Grafted semiconductors on PE-films leading to bacterial inactivation: synthesis, characterization and mechanism

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ABSTRACT

This study reports the colloidal preparation FeOx, TiO₂ and FeOx-TiO₂ grafted on polyethylene (PE) films leading to bacterial inactivation. A fast bacterial inactivation was attained by the FeOx-TiO₂ compared to the FeOx-PE film due to the interfacial charge transfer (IFCT) FeOx to the lower-lying TiO₂ trapped states. A pH-decrease was observed during bacterial inactivation due to the formation of carboxylic acids on the grafted films and the recovery to the initial pH ~7 after elimination of the intermediates was followed quantitatively during bacterial inactivation. The potential on the TiO₂-PE, FeOx-PE and FeOx-TiO₂-PE film surfaces decreased during the bacterial inactivation concomitant with the loss of the cell wall permeability. Different mechanisms for the photo-induced *E. coli* inactivation for random nanoparticulate FeOx-PE and FeOx-TiO₂-PE films are suggested based on the experimental observations reported in this study. During the inactivation of *E. coli*, the Fe-ions were seen to leach out in amounts ≤ 0.45 ppm. This is within the EU sanitary allowed limits for industrial/drinking water. The wettability of the films was followed by contact angle measurements (CA) within the time of bacterial inactivation. By diffuse reflectance spectroscopy (DRS), the conversion of the Fe(III)-oxide to Fe(II)-oxide is reported during film recycling. The change in the Fe-oxidation states within the bacterial inactivation was further confirmed by X-ray photoelectron spectroscopy (XPS).

Keywords: semiconductor films, colloidal preparations, grafting of nanoparticles, bacterial inactivation, IFCT.

1. INTRODUCTION

Bacterial inactivation materials/films have widely used TiO_2 and Fe-oxides under sunlight irradiation leading to highly oxidative radicals [1-7]. TiO_2 absorbs only 4-5% of the incident solar radiation with an absorption edge at ~ 390 nm. A method to increase the visible light absorption is to couple TiO_2 with narrow band-gap iron-oxide(s) semiconductor [8-9]. Fe-oxides have also been used as TiO_2 dopants due to its small band gap (~ 2.2 eV) for $\alpha\text{-Fe}_2\text{O}_3$, low cost and non-toxicity [10-11].

Studies with FeOx and the FeOx- TiO_2 binary oxide have shown to induce an accelerated degradation of arsenite [12], phenol [13], 4-chlorophenol [14] and humic acids [15] under visible light. More recently, our laboratory has reported FeOx-PE [16] and FeOx- TiO_2 -PE films [17] prepared by direct magnetron current sputtering presenting antibacterial properties. In this study we address the colloidal preparation of TiO_2 -PE, FeOx-PE and FeOx- TiO_2 films prepared by a simple, low temperature approach and their subsequent grafting on PE. Iron oxide nanoparticles (NP's) are of considerable interest due to their wide applications in fields such as magnetic storage, medicine, chemical industries, catalytic materials and water purification. Synthesis of $\text{Fe}_2\text{O}_3/\text{FeO}$ have been carried out by precipitation, sol-gel, hydrothermal, dry vapor deposition, surfactant mediation, micro-emulsion, electro-deposition and sonochemical methods [9,18-19]. We have selected polyethylene (PE) as the substrate for the selected oxides for its stability, lack of oxidation when exposed to air and/or sunlight and its low cost. Immobilized Fe-catalysts avoid the problem of separation and filtration of the iron oxides when suspensions are used at the end of the pollutant abatement process. For this reason our laboratory has reported the degradation of pollutants on Fe-supported on PE-maleic anhydride films [20] and also on Fe immobilized on sulfonic Nafion exchange membranes [21]. The bacterial inactivation kinetics on colloidal FeOx- TiO_2 -PE with respect to FeOx-PE and TiO_2 -PE is investigated in this study addressing film surface properties.

The novelty of this study consists in the presentation: a) of an innovative colloidal preparation of oxides and their deposition on non-thermal resistant films like PE. The deposition of oxides on thermally resistant surfaces reported until

now required temperatures of few hundred degrees necessary to anneal the oxides on the support [10,18]. A procedure is also presented to graft the selected oxides on PE at temperatures within the thermal resistance of PE ($< 96^{\circ}\text{C}$), b) the evaluation of the *E. coli* inactivation kinetics on the FeOxTiO_2 -coated films c) a mechanistic outline for the photo-induced charge from FeOx to TiO_2 , based on X-ray photo-electron spectroscopy (XPS) providing a proof for the *redox* process taking place during bacterial inactivation and d) the film properties characterized by several complementary techniques such as: X-ray fluorescence (XRF), diffuse reflection spectroscopy (DRS), contact angle (CA), surface potential changes and X-ray photoelectron spectroscopy (XPS).

2. Experimental

2.1 Preparation of TiO_2 , FeOx and FeOx-TiO_2 colloids and PE functionalization and determination of the oxide loading on PE by XRF

Colloidal solutions of FeCl_3 and TiO_2 Degussa P25 were prepared using the concentration of FeCl_3 (100 mg/L) and TiO_2 (5 g/L) to photo-corrode the PE. The photo-corrosion was carried out under UV irradiation for 15 h. For this purpose BLB lamp Philips TLD-15W/08 SLV was used providing monochromatic 366 nm light with an integral output of 4.3 mW/cm^2 . The photo-corrosion step introduces oxidative chelating sites to bind the oxides (TiO_2 and/or FeOx). After the UV-irradiation, the films were sonicated in aqueous solution for 10 min to remove loose bound oxide particles, washed and dried and dried for 10 min at 80°C . Afterwards, the PE was functionalized in air by contacting different concentrations of FeOx and/or TiO_2 for 2 hours. This deposition was made without further UV-photo-assisted illumination. This operation was repeated twice and the loaded films were dried at 60°C .

The amounts of Fe and Ti on PE were determined by X-ray fluorescence spectroscopy (XRF) in a PANalytical PW2400 spectrometer and are shown in Table 1.

The polyethylene (PE) film used consisted of highly branched low crystalline semi-transparent film with the formula $\text{H}(\text{CH}_2\text{-CH}_2)\text{H}$. The (LDPE) 0.1 mm thick was obtained from Goodfellow (UK, ET3112019) had a density of 0.92 g/cm^3 .

2.2 *E. coli* inactivation on FeOx-TiO₂-PE films, irradiation procedures and determination of the Fe leached out from the films during bacterial inactivation

The samples of *Escherichia coli* (*E. coli* K12) on 2 cm by 2 cm FeOx-PE, TiO₂-PE and FeOx-TiO₂-PE were placed into a glass Petri dish and irradiated in the cavity of Sunlight simulated cavity set at one half of the maximum sunlight intensity (50 mW/cm²) emitting in the range 310-800 nm. The 100 µL culture aliquots with an initial concentration of $\sim 10^6$ colony forming units (CFU mL⁻¹) in NaCl/KCl (pH 7) were placed on coated PE. After preselected irradiation times, the fabric was transferred into a sterile Eppendorf tube containing 900µL autoclaved NaCl/KCl saline solution. This solution was subsequently mixed thoroughly using a Vortex. Serial dilutions were made in NaCl/KCl solution. Samples of 100-µL were pipetted onto a nutrient agar plate and then spread over the surface of the plate using standard plate method. Agar plates were incubated lid down, at 37°C for 24h before counting. Three independent assays were carried out for each sputtered sample. The coated and uncoated (control) films were kept in a sterile oven at 60°C to avoid contamination prior to the bacterial test. Films irradiation was carried out on Petri dishes provided with a lid to prevent the bacterial suspension evaporation. The agar was purchased from Merck GmbH, Microbiology division KGaA under the catalogue N° 1.05463.0500. The CFU statistical analysis of the bacteria inactivation data was performed calculating the standard deviation values.

The irradiation of the samples was carried out in the cavity of a sunlight simulator from Atlas Material Testing Solutions (Harkingen, Switzerland) tuned at a light dose of 50 mW/cm² provided for with a filter blocking the light < 310 nm.

Ferrozine was used to evaluate the total iron concentration leaching out during the bacterial inactivation following the optical absorption 562 nm of the Fe(II), in a buffered solution [24]. The Fe(III) species was determined by difference adding hydroxylamine hydrochloride to reduce the Fe(III) to Fe(II)-species, its absorbance peak taken after 3 min again at 562 nm. The addition of the two Fe-species is reported in this study during the bacterial inactivation period.

2.3 Diffuse reflectance spectroscopy (DRS), contact angle (CA) and surface potential changes during bacterial inactivation on TiO₂, FeOx and FeOx-TiO₂-PE films.

Diffuse reflectance spectroscopy (DRS) was carried out in a Perkin Elmer Lambda 900 UV-VIS-NIR spectrometer provided for with a PELA-1000 accessory within the wavelength range of 200-800 nm and a resolution of 1 nm. The absorption of the samples was plotted in Kubelka-Munk (KM/S units) vs wavelength.

The CA of the films were determined by the sessile drop method on a DataPhysics OCA 35 unit. Drop volumes of 0.5 microliter were chosen in all experiments to avoid shape alteration due to gravitational forces and to diminish the evaporation effects. The measurements were performed at room temperature (65% controlled humidity). The drop image was registered in a CCD camera (1280×960 pixels) attached to a microscope and processed by way of software image analysis to estimate the contact angle.

The local pH and surface potential changes within the bacterial inactivation were followed by the mean of a Jenco 6230N (pH/mV/Temp meter) provided for with a hand held microprocessor in splash proof case with 3 points calibration. The data was monitored via RS-232-C IBM compatible communication interface and BNC, pH/ORP connector with 8-pin DIN ATC connector.

2.4 The X-ray photoelectron spectroscopy (XPS) of the films during bacterial inactivation

The X-ray photoelectron spectroscopy (XPS) of the films was carried out using an AXIS NOVA photoelectron spectrometer (Kratos Analytical, Manchester, UK) provided for with a monochromatic AlK_{α} ($h\nu=1486.6$ eV) anode. The carbon C1s line with position at 284.6 eV was used as a reference to correct the charging effect. The surface atomic concentration was determined from peak areas using the known sensitivity factors for each element [25]. Spectrum background was subtracted according to Noggier et al., [26]. Deconvolution of the XPS peaks was carried out taking into consideration the Shirley background correction and Gaussian-Lorentzian peak shape with a G-L ratio of 30. The XPS spectral peaks were deconvoluted with a CasaXPS-Vision 2, Kratos Analytical UK.

3. Results an discussions

3.1 Bacterial inactivation on PE-coated films and amount of Fe-eluted during the bacterial inactivation process

Figure 1 shows the bacterial inactivation under low intensity simulated solar light on FeOx-TiO₂-PE films within 90 minutes, on FeOx-PE within 120 minutes and finally on TiO₂-PE at 240 min. Therefore, semiconductors with a different make up under light or their combinations led to different bacterial inactivation times. The mechanism of FeOx charge photo-generation will not be described in this study since it has been recently reported in our laboratory [16]. Also the TiO₂-PE mediated bacterial inactivation has been reported widely in the open literature [1-3,18]. Below eqs (1-6) outline the photo-induced bacterial inactivation due to TiO₂-PE shown in Figure 1, trace 2:

In reaction (2), the HO₂[°] radical generated under light is stable at pH < 4.8, but above this pH more than 50% (as noted in eq.3) in the form of O₂^{°-} as noted in reaction (6).

Figure 1, trace 3 presents the bacterial inactivation mediated by FeOx-TiO₂-PE. This later film is seen to accelerate the bacterial inactivation kinetics respect to the TiO₂ and FeOx coated PE-films. Therefore, the interaction going on between the random coated FeOx and TiO₂ on the PE film surface accelerates the observed bacterial inactivation. A mechanism will be suggested at a later stage in section 3.4 consistent with the observed results.

Figure 2 presents the Fe-leaching by way of ferrozine (see experimental section) during bacterial inactivation. Figure 2a shows the Fe-leaching for FeOx-PE films. In this case, the electrostatic attraction between the negative *E. coli* envelope and the positively charged FeOx (I.E.P. ~9) film plays a role. This interaction occurs in the pH range 6-7 tolerable by the bacterial cells. For this reason, Figure 2a, trace (2) shows a low Fe-leaching within the 120 min bacterial inactivation time. Figure 2b presents the same trend for the Fe-leaching from FeOx-TiO₂ films within the 90 min

bacterial inactivation time. In both cases, the Fe-leached is below the limit of 1-2 mg/L Fe set for drinking water [28].

3.2 UV-Vis spectroscopic properties and surface wettability of coated-PE films

Figure 3a shows the DRS spectra of TiO_2 and FeOx in Kubelka-Munk units. The spectrum of FeOx shown in Figure 3a suggests that the main component is hematite showing an absorption similar to the one presented in Figure 3 [29]. The absorption spectrum between 250 and 450 nm in Figure 2a is attributed to Fe_2O_3 and to amorphous/anatase TiO_2 with bigger particle sizes. The higher amplitude of the TiO_2 spectrum in Figure 3a was due to the larger TiO_2 particles compared to their FeOx (Fe_2O_3) counterparts. Both spectra have been extensively reported as a function of the film thickness and particulate grain size [9,18]. Figure 3b shows that the spectra of FeOx- TiO_2 -PE after several bacterial inactivation cycles. The FeOx- TiO_2 -films spectral absorption presents only a minor variation providing the proof for the stability of the coating described in section 2.1. Due to the low temperature used during the film preparation, the incorporation of FOx in the TiO_2 lattice seems not to be possible. But the random distribution of the FeOx and TiO_2 particles in the colloidal coated film may involve charge transfer between these two particles. This will be discussed below in section 3.4 in relation to the photo-induced interfacial charge transfer (IFCT) between FeOx and TiO_2 .

The hydrophobic to hydrophilic transformation for the FeOx- TiO_2 -PE films under low intensity sunlight is shown next in Figure 4. The hydrophobic FeOx- TiO_2 -PE film at time zero presents an angle of $\sim 100^\circ$ decreasing under light within the time of bacterial inactivation to $\sim 32^\circ$ (90 min) [30]. The recovery in the dark towards an increased hydrophobicity is shown in Figure 3b beginning around 22° and proceeding slowly in the time scale up to 3000 min. The fact that the recovery in the dark starts at 22° and not 32° was due to the 6 hours elapsed when taking the last point in Figure 4, trace 1 before registering the zero point reported in Figure 4, trace 2. The FeOx- TiO_2 -PE film increase in hydrophilicity continues after 90 min irradiation approaching the super-hydrophilicity range [31]. Recently, Amal et al., have reported reversibly photo-switching within cyclic light-dark cycles mediated by the Ag-particles [32]. The hydrophilic or hydrophobic character of the bacterial cell-wall envelope is important for the adhesion of *E. coli*

an event preceding inactivation. *E. coli* has been shown to present preferential adhesion to hydrophilic surfaces [33].

3.3 X-ray photoelectron spectroscopy (XPS) micro-potential analysis and shift in the local pH during bacterial inactivation.

Figure 5a/a shows the deconvolution of the Fe- XPS peaks of the FeOx-TiO₂-PE film before bacterial inactivation. The Fe-ion, Fe₂O₃, FeOOH and FeOH peaks have been assigned according to Wagner [25] and the data corrected for electrostatic charging according to Shirley [34]. After bacterial inactivation, new Fe-species and Fe²⁺-satellite peaks appear as seen in Figure 5a/b. A significant change in the binding energy of FeOH peak from 713.0 eV to 714.2 eV is seen after the bacterial inactivation. Redox catalysis associated with FeOx therefore plays a significant role during bacterial inactivation. This was also confirmed by the appearance of significantly shifted Fe²⁺-satellite peaks concomitant with bacteria inactivation. The Fe₃O₄ after bacterial inactivation appears probably at the expense of a reduction of the Fe²⁺-species during the bacterial inactivation in Figure 5a/a.

Further evidence for redox catalysis by XPS is shown in Figure 5b/a. The Ti2p doublet peak of FeOx-TiO₂-PE film was found at 457.75 eV before bacterial inactivation and is shifted to the 458.21 eV after the bacterial inactivation.

The changes in pH and surface potential were followed within the time of bacterial inactivation and the results are presented in Figures 6a and b. This allows to gain further insight into the changes on the surface of the film during bacterial inactivation. A mechanism of bacterial inactivation involves the generation of intermediate species by TiO₂ and FeOx semiconductors under band-gap-irradiation [18,35].

Figure 6a presents the surface pH-shift at the FeOx-PE interface under low intensity solar light irradiation. A pH-decrease between 7.0 and pH 6.5 was observed within 30 min. The decrease in pH-shift is equivalent to a fourfold increase in the concentrations of [H⁺]. The pH decrease is due to short chain carboxylic acids generated in solution during the bacterial inactivation. These carboxylic acids have pK_a values around ~3 [5]. After 30 min, the pH recovers up to ~6.7 due to the elimination of short carboxylic acids through mineralization of

the carboxylic acids to CO₂. This is the final step in the bacterial mineralization known as the typical photo-Kolbe CO₂ elimination reaction [36] and is shown below in eq(7)

Figures 6b and 6c follow the same trend for TiO₂-PE and for the FeOx-TiO₂-PE films respectively. A steeper pH decrease for the pH-values was observed within the bacterial inactivation followed by a recover to pH 6.8.

Figure 6a shows that the interface potential decrease for FeOx-PE decreases from 70 to 30 mV during the first 5 min during the bacterial inactivation. This decrease in voltage at the film-surface is concomitant to the cell wall permeability. The voltage decrease is due to the loss/damage of the cell wall barrier regulating the ions exchange in and out of the cell cytoplasm [37]. As a consequence the bacteria loses K, Na, Mg-ions and other essential ions, diffuse faster out of the cell wall envelope [38-39]. The surface potential recovery after 30 min in Figure 6a reflects the recovery of the FeOx-TiO₂-PE initial potential once the film recovers its initial microstructure after bacterial inactivation. Figure 6b shows a surface potential loss and recovery similar as the one reported in Figure 6a but for TiO₂-PE films. But Figure 6c shows a steeper surface potential drop of ~5 mV for the FeOx-TiO₂-PE films. This is possibly due to the faster bacterial inactivation kinetics induced by FeOx-TiO₂-PE films seen in Figure 1.

3.4 Suggested mechanism of bacterial inactivation by FeOx-TiO₂-PE films,

Figure 1 showed that FeOx-TiO₂-PE films led to bacterial inactivation within 90 minutes. This kinetic is faster compared to the 120 min required by FeOx-PE films. Figure 7 suggests a mechanism for the electron transfer from FeOx into lower lying TiO₂ trapping states. Leytner et al., [40] by time-resolved photo-acoustic spectroscopy (TRPAS) identified the electron trapping sites in anatase positioned at ~0.8 eV below the anatase cb. Gray et al., [41] used electron paramagnetic resonance (EPR) spectroscopy to report anatase trapping sites located ~0.5-0.8 eV below the anatase cb. FeOx (mainly Fe₂O₃) powders have been reported to present cb located 0.4-0.6 eV below anatase [9,18,28]. The mechanism of the bacterial inactivation mediated by TiO₂-PE was outlined above

in eqs.(1-7). The intervention of FeOx injecting e^- into TiO_2 is suggested in eqs.(3-13):

A mechanism of reaction between FeOx-TiO₂-PE and bacteria under visible light is suggested hereafter in eq.(14):

3.5 Scavenging of oxidative radicals (ROS) during bacterial inactivation in aerobic media

Photocatalytic inactivation of bacteria in aerobic conditions proceeds by the highly oxidative radicals: $OH^{\cdot}$, $HO_2^{\cdot}/O_2^{\cdot-}$ and $cb(h^+)$. Figure 8 presents the scavenging by dimethyl-sulfoxide (DMSO), superoxide dismutase (SOD) and ethylene-tetra-acetic acid di-sodium salt (EDTA-2Na) to sort out the role of the $OH^{\cdot}$, $O_2^{\cdot-}$ and $TiO_2vb(h^+)/FeOxvb(h^+)$ respectively intervening in bacterial inactivation [5, 9, 18, 39]. The $TiO_2vb(h^+)/FeOxvb(h^+)$ valence holes seem to be the most efficient intermediate species leading to bacterial inactivation as shown in Figure 8.

The $OH^{\cdot}$ -radical would undergo the reduction $OH^{\cdot}/OH^-$ when oxidizing bacteria (organic matter) with a potential of 1.9 eV vs NHE. The $HO_2^{\cdot}$ radical at the pH of the bacterial inactivation shown in figure 6 (between 6 and 7) is present as $O_2^{\cdot-}$, according to the reaction: $HO_2^{\cdot} \leftrightarrow H^+ + O_2^{\cdot-}$ (pka of 4.8). This photo-generated $O_2^{\cdot-}$ have a potential of 0.75 eV vs NHE. Finally, the $TiO_2(vbh^+)$, being the most

oxidizing species in the system, have a potential of 2.7 eV at pH 6. They would be the third species oxidizing the bacteria as shown in figure 8.

4. Conclusions

The effect of colloidal FeOx-TiO₂-PE films accelerating the bacterial inactivation was compared to TiO₂-PE and FeOx-PE films. The FeOx-TiO₂-PE films release ppm amounts Fe during the repetitive recycling, but the film spectral absorption was not affected by the Fe-release. The induction of hydrophilicity in the FeOx-TiO₂-PE film during of the bacterial inactivation period favors the contact between *E. coli* and the film since *E. coli* presents a predominantly hydrophilic cell-wall envelope. Redox catalysis involving Fe- and Ti-species takes place during bacterial inactivation as reported by XPS experiments. The surface potential decreased during the period of bacterial inactivation due to the photo-induced damage on the cell-wall functional groups and mechanical integrity.

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Figure 1. *E. coli* inactivation (CFU/ml) on PE-films coated with (1) FeOx and (2) TiO₂ and (3) FeOx-TiO₂ under low intensity solar simulated light (50 mW/cm²).

Figure 2a. Trace 1) presents the Fe(mg/L) leached out from a solution FeOx-PE under sunlight with a Fe loading of 0.261 wt% / wt PE in the presence of 10⁶ CFU/ml. Trace 2 presents the Fe-leaching from similar films but in the absence of *E. coli*.

Figure 2b. Trace 1) presents the Fe(mg/L) leached out from a solution FeOx-TiO₂-PE under sunlight with a Fe loading of 0.788 wt% / wt PE in the presence of 10⁶ CFU/ml. Trace 2 presents the Fe-leaching from similar films but in the absence of *E. coli*.

Figure 3a. Diffuse reflectance spectroscopy (DRS) of TiO₂ and FeO_x coated PE-films, **Figure 3b.** Diffuse reflectance spectroscopy (DRS) of FeO_x-TiO₂ coated PE up to the fifth recycling after bacterial inactivation.

Figure 4. Trace 1: Contact angle (CA) kinetics during the hydrophobic-hydrophilic kinetics of the FeOx-TiO₂-PE film under low intensity solar light (50mW/cm²), Trace 2: reverse hydrophilic-hydrophobic back kinetics after bacterial inactivation (samples kept in the dark).

Figure 5a. XPS deconvolution of the Fe2p doublet on FeOx-TiO₂-PE films: (a) before and (b) after bacterial inactivation under solar simulated light (50 mW/cm²).

Figure 5b. XPS deconvolution of Ti2p doublet on FeOx-TiO₂-PE: (a) before and (b) after bacterial inactivation under solar simulated light (50 mW/cm²).

Figure 6a. Surface pH and potential changes on FeOx-PE films during bacterial inactivation under solar simulated light (50 mW/cm^2).

Figure 6b. Surface pH and potential changes on TiO₂-PE films during bacterial inactivation under solar simulated light (50 mW/cm^2).

Figure 6c. Surface pH and potential changes on FeOx-TiO₂-PE films during bacterial inactivation under solar simulated light (50 mW/cm^2).

Figure 7. Interfacial charge transfer (IFCT) electron transfer between FeOx and low-lying TiO_2 trapped states.

Figure 8. *E. coli* inactivation on FeOx-TiO₂-PE film by itself and upon addition of ROS quenchers under solar simulated light (50 mW/cm²).

Table 1. Loading of polyethylene (PE) by FeOx, TiO₂ and FeOx-TiO₂ determined by X-ray fluorescence (XRF)

	Fe wt%/wt PE	Ti wt%/wt PE
FeOx (25 mg/L)	0.076	--
FeOx (100 mg/L)	0.261	--
FeOx (150 mg/L)	0.240	--
TiO ₂ (200 mg/L)	--	0.788
TiO ₂ (250 mg/L)	--	0.624
FeOx(100 mg/L)/ TiO ₂ (250 mg/L)	0.075	0.655
FeOx(25 mg/L)/ TiO ₂ (200 mg/L)	0.063	0.702

Graphical Abstract: