m}{K_{eff} + \frac{4}{3}G_m} = \sum_{i=1}^N \phi_i(K_i - K_m)P^{m,i} \quad (5a)$$

$$(G_{eff} - G_m) \frac{G_m + \frac{4}{3} F_m}{G_{eff} + \frac{4}{3} F_m} = \sum_{i=1}^N \phi_i (G_i - G_m) Q^{m,i} \quad (5b)$$

where, for spherical inclusions, $P^{m,i} = \frac{K_m + \frac{4}{3} G_m}{K_i + \frac{4}{3} G_m}$, $Q^{m,i} = \frac{G_m + F_m}{G_i + F_m}$, and the subscript "i=1" corresponds to the matrix. F_m is the same as given in the M-T EMA (see Equation 4).

The differential effective medium theory (D-EMT) replaces an incremental volume fraction of the matrix with inclusion, such that the inclusion volume fraction can be approximated as dilute. The particle and matrix are homogenized, and the incremental replacement is repeated, such that large inclusion volume fractions can be incorporated into the effective matrix. This approach prevents phase inversion, which is necessary when the ratio of moduli between the components is large, e.g., in the case of air voids or soft inclusions. Scalar D-EMT equations for the bulk and shear moduli of a composite of isotropic spheres oriented in an isotropic matrix are given by [52]

$$\frac{dK_{eff}}{d\phi_i} = \left[\frac{K_i - K_{eff}}{1 - \phi_i} \right] \left[\frac{K_{eff} + K'}{K_i + K'} \right] \quad (6a)$$

$$\frac{dG_{eff}}{d\phi_i} = \left[\frac{G_i - G_{eff}}{1 - \phi_i} \right] \left[\frac{G_{eff} + G'}{G_i + G'} \right] \quad (6b)$$

where, $K' = \frac{4}{3} G_{eff}$, $G' = \left(\frac{G_{eff}}{6} \right) \frac{9K_{eff} + 8G_{eff}}{K_{eff} + 2G_{eff}}$; and $K_{eff} = K_m$ and $G_{eff} = G_m$ at $\phi_i = 0$. These equations form a set of coupled non-linear ordinary differential equations, solutions to which render the elastic moduli of the two-component composite. These equations can be solved by a 4th order Runge-Kutta scheme [53]. Miled et al. [54] found that the differential scheme best predicted the effective modulus of elasticity of EPS-mortar specimens over a large range of EPS volume fractions. However, their experiments were not designed to describe the combined influences of soft and stiff inclusions in cementitious composites, as the matrix constituted the combination of the stiff aggregates and cement paste.

Due to the existence of the ITZ in cementitious composites, it is necessary to consider a shell of ITZ around the inclusions as part of an "effective particle." Garboczi and Berryman (G-B) [55] utilized the GSCM to homogenize an effective particle of aggregate and its ITZ, which was then homogenized into the cement-paste matrix via D-EMT. The use of D-EMT is well-suited to the microstructure of cementitious composites, as their aggregates are always discrete particles in a continuous matrix. However, D-EMT exhibits a dependency on the order in which inclusions are embedded into the matrix when the inclusions may have differing properties, i.e., the

results may change whether the stiff or soft inclusion is first homogenized into the matrix component, when multiple aggregate components are present [56]. Therefore, GSCM is utilized, considering 3D spherical particles (inclusions), to simplify the concrete microstructure into two components (i.e., effective particle including the aggregate + ITZ, and cement paste). GSCM considers concentric spheres (e.g., core + shell) and is therefore ideal for homogenization of effective inclusion-shell particles. This method has been shown to agree very well with both experimental data and results obtained via the finite element method [55] for cementitious composites.

2.3. Comments on the elastic properties of the interfacial transition zone (ITZ)

Consideration of the ITZ component in EMAs requires knowledge of its elastic properties and volume fraction in concrete. Accounts from the literature typically assign the ITZ a modulus of elasticity ranging between 50% and 80% that of the bulk cement paste matrix [57]. Here, the ITZ was assigned a modulus of elasticity 50% that of the matrix, i.e., $E_{ITZ} = 0.5 \cdot E_m$, for all inclusion-containing composites [58]. The Poisson's ratio of the ITZ was assumed equivalent to that of the paste matrix [57,59,60]. Although the ITZ "in reality" demonstrates a gradient of properties as a function of distance from the surface of an encompassed aggregate particle, it is often modeled as a homogeneous section with a fixed thickness [55,61,62]. Therefore, the ITZ thickness around quartz particles was assumed independent of the particle diameter and taken as $t_{ITZ,q} = 10 \text{ } \mu\text{m}$, which represents the lower bound of experimental observations of ITZ thickness (around 10-50 μm) [61,63–65]. The quartz particles were assumed to be spherical with a size equal to their median diameter ($d_{50,q}$, Figure 1). This assumption is justified due to the small elastic mismatch between the elastic moduli of the quartz inclusion and the cement paste matrix ($0.13 \leq E_m / E_q \leq 0.23$), as a function of matrix age. Indeed, this tends to minimize the influence of inclusion shape [66–68]. These properties produce a relation for the ITZ volume fraction, $\phi_{ITZ,q} = ((t_{ITZ,q} + d_{50,q}/2)^3 / (d_{50,q}/2)^3 - 1) \cdot \phi_q$ for a given quartz volume fraction ϕ_q . For example, this equation yields an ITZ volume fraction $\phi_{ITZ,q} = 0.025$ for a quartz inclusion volume fraction $\phi_q = 0.30$ and a median diameter $d_{50,q} = 365 \text{ } \mu\text{m}$.

Few, if any studies have discussed the existence and properties of ITZs around particles as small as the PCM microcapsules used in the present study [69,70]. As the PCM microcapsules were only slightly larger than the cement grains ($d_{50,p} \approx 20 \text{ } \mu\text{m}$), they were expected to exhibit a reduced wall effect in comparison to quartz inclusions [71,72]. A simple assumption to account for this is that the ITZ thickness scales linearly with microcapsule size, i.e., $t_{ITZ,p} = C \cdot r_p$, where $C = 0.25$ and r_p is the PCM microcapsule radius (μm). The value of C was selected such that the ITZ thickness at the maximum PCM microcapsule size matched that of the quartz particles. As a result of this assumption of the ITZ thickness, the volume fraction of the PCM-related ITZ in the composite followed a radius-independent relation that is given by $\phi_{ITZ,p} = (C^3 + 3C^2 + 3C) \cdot \phi_p$. As

such, for a PCM volume fraction $\phi_p = 0.30$, the associated ITZ volume fraction within the composite was predicted as $\phi_{ITZ,p} = 0.29$ when $C = 0.25$. This implies that the cement paste matrix which accounted for 0.70 of the composite volume, included 0.29 ITZ, as a volume fraction, with the residual 0.41 volume fraction being a bulk matrix phase, free of ITZ induced inhomogeneities. These assumptions were used throughout the study.

3. Materials and Experimental Methods

An ASTM C150 [73] compliant Type I/II ordinary portland cement (OPC) was used. The OPC had a nominal mineralogical composition of: 56.5% Ca_3SiO_5 , 18.0% Ca_2SiO_4 , 11.4% $\text{Ca}_4\text{Al}_2\text{Fe}_2\text{O}_{10}$, 6.3% $\text{Ca}_3\text{Al}_2\text{O}_6$, 4.6% CaCO_3 , and 1.1% $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$. A microencapsulated PCM, MPCM-24D produced by Microtek Laboratories Inc. was used as a *soft inclusion*. The PCM consisted of a paraffin core encapsulated within a melamine-formaldehyde (MF) shell. Graded quartz sand, compliant with ASTM C778 [74] was used as a stiff inclusion. A Beckman Coulter Static Light Scattering (SLS) Particle Analyzer (LS13-320) was used to determine the particle size distributions (PSDs) of the OPC, the PCM microcapsules, and the quartz sand after ultrasonication in isopropanol to ensure dispersion of inclusions to primary particles (Figure 1). The complex refractive indices of these materials at a wavelength of 750 nm were taken as $1.70 + 0.10i$ [75], $1.53 + 0.00i$ [76], and $1.54 + 0.00i$ [77], respectively. The maximum uncertainty in the PSDs was $\approx 6\%$ based on 6 replicates.

Figure 1: The particle size distributions of the OPC, PCM, and quartz inclusions used in the cementitious composites. The OPC, microencapsulated PCM, and quartz inclusions have median diameters $d_{50,c} \approx 9 \mu\text{m}$, $d_{50,p} \approx 20 \mu\text{m}$, and $d_{50,q} \approx 365 \mu\text{m}$, respectively.

Model cementitious composites were prepared as per ASTM C305 [78] in the form of cement pastes (OPC + water mixture), PCM mortars (PCM + cement paste), quartz mortars (quartz + cement paste) and mixed mortars (PCM + quartz + cement paste). All mixtures were prepared at a fixed water-to-cement ratio $w/c = 0.45$ on a per mass basis. Inclusions were added at

volume fractions of 0.05, 0.10, 0.20, and 0.30 for PCM mortars and at 0.10, 0.20, 0.30, and 0.55 for quartz mortars. Two series of mixed mortars, i.e., those containing both PCM and quartz inclusions, were also evaluated for total inclusion volume fractions of 0.30 (0.10, 0.15, 0.20 PCM; remainder quartz) and 0.55 (0.10, 0.20 PCM; remainder quartz), respectively. Glenium 7500®, a high range water-reducing admixture was used to enhance the fluidity of the mixtures. The dosage of water-reducer used corresponds to a maximum level of 2% by mass of cement.

The modulus of elasticity was measured for all mixtures as described in ASTM C469 [79] – a standard procedure for measuring such properties using cylindrical specimens (*diameter x height*, 10.16 cm x 20.32 cm). Measurements were carried out after 1, 3, 7, and 28 days for specimens cured at 25.0 ± 0.1 °C in saturated limewater (i.e., under immersion). Modulus of elasticity was measured using a MTS 311.31 closed-loop servo-hydraulic instrument provisioned with digital data acquisition and recording facilities. Quick-setting gypsum plaster “Hydrostone” was used for capping the cylindrical specimens. This ensured that the specimen was aligned with the loading axis and that the ends were in proper contact with the compression platens. The experimental chord modulus of elasticity E_{eff} (i.e., equivalent to E_m in the case of plain cement paste) of the composite specimens was calculated according to ASTM C469 [79]

$$E_{eff} = \frac{\sigma_2 - \sigma_1}{\epsilon_2 - \epsilon_1} \quad (7)$$

where σ_2 is the stress developed at 40% of the peak load, σ_1 is the stress developed at strain $\epsilon_1 = 50 \mu\epsilon$, and ϵ_2 is the strain produced by stress σ_2 . These parameters are illustrated in Figure 2. The data reported represent the average of three replicates, with a coefficient of variation of $\approx 7\%$.

It should be noted that the selection of 40% of peak load is made to ensure compliance to ASTM C469, as this stress level often corresponds to service-stress levels in concrete. To investigate the validity of the assumption of linear elasticity in this stress regime, the modulus of elasticity was calculated using stresses in the range $10\% \leq \sigma_2 \leq 40\%$. Figure 3 indicates that the modulus of elasticity of single inclusion mortars is nearly independent (within experimental error) of stress level, suggesting modest damage accumulation in this stress range, as is desirable to ensure a nearly linear elastic response [80]. While the modulus of elasticity begins to increase for stress levels lower than 10%, this is expected, as the measured chord modulus of elasticity begins to approach a value similar to the dynamic elastic modulus of the composite [2,81]. The influence of the upper stress level on the modulus of elasticity data is negligible in all cases excluding those representing the highest inclusion loadings (i.e., $\phi_q = 0.55$, and $\phi_p = 0.30$), which demonstrate an increased tendency for microcracking-induced softening due to their larger ITZ volume fraction. These aspects and their potential influences on the EMA predictions are discussed in detail in the supplementary information (Section S2). The data throughout the present study is calculated in accordance to ASTM C469, unless otherwise noted.

Measurements of compressive strength of the composites were carried out as per ASTM C109 [82] using cubic specimens (5 cm x 5 cm x 5 cm) after curing for 1, 3, 7, and 28 days at 25.0 ± 0.1 °C in saturated limewater. The strength reported is the average of three replicates samples with a coefficient of variation of $\approx 5\%$.

The modulus of elasticity and Poisson's ratios of the microencapsulated PCM (subscript "p") and quartz (subscript "q") inclusions were taken from the literature as: $E_p = 0.0557$ GPa, $\nu_p =$

0.499 [83], and $E_q = 72$ GPa, $\nu_q = 0.22$ [84]. The measured modulus of elasticity of the plain paste was used as the age-dependent modulus of the matrix, which at 28 days was $E_m = 16.75 \pm 1.09$ GPa. The Poisson's ratio of the cement paste matrix was selected as $\nu_m = 0.22$ [2]. Unless stated, the results presented represent the modulus of elasticity and compressive strength of the composite specimens at an age of 28 days. This is because structural design codes (e.g., ACI 318 [85]) often consider material properties at 28 days as design parameters.

4. Results and Discussion

4.1 Experimental Data

Figure 4 shows the modulus of elasticity and compressive strength measured on a series of model composites containing microencapsulated PCM inclusions (i.e., PCM mortars), quartz inclusions (i.e., quartz mortars) and PCM + quartz inclusions (i.e., mixed mortars). As expected, increasing volume fractions of inclusions less stiff than the cement paste matrix decreased the composite stiffness [20], while increasing stiffer inclusion volume fractions increased composite stiffness [33,37,40,86]. More interestingly, the reduction in modulus of elasticity due to the addition of soft inclusions was somewhat mitigated by the simultaneous addition of stiff inclusions. This is significant as it demonstrates a method for reducing the stiffness reduction noted in cementitious composites due to microencapsulated PCMs or other soft inclusion additions. Thus, for particular combinations of inclusion volume fractions, the composite stiffness can be greater than that of the paste matrix. This assures the existence of a critical ratio of stiff-to-soft inclusions such that composite stiffness is equivalent to that of the paste.

The compressive strength of single inclusion mixtures showed an upper limit that was enforced by the strength of the paste matrix in the case of quartz mortars, and a lower limit that scaled in proportion to the PCM inclusion volume fraction. This is expected as the paste is the weakest-link in the quartz mortar, but when present, PCM microcapsules become the critical strength controlling defects whose influence increases with their content in the system. It should be noted that the introduction of PCM microcapsules has no appreciable effect on the hydration of cement paste (see Fernandes et al. [9]). Therefore, the cement paste matrix is expected to demonstrate equivalent properties, regardless of PCM content.

4.2 Correlating Measured Data to Microstructural Descriptors

The elastic properties of ceramic-type solids are known to depend on both the volume fraction of the weakest component (typically porosity) and its character (i.e., size distribution and shape) [87]. As the elastic properties depend on stress transfer through the solids, the minimum solid area between pores (MSA) has been suggested as a parameter that describes the effects of the spatial distribution of porosity on mechanical properties [87–89]. To better link the measured data to microstructural features of this nature, a stochastic packing algorithm with periodic boundary conditions (PBC) was implemented [90,91]. This algorithm used the measured particle size distributions and volume fractions of materials as inputs (i.e., cement, PCM microcapsules, quartz particles, and water, as voids) to pack spherical particles in a 3D-REV (representative elementary volume) with dimensions of $5 \times 5 \times 5 \text{ mm}^3$. Microstructural generation and packing was permitted such that the minimum centroidal distance (i.e., C_D , μm , for polydisperse particles) between two proximal particles was always greater than the sum of their radii ($C_D > r_1 + r_2$). This method was described in detail by Kumar et al. [92].

The measured modulus of elasticity and the compressive strength of each class of composite was investigated as a function of the mean spacing between inclusion surfaces, which approximates the thickness of the paste matrix (i.e., including pores and the ITZ) between inclusions [93]. This parameter is qualitatively, and in 1D, similar to the MSA, as they both describe the “load-bearing skeleton” of the composite. Figures 5(a) and 5(b) plot compressive strength and modulus of elasticity as a function of mean paste thickness between inclusion surfaces for PCM mortars and quartz mortars, respectively. Note that the results presented do not consider the effects of inclusion agglomeration, and thus are more qualitative than exact. The modulus of elasticity of PCM mortars increased as inter-PCM microcapsule distance increased due to the increasing thickness of the solid paste. The opposite trend was observed in quartz mortars, which are in essence an inverted system (stiff inclusions separated by a somewhat more compliant matrix). These mean distances were correlated to the volume fraction of inclusions in each composite. As an example, an increase in the inclusion volume fraction from 0.10 to 0.30 reduced inter-PCM microcapsule spacing from 16.4 to 5.6 μm , and reduced inter-quartz particle spacing from 320.2 to 108.5 μm . This was a reflection of the small size of PCM inclusions relative to quartz inclusions, as many more PCM microcapsules than quartz particles were required to achieve a given volume fraction. Figure 5(c) illustrates the influence of the spacing between all inclusion particles (both stiff and soft) on modulus of elasticity in mixed mortars. Note that this interparticle distance was approximately equivalent to the inter-PCM microcapsule distance as the PCM microcapsules controlled the paste film thickness (and thus the material’s failure response) due to their small size and weak character. The mixed mortars showed an increase in the modulus of elasticity with spacing, demonstrating that the thickness of the solid (cement paste + quartz) between PCM inclusions acted as the critical stiffness-controlling parameter as suggested above.

The compressive strength of the composites followed trends similar to the modulus of elasticity when PCM inclusions were present (Figures 5a and 5c). As expected, the strength of PCM mortars decreased significantly with decreasing PCM spacing (increasing PCM volume fraction). In quartz mortars (Figure 5b), compressive strength was approximately independent of inclusion spacing and quartz content. Thus, quartz inclusions were unable to influence the compressive strength of the composite, as the weaker cement paste acted as the critical (failure inducing) link. As a result, in contrast to the modulus of elasticity, the compressive strength reduction due to PCM microcapsules addition in mixed mortars could not be offset by any supplemental stiff inclusion additions. Thus, in mixed mortars, the PCM microcapsule volume fraction controlled compressive strength regardless of quartz inclusions, as the PCMs acted as the *critical defect* in the system.

Figure 6: (a) The maximum packing limit (by volume) of inclusions in single-inclusion mortars as a function of minimum paste thickness between inclusions and **(b)** the maximum PCM loading as a function of the quartz volume fraction in mixed mortar systems. These calculations utilized the particle size distributions of each inclusion type displayed in Figure 1.

One practical limitation associated with embedment of PCMs into cementitious materials that is elucidated by the microstructure constructions is the inability to pack significant volume fractions of these particles into a composite while maintaining a continuous paste (matrix) layer between inclusions. For example, Figure 6(a) shows the maximum volumetric loading of inclusions for different assumed paste “film” thicknesses. As a point of validation of the packing algorithm, for random close packing of polydisperse particulates, the maximum inclusion packing fraction was ≈ 0.67 as the minimum surface-to-surface distance approached zero. On account of their larger particle size, quartz particles may be included at higher volume fractions within the composite than PCM microcapsules while maintaining the same paste film thickness.

To aid in the design of cementitious mortars containing PCMs, the packing algorithm was used to calculate the largest volume fraction of PCM which can be embedded simultaneously with quartz (see Figure 6b). The compositions of the mixtures considered in this study are also included in this figure for the sake of comparison. The minimum inter-surface distance achieved in these mixtures was around 3 μm for the mixture containing inclusion volume fractions of 0.20 PCM microcapsules and 0.35 quartz particles. Assuming that this minimum spacing of around 3 μm was required to maintain a continuous matrix, the maximum permissible PCM microcapsule volume fraction (i.e., without quartz inclusions) that was achievable was $\phi_p \approx 0.43$, which agreed qualitatively with our experimental observations. For example: PCM mortars produced for $\phi_p > 0.40$ were non-cohesive highlighting that a minimum paste layer is needed to ensure cohesion.

4.3 Single-Inclusion Mortars

Figure 7 displays the modulus of elasticity predicted by the Hobbs EMA, the Reuss-Voigt (R-V) equations, and Hashin-Shtrikman (H-S) bounds for composites containing either PCM or quartz, respectively. As expected, the H-S and R-V bounds were unreasonably wide in the case of the PCM mortar, due to the substantial mismatch in matrix-inclusion moduli, i.e., since $170 \leq E_m/E_p \leq 300$, for paste ages ranging from 1 day to 28 days. The Hobbs EMA, implemented for the case of substantial mismatch in the Poisson's ratio between the matrix and inclusions (Equation 2b), was able to favorably describe the effective moduli of PCM mortars across the entire range of volume fractions considered.

When applied to the quartz mortars (not shown), essentially all EMAs were able to describe the composite modulus favorably with all predictions lying within the narrow H-S bounds. This was attributed to the similarity in properties, i.e., modulus of elasticity and Poisson's ratio, between quartz and the cement paste. Here, the ratio E_m/E_q ranged from 0.13 to 0.23 for cement paste aging from 1 day to 28 days. Once again, the Hobbs EMA (see Equation 2a) favorably predicted composite moduli, in this case coinciding with the lower H-S bound.

Next, the K-T, M-T, GSCM, and D-EMT EMAs were evaluated for their ability to predict the moduli of elasticity of composites. In the case of the PCM mortars, the majority of these EMAs produced predictions equivalent to, or in proximity to the H-S upper bound (Figure 8a), i.e., resulting in overestimations vis-à-vis the experimental data. One explanation for this may be that an essential microstructure component, such as the ITZ, was disregarded. To assess this possibility, an ITZ was introduced into the calculation scheme under the assumptions stated in Section 2.3.

Figure 8(b) indicates that EMA predictions improved considerably upon consideration of the ITZ, to more accurately describe experimental data. For example, the H-S upper bound reduced, while the lower H-S bound remained unchanged. The K-T EMA remained similar to the H-S upper bound while the M-T formulation significantly reduced, and showed a near-linear reduction in modulus of elasticity with the PCM volume fraction. The D-EMT method utilized by Garboczi and Berryman (G-B) [55] showed excellent agreement with the experimental data. The success of the G-B EMA was perhaps unsurprising as it was previously demonstrated to accurately predict the elastic response of cementitious materials containing an ITZ [55].

Following the example of PCM mortars, the same EMAs were also evaluated for quartz mortars with and without consideration of an ITZ component. In both two/three-component systems, the H-S lower bound provided an acceptable prediction of the effective composite modulus. Given the small contrast in the matrix-inclusion-ITZ properties, the consideration of an ITZ, or lack thereof had little bearing on the results. It should be noted that two-component EMAs, in spite of their physical incompleteness (i.e., lack of an ITZ component) were sufficient because the ITZ exerted little effect on quartz mortars' properties. Similarly, three-component EMAs containing an ITZ were sufficient because the added detail (i.e., accounting for the ITZ phase), had little if any effect. This may suggest that in the case of inclusions similar to the paste matrix, at moderate volume fractions for moderately sized inclusions, the use of two-component EMAs is reasonable.

Since the selected ITZ characteristics impact the predictions of EMAs, the effect of the ITZ layer thickness (i.e., for a constant stiffness) was elucidated by parametric analysis carried out using the EMA of Garboczi and Berryman (G-B, Figure 9 and Figure S4 in supplementary information). A range of ITZ thickness from 0.1-to-1.0 times the median PCM microcapsule radius and from 10-to-50 μm around the quartz particles was considered [63,65,94,95]. At any given PCM volume fraction (e.g., $\phi_p = 0.30$), the volume fraction of the ITZ ($\phi_{ITZ,p}$) varied from 0.099-to-0.36 as C varied from 0.10 to 0.30. For the same volume fraction of quartz (ϕ_q), increasing the ITZ thickness from 10 μm to 50 μm increased the ITZ volume fraction ($\phi_{ITZ,q}$) from 0.15 to 0.32. When considering PCM mortars (Figure 9a), the ITZ volume fraction became very large at high inclusion loading. For example, when the volume fraction of microencapsulated PCM is 0.30, $C > 0.43$ yielded a total volume fraction of ITZ and PCM microcapsules in excess of one. This was due to the lack of consideration of overlap of the ITZ shells. The error induced by neglecting ITZ overlap was minimized by selecting thin ITZ layers and considering composites with smaller inclusion loadings. Although the PCM modulus of elasticity was far lower than that of the ITZ, increasing the ITZ volume fraction significantly reduced the predicted modulus (see Figure 9a).

The G-B model predictions for quartz mortars showed a similar reduction in composite properties with increasing ITZ thickness (Figure 9b), although the volumetric dependence on ITZ was weaker. This was expected as the stiffness of the ITZ was the least of all components in the quartz mortar system. For the input properties selected herein, an *effective* ITZ thickness of 10 μm appeared appropriate in support of the choices made in Section 2.3 above.

4.4 Mixed Inclusion Mortars

In the next step, the effective moduli of the cementitious composites containing both stiff and soft inclusions were modeled. Here, the EMA implementations considered discrete components, including inclusions of quartz and microencapsulated PCM, the paste matrix, and in certain cases, the ITZ. When the ITZ was not considered, only the EMAs of Hobbs and the RVH average provided acceptable estimates across the range of formulations considered (see Figure 10a-b). Note however, since some of the EMAs only consider two-component composites, e.g., in Hobbs EMA, a two-step homogenization process was followed. Thus, first, quartz particles were embedded into the cement paste matrix (using Equation 2a), which yielded an *effective matrix* into which the PCM microcapsules were then embedded using Equation 2(b). Further discussion is noted in Section S.2 in the supplementary information.

The effective composite modulus for both mixed mortars was generally overestimated, although the D-EMT and K-T EMAs provided the most favorable results. In the case of multi-component composites (i.e., those having more than 2-inclusion components), the order of homogenization of the inclusions into the paste matrix influenced the predicted moduli. This order-dependence of inclusion homogenization in the D-EMT has been previously discussed [56]. A clear comparison of these predictions is provided in the supplementary material (Figure S3).

Since the ITZ was observed to impact EMA predictions (Figure 8), this component was added to composites containing both the soft (PCM microcapsules) and stiff (quartz particles) inclusions. Based upon the modulus of elasticity estimations shown in Figure 10, the EMAs of Hobbs and of Garboczi and Berryman (G-B) were selected for predicting the composite moduli (see also Figure S3 in the supplementary information). For both EMAs, a sequential implementation was carried out such that each inclusion was first homogenized with its surrounding ITZ, and then introduced into the matrix. In each EMA, it was observed that consideration of the ITZ reduced the predicted effective modulus of the composite, with a stronger effect as PCM volume fraction increased (see Figure 11). The fit of the EMAs to experimental data was improved when the ITZ was considered (with $t_{ITZ,q} = 10 \mu\text{m}$ and $C = 0.25$).

4.5 Design Rules for Ensuring Performance Equivalence of Stiffness in Cementing Composites

Cementitious composites containing both PCM and quartz inclusions have demonstrated elastic moduli greater than or less than that of the paste matrix, depending on the volume fraction of each type of inclusion in the composite. This suggested that strategic additions of stiff and soft inclusions may be used to ensure “no compromise” (i.e., vis-à-vis, the cement paste matrix) in the modulus of elasticity of cementitious materials containing PCMs. For design purposes, such equivalence in properties can be achieved by identifying the critical ratio of inclusion volume fractions (ϕ_q/ϕ_p) such that the composite modulus of elasticity remains:

(a) similar to the paste matrix, i.e., $E_{eff}/E_m = 1$, or,

(b) superior to the paste matrix, i.e., $E_{eff}/E_m > 1$.

As an example, this critical ratio was calculated using the Hobbs EMA [39] on account of its simple implementation and its ability to accurately predict composite properties.

Conditions (a) and (b) were sequentially input into the Hobbs (i.e., four-component; PCM, quartz, cement paste matrix, and ITZ) EMA, which was solved numerically for ϕ_p to yield a given (total) inclusion volume fraction $\phi_q + \phi_p$. These values of PCM volume fraction are displayed in Figure 12(a) for composite elastic moduli ranging from 1.0 to 2.0 times the cement paste at 28 days age. In general, to attain a higher modulus, or to accommodate a higher PCM volume, the quantity of quartz or any other inclusions stiffer than the matrix, needs to be increased to counteract the softening caused by a given PCM dosage. Note, however, that below a certain total inclusion volume, PCM may not be introduced into the composite, since the quantity of quartz present is far too low to produce the desired modulus of elasticity.

Dividing the quartz volume by the PCM volume yielded the **critical ratio** of the quartz-to-PCM inclusion volumes, as shown in Figure 12(b) as a function of the total inclusion volume in the composite. These results indicated that, in general, quartz inclusions should be present at a level ≈ 2.5 -3.5 times (i.e., due to age dependence) greater than the PCM volume fraction to produce a composite with a modulus of elasticity equivalent to that of the cement paste. The increase in critical ratio with volume fraction suggests that the PCM-associated ITZ played a significant role in decreasing the composite stiffness. The results were also strongly dependent on the modulus of the matrix (aging of matrix), the modulus of ITZ, and the volume of ITZ

assumed. Embedding inclusion particles of even higher stiffness than quartz, or adding supplementary cementitious materials (e.g., silica fume [2,27,96]) to reduce the porosity of the ITZ may be additional means to reduce the critical ratio for maintaining mechanical performance. Note however, that the present study considered only the case of fine aggregate inclusions. As such, while it captured the effects of aggregate volume fraction and properties other aspects which improve mechanical load capacity in the case of coarse aggregates, e.g., that of aggregate frictional interlock and stress transfer, were not considered. Therefore, while the outcomes of this work serve as a design tool for ensuring property equivalence for cementitious composites containing PCMs, the designs rendered are expected to be somewhat conservative when extended to concretes [9].

5. Summary and Conclusions

The use of soft PCM microcapsules in functional cementitious materials requires estimations of their deleterious effects on the effective mechanical properties of the composite. To enable such estimations, the modulus of elasticity was measured for cement mortar formulations containing PCM microcapsules, quartz sand, or mixtures of both inclusions. A range of effective medium approximations (EMAs) were evaluated for their ability to predict the modulus of elasticity of such composites. Several EMAs were able to describe the stiffness of composites containing hard inclusions, which in this case had a small matrix-to-inclusion property mismatch. However, only the EMAs of Hobbs and of Garboczi and Berryman (G-B) were able to accurately describe the effective moduli of elasticity of PCM mortars and mixed (i.e., PCM + quartz) mortars across a wide range of inclusion volume fractions (up to 0.55). In each case, the accuracy of the EMAs was improved by considering the interfacial transition zone (ITZ) formed around the inclusion due to improper “packing” at the matrix-inclusion interface. A critical ratio of stiff/soft inclusion volume fractions was proposed as a design tool to formulate stiffness-equivalent cementing composites containing PCMs. The identification of more accurate EMAs offers structural engineers/designers a means to estimate the influence of PCMs, and other soft inclusions, on the modulus of elasticity of multifunctional concretes for construction applications.

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