Effect of Redox Properties of LaCoO₃ Perovskite Catalyst on Production of Lactic Acid from Cellulosic Biomass

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ABSTRACT

Cost-effective conversion of cellulosic biomass to value-added lactic acid with heterogeneous catalysts has attracted much attention recent years. While both solid Lewis acids and bases have been extensively studied, the role of redox catalysts for the production of lactic acid is barely understood. Herein, the LaCoO_3 perovskite metal oxides with strong redox properties and a good stability in hydrothermal media were used as the catalysts for the conversion of a variety of cellulosic biomass to lactic acid. The effects of reaction conditions such as reaction temperature, catalyst loading, and gas atmosphere were investigated. At the optimum conditions, the yields of approximately 40%, 38%, and 24% lactic acid were obtained from glucose, xylose and cellulose, respectively. The key intermediates such as pyruvic acid were used as the probe reactants to explore the reaction mechanism. Unlike Lewis acid or base catalysed sugar conversion reactions, the redox pathway might start from the oxidative decarboxylation of aldose sugars and the lattice oxygen atoms in the LaCoO_3 perovskite structure participate the redox cycles in the conversion of sugars to lactic acid. Lastly, the stability of the LaCoO_3 catalyst in hydrothermal reaction media was discussed.

KEYWORDS:

Glucose; Xylose; Cellulose; Lactic acid; Perovskite; Redox Catalysis.

1.0. INTRODUCTION

With the gradual depletion of fossil fuels and the increasing concern of greenhouse gas emissions linked to climate change, using biomass resources instead of petroleum to manufacture commodity chemicals has attracted growing interest in recent years.[1,2] Carbohydrates, the most abundant biomass compounds, are a sustainable feedstock which can be used to produce bio-based platform chemicals.[3] Lactic acid (LA) is such a sugar derived platform chemical that can be converted into a wide range of useful compounds.[3–5] Its global production capacity is over 500,000 tons per year and continues to grow.[5] Currently, the commercial production of LA is via fermentation processes which have drawbacks such as slow kinetics, poor scalability, and large amounts of waste.[6,7]

Various chemo-catalytic processes have been studied other than the industrial fermentation to produce lactic acid.[8,9] Jin's group synthesized lactic acid with a 27% yield from glucose in a 2.5 M NaOH hydrothermal solution.[10] Epane *et.al.* produced lactic acid in a 75 C-% yield using KOH as the catalyst via the microwave-assisted method.[11] However, strong homogeneous mineral base catalysts can cause severe corrosion, and thus limiting their use in lactic acid production. Therefore, the development of highly effective heterogeneous catalysts for converting carbohydrates to LA is highly desirable. Flora *et.al.* reported that the yields of 27 mol-% and 18 mol-% of LA were produced from cellulose over tungstated zirconia (ZrW) and tungstated alumina (AlW), respectively, in subcritical water.[12] Taarning's group used Sn-beta as the Lewis acid catalyst and obtained a 26 C-% yield of LA from glucose at in aqueous media. [13] Our group found that the yields of 42 mol-% and 30% mol-% of LA were obtained from xylose and xylan, respectively, using the ZrO₂ catalyst.[14] Wang *et.al.* used CuO as an oxidant to convert glucose to lactic acid in the yield of 59 mol-% in alkaline solution[15]; however, CuO

1 was reduced to Cu after the reaction. Dong's group recently reported a 67.6 C-% yield of LA
2 from cellulose with the erbium-exchanged montmorillonite K10 catalyst.[16] However,
3 significant leaching of Er ions was observed. Several research groups[13,17–19] reported the
4 conversion of carbohydrate biomass into lactic acid derivatives in organic solvents, in which
5 leaching of metal oxide catalysts may be mitigated. Zhen *et.al.* found that with the solid base
6 catalyst, MgO, ~29.5 mol-% methyl lactate was yielded from glucose in the methanol
7 solvent.[20] However, most heterogeneous catalysts reported in literature for chemo-catalytic
8 conversion of biomass to LA or lactates are based on acid/base properties. Long-term stabilities
9 of these catalysts are still uncertain due to leaching or deactivation in hydrothermal media.

10 The stability issue of transition metal oxide materials in hydrothermal media has been the
11 concern plaguing the aqueous-phase catalysis. Only few metal oxides (such as ZrO_2 , TiO_2 and α -
12 Al_2O_3) exhibit acceptable stabilities in certain biomass conversion reactions in subcritical
13 water.[21–24] Developing mixed metal oxide catalysts could improve the stability of
14 heterogeneous catalysts. For instance, perovskite materials, composed of mixed transition metal
15 ions with lanthanides, exhibit better stabilities compared to single metal oxides in certain
16 catalytic reactions.[25,26] The redox properties of perovskite metal oxides catalysed a variety of
17 reactions including CO oxidation[27], CO_2 reforming[28–31], NO oxidation[32–34], and
18 oxidation of hydrocarbons[25,35–37]. Recently, Deng *et. al.* found that the perovskite metal
19 oxides, such as LaMnO_3 , LaCoO_3 , $\text{LaFe}_{1-x}\text{Cu}_x\text{O}_3$, etc., were the efficient catalysts for the wet
20 aerobic oxidation of lignin to aromatic aldehydes.[38–40] Escalona *et. al.* used $\text{La}_{1-x}\text{Ce}_x\text{NiO}_3$ to
21 convert guaiacol to cyclohexanol with a yield of 60%.[41] Li *et.al.*[42] found that Cr modified
22 $\text{LaCo}_{0.8}\text{Cu}_{0.2}\text{O}_3$, catalysed the production of furfural from xylan at 160 °C. To the best of our
23 knowledge, the application of perovskite oxide catalysts in the LA production is rarely reported.

Herein, we report that the LaCoO_3 perovskite oxide is an efficient heterogeneous catalyst for the conversion of cellulosic biomass to LA. For the first time, we found that the redox properties of the LaCoO_x catalyst, which is distinctly different from the acid/base properties of the prevailing catalysts for the LA production, play the central role in the conversion of a variety of C3-C6 aldose sugars and their polysaccharides to LA in subcritical water.

2.0. EXPERIMENTAL SECTION

2.1. Materials

The following reagents and products were used as received: D-(+)-xylose (99%), D(+)-glucose (Reagent ACS Grade) and microcrystalline cellulose were purchased from Acros Organics. Fructose (99%), sucrose (99%), L-(+)-lactic acid (98%), lanthanum nitrate hexahydrate (98%), cobalt nitrate hexahydrate (98%), lanthanum (III) oxide (99.9%), cobalt (II, III) oxide (99.5%) and citric acid (99%) were purchased from Sigma Aldrich.

2.2. Catalyst preparation

In the typical synthesis, 0.2 mol $\text{La}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ and 0.2 mol $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ were dissolved into 50 mL DI water and stirred for 10 min. Then, 0.6 mol citric acid was added into the above solution and stirred for another 30 min. After that, the solution was placed into a wide mouth container and dried at 110 °C in an oven overnight. The dried powder was collected and heated to 200 °C at 10 °C/min for 30 min, then heated to 900 °C with the temperature ramp of 3 °C/min, and finally calcined at 900 °C for 4 hours.

2.3. Catalyst characterization

A PANalytical X'Pert PRO diffractometer with graphite-filtered Cu $\text{K}\alpha$ radiation was used to determine the crystalline phases of the as-synthesized LaCoO_3 powder over the 2θ values from 10° to 90° with the step size of 0.02°.

H₂ temperature-programmed reduction (TPR) and O₂ temperature-programmed (TPO) on the catalysts were carried out using a Micromeritics AutoChem II 2920 Chemisorption Analyzer. In a typical H₂ TPR experiment, the catalyst was first degassed in helium at 900 °C for 1 hour, following which the temperature was decreased to 30 °C in helium flow. Afterwards, the gas flow was switched to 10% hydrogen in argon at 50 mL/min and the TPR was performed from 50 °C to 800 °C with the temperature ramp of 30 °C/min. Then, the sample was cooling to 30 °C in helium flow before the gas flow was switched to 10% oxygen in helium at 50 mL/min. TPO experiment was performed from 50 °C to 800 °C with the same temperature ramp of 30 °C/min.

Temperature-programmed desorption (TPD) of adsorbed NH₃ on the catalysts was carried out using the same Chemisorption Analyser. In a typical NH₃ TPD experiment, the catalyst was first degassed at 900 °C for 1 hour and then cooled to 100 °C in a helium flow. Afterwards, the gas was switched to 10% ammonia in helium at the flow rate of 50 mL/min to allow NH₃ adsorption for 40 min. The physisorbed NH₃ was removed under helium flow for 30 min. The NH₃ TPD was performed from 50 °C to 800 °C with the temperature ramp of 30 °C/min.

The Bruker EQUINOX 55 Fourier transform infrared spectroscopy (FT-IR) spectrometer with a MCT detector was used for the characterization. The sample was loaded in a high-temperature reaction cell (Harrick) located within a DRIFT accessory (Praying Mantis™, Harrick). The sample was degassed at 550 °C for 1 hour under helium in order to remove adsorbed water. After that, FT-IR spectra (with a resolution of 2 cm⁻¹) were collected from the sample at 120 °C. For pyridine adsorption, small aliquots of pyridine were subsequently exposed to the sample at 120 °C for 15 min. Prior to the measurement the weakly adsorbed pyridine was removed by flowing helium at 250 °C for 1 hour, and the spectra were then collected at 120 °C.

Scanning electron microscopy with energy-dispersive X-ray spectroscopy (SEM-EDS)

analyses have been carried out using a FEI Quanta 400 scanning electron microscope (Hillsboro, OR) equipped with a Thermo NSS-UPS-SEM-INORAN System SIX (Thermo Fisher Scientific, Waltham, MA) for X-ray microanalysis (EDS). For EDS analysis, the samples were placed on a stub with carbon tape and the SEM imaging was run at 15 kV. Aqueous solutions collected after reactions were analyzed by an Optima 3000 DV inductively coupled plasma atomic emission spectrometer (ICP-AES) (Acme Analytical Laboratory). All samples for ICP-AES analysis were acidified with 5% Optima HNO₃.

2.4. Catalytic reaction procedure

Reactions were carried out by suspending the catalyst (0.1 g) in a solution of biomass substrates (0.2 g) in DI water in a 100 mL stirred Parr microreactor. In each reaction, a glass liner was used to prevent reactants from contacting the metal reactor walls. The liner was loaded and placed inside the reactor, and the reactor was charged with 400 psi N₂. The reactor was heated at a ramp rate of 10 °C/min until the desired set temperature was reached. During the reaction, mixing was achieved through an internal propeller operating at 750 RPM. Once the set temperature was attained, the reactor was held at the set temperature for 1 hour and then quenched in an ice bath for fast cooling. The reactor was then immediately disassembled and the solid residue was collected for calculation of mass conversion. The liquid and solid fractions were separated using a centrifuge. The liquid fraction was collected and used for subsequent chemical analysis and the solid residues were dried over night at 110 °C. The mass balance was calculated and ensured to be between 98% and 102%.

The conversion (X), solid residue yield (S.R.) and the product yield C-% (Y) are calculated using the following equations:

$$X = \left(1 - \frac{\text{mass of carbon in unreacted biomass}}{\text{mass of carbon in total biomass}} \right) \times 100\%$$

$$\text{S. R.} = \left(\frac{\text{Weight of total dried solid residue} - \text{Weight of solid catalyst}}{\text{Initial weight of biomass}} \right) \times 100\%$$

$$Y = \frac{\text{mass of carbon in product}}{\text{mass of carbon in total biomass}} \times 100\%$$

2.5. Stability study

For the catalyst stability tests, the spent catalyst was collected and dried overnight in an oven at 100 °C, and was further calcined in air at 550 °C for 6 hours before reuse. For each run, there was a ~2% catalyst weight loss during the collection and transfer of the catalyst. The as-received regenerated catalysts were used for the subsequent reaction tests without adding supplemental fresh catalyst for all the catalyst stability tests. We kept the same amount of glucose loading for the first 3 times reuses, while slightly lowered the feeding amount of glucose to maintain the same molar ratio of glucose to catalyst in the 4th and 5th runs as that in the initial run.

2.6. Chemical analysis/Characterization of products

The aqueous phase samples after reaction were filtered through a 0.45 micron syringe filter then diluted 10 times with the internal standard solution (200 ppm benzoic acid solution). High performance liquid chromatography (HPLC) was used for quantitative chemical analysis using a Shimadzu HPLC LC-10A system equipped with a UV-VIS detector (Shimadzu SPD 10-AV) and a refractive index detector (Shimadzu RID-10A). For analysis of organic acids and reaction intermediates, the samples were separated in an Aminex HPX-87H Ion Exclusion column (Bio-Rad), using 5 mM H₂SO₄ as the mobile phase at a flow rate of 0.7 mL/min, and a column temperature of 55 °C. The wavelength of the UV-VIS detector was set at 208 nm and 290 nm.

Qualitative gas chromatography coupled with mass spectrometer (GC-MS) analysis was performed for identification of unknown aqueous-phase products. The silylation derivatization method was reported before.[14] The derivatized samples were analysed by the Agilent 6890

series GC-MS equipped with a DB5-MS column (30 m x 0.25 mm ID, 0.25 μ m film thickness) and an Agilent 5973 mass selective detector. The column temperature was maintained at 80 $^{\circ}$ C for 2 minutes then ramped at 10 $^{\circ}$ C/min to 260 $^{\circ}$ C and held at 260 $^{\circ}$ C for 2 minutes.

3. 0. RESULTS AND DISCUSSION

3.1. Catalytic effect of LaCoO₃ on glucose conversion

The crystalline rhombohedral perovskite structure of the as-synthesized LaCoO₃ catalyst was confirmed by the X-ray diffraction characterization (Fig. 1a). The characteristic spectrum of the sample showed the diffraction lines located at $2\theta = 32.98^{\circ}$, 33.38° and 47.58° (JCPDS data no. 48-0123). No peaks related to bulk Co₃O₄ or La₂O₃ phases were detected.

Glucose conversions were compared with and without the LaCoO₃ catalyst at 200 $^{\circ}$ C in the pH neutral aqueous solutions (Entries 1 and 4, Table 1). The catalytic effect of the LaCoO₃ catalyst was obvious. Without a catalyst, the yield of LA was only 0.9%, while a 29.1% yield of 5-hydroxymethylfurfural (HMF) was formed which might be attributed to the acidic property of hydrothermal water[15]. Other carboxylic acids with short carbon chains like glycolic acid and acetic acid were produced with the total yield of less than 5%. In contrast, with the LaCoO₃ catalyst and under the otherwise identical reaction conditions, the yield of LA reached 39.5%. The carbon distribution of other acids also changed considerably: more acetic acid, ~4%, was produced compared to the catalyst-free reaction and especially, the yield of pyruvic acid was noticeable at 2.7%. Meanwhile, the yield of HMF decreased significantly to only 0.1% by adding the LaCoO₃ catalyst. The amount of solid residue decreased to 6.3% (weight percentage), which may be ascribed to the lower production yield of HMF, a notorious humin precursor in hydrothermal media. La₂O₃ and Co₂O₃ metal oxides with the same moles of La and Co ions as those of the LaCoO₃ catalyst were used for comparison. The yield of LA over Co₂O₃ reached

31.3%, which was just a little lower than that of LaCoO_3 . However, after the first time usage, there was a 16.2% weight loss of Co_2O_3 due to the Co leaching, while there was almost no weight loss of LaCoO_3 . La_2O_3 , a weak solid base catalyst, gave only 18.8% LA yield. Approximately 20.0% of La_2O_3 dissolved in the hydrothermal media after a one-time use. (Entries 2 and 3, Table 1) Compared to the similar glucose oxidation reactions over CuO [15], the significant difference between LaCoO_3 and CuO is that no LA was produced over CuO in pH-neutral aqueous solutions, even at elevated temperatures.

3.2. Reaction temperature effect on lactic acid yield

The temperature dependence of glucose conversion with the LaCoO_3 catalyst was shown in Fig. 2. The conversion of glucose increased from 85% to 98% as the reaction temperature increased from 160 °C to 200 °C, while the yield of LA enhanced from 16.0% to 34.1% and the yield of glycolic acid also increased from 3.1% to 6.4%. However, further increasing the temperature to 240 °C decreased the yield of LA to 27.4%, which might be ascribed to the degradation of LA at higher temperatures in hydrothermal media. We thus carried out a series of LA stability tests at different temperatures, as shown in Table 2. To our surprise, in the presence of the LaCoO_3 catalyst, 12% of LA was converted at 200 °C while the conversion of LA only slightly increased to 17% at 240 °C, suggesting that LA was relatively stable in subcritical water with the LaCoO_3 catalyst at < 240 °C. Therefore, other side reactions might cause the decrease of the LA yield from glucose as the temperature increased from 200 °C to 240 °C.

3.3. Catalytic effect of LaCoO_3 on the conversion of other cellulosic biomass

The catalytic conversions of other carbohydrate biomass feedstocks, including C3 aldose (glyceraldehyde), C5 aldose (xylose) and polysaccharide (cellulose), were also performed using the LaCoO_3 catalyst, as shown in Entries 8, 9, 12-15, Table 1. Both C3 and C5 sugars can be

converted to LA as the main product with the yields of 52.6% and 37.9% respectively, together with a considerable amount of by-products including pyruvic acid, glycolic acid, acetic acid and formic acid, which were confirmed by the GC/MS characterization (Figs. S1-S3). The trace amounts of gluconic and xylonic acids, the characteristic intermediates produced from the partial oxidation of glucose and xylose, respectively, were also observed. Other C3 and C4 acids, such as hydroxypropionic and hydroxybutyric acids, were present as well. We found that the yield of LA from C5 xylose were very close to that from C6 glucose under the same reaction conditions. Most previous literature reports showed a retro-aldol condensation reaction pathway over the base or Lewis acid catalysed glucose conversion[14], in which one C6 glucose molecule can be cleaved to form two C3 compounds, and theoretically the yield of LA from C6 glucose can be twice higher than that from C5 xylose. The very similar LA yields from both glucose and xylose in the current study suggested a possible new reaction pathway in which retro-aldol condensation of the sugars is not the initial step of the LA formation.

It is well known that catalytic conversion of cellulose is much more challenging than converting water-soluble sugars with heterogeneous catalysts due to sluggish depolymerisation of cellulose. However, a relatively high yield of LA was obtained from cellulose at 240 °C using the LaCoO₃ catalyst. In fact, the best yield of LA, ~24.9 %, in our study was higher than those using the solid Lewis acid catalysts such as ZrW and AlW[12]. The promotion function of LaCoO₃ in the conversion of cellulose might be ascribed to the lanthanide(III) ions that have a high affinity to oxygen containing functional groups in cellulose. Thus the lanthanide–saccharide complexes formed between the hydrated lanthanide ions and the sugar units may facilitate the depolymerization of cellulose.[43,44]

Furthermore, it is interesting to find that C6 fructose, an isomer of glucose, produced a much lower amount of LA than glucose over the LaCoO_3 catalyst. (Entries 6-7, Table 1) Similarly, the C3 ketose (1,3 dihydroxyacetone), the isomer of glyceraldehyde, produced a similar amount of LA over the LaCoO_3 catalyst than without catalyst, suggesting LaCoO_3 did not catalyze the conversion of dihydroxyacetone to LA. (Entries 10-11, Table 1) Note that with Lewis acid or base catalysts, ketoses, which are prone to retro-aldol condensation, are the key intermediates in the production of LA from glucose or xylose [13,14]. However, in this study, the LaCoO_3 catalyst seemed to poorly catalyze the conversion of ketoses to LA. These results motivated us to explore the different reaction mechanism to produce LA from sugars with the LaCoO_3 catalyst.

3.4. Redox reaction mechanism

Thus far, many researchers have studied the reaction pathways of the formation of LA from sugars under hydrothermal conditions[9,10,15,45–47]. It is widely accepted that either base or Lewis acid catalysts are able to catalyse the isomerization of aldoses to ketoses and then the retro-aldol condensation to lower-molecular weight compounds. For instance, our previous study found that the ZrO_2 catalyst containing Lewis acid/base sites promoted the retro-aldol condensation of xylose, as well as the intramolecular Cannizzaro reaction of pyruvaldehyde, leading to the formation of LA[14].

In contrast, although the LA yield over LaCoO_3 is comparable to those over Lewis acidic zeotype catalysts[13], the Lewis acidic property of the LaCoO_3 catalyst was ruled out according to the pyridine desorption FTIR spectra as shown in Fig. 3. Moreover, the NH_3 TPD result (Fig. S4) also confirmed that the LaCoO_3 did not show Brønsted acidic property either. The basicity of the LaCoO_3 catalyst was negligible, as seen in the CO_2 TPD curve (Fig. S5). Instead, the redox properties of the LaCoO_3 were dominant. According to the TPR analysis, the hydrogen intake

1 profile of LaCoO_3 (Fig. 4a) displayed two peaks between 300-500°C and 500-700°C which
2 suggested a two-step reduction process: the reduction of Co^{3+} to Co^{2+} at 300-500°C and the
3 further reduction of Co^{2+} to Co^0 at ~ 600°C. Reverse transformation of Co ion states was
4 observed by the TPO analysis (Fig. 4b), in which the peaks at 200-400°C indicate the transition
5 of Co^0 to Co^{2+} and peaks at 700-800°C show the oxidation from Co^{2+} to Co^{3+} . The results are
6 coincident with literature reports on the characterization of LaCoO_3 in liquid phase reaction[48].
7 The TPR profile of Co_2O_3 shows three main peaks with the maxima at ~360, 420 and 480°C,
8 indicating the reduction of Co^{3+} to Co^{2+} , coexisting Co^{2+} and Co^{3+} ions as in Co_3O_4 , and the
9 further reduction of Co^{2+} to Co^0 at ~ 500°C. The TPO analysis correspondingly showed three
10 main peaks with the maxima at ~ 390, 510 and 550°C, indicating the transition of Co^0 to Co^{2+} ,
11 coexisting Co^{2+} and Co^{3+} ions, and the oxidation of Co^{2+} back to Co^{3+} . The results show that the
12 H_2 uptake capacity of Co_2O_3 was less than that of LaCoO_3 on the basis of the same moles of Co
13 ions in both materials, which may explain why lower amounts of LA from glucose were
14 produced over Co_2O_3 than over LaCoO_3 at the same Co loading.

15 To clarify the possible redox role of LaCoO_3 during the conversion of glucose to LA, the
16 effect of different types of reaction atmospheres on the LA yield was further investigated. We
17 found that the LA yield decreased significantly from 16.0% to 4.1% by increasing the O_2 partial
18 pressure from 0% to ~5%, while at the same time the glycolic acid and formic acid yields
19 increased significantly, as shown in Fig. 5a. Further increasing the O_2 partial pressure continually
20 decreased the LA yields. Under the 100% O_2 atmosphere, only a negligible amount of LA was
21 synthesized, compared to the yields of 22.7% glycolic acid, 8.7% formic acid and 6.9% acetic
22 acid. On the other hand, without the LaCoO_3 catalyst, regardless of the O_2 partial pressure, LA
23 was formed only in trace amounts. Using xylose as the feedstock, we also observed the same

1 trend, as shown in Fig. 5b. LA was thus used as the probe to test its own stability under a wide
2 range of O₂ partial pressures, as seen in Table 2. At 240 °C, LA is relatively stable under N₂
3 atmosphere and ~ 17% LA was converted over the LaCoO₃ catalyst. In contrast, under 100% O₂
4 atmosphere, LA was fully converted and 45% acetic acid was produced over the LaCoO₃
5 catalyst. Very interestingly, adding a small amount of H₂ in N₂ atmosphere seemed to have a
6 minor promotional effect, or at least no inhibition effect like O₂, on the conversion of glucose to
7 LA in the presence of high loadings of the LaCoO₃ catalyst. Compared to the pure N₂
8 atmosphere, the LA yield slightly changed from 39.5% to 40.1% with adding 5.5% of H₂ into N₂.
9 The conversion of glucose to LA over the LaCoO₃ catalyst might proceed through an initial step
10 of partial oxidation of glucose which was performed better in N₂ than in O₂. Under the pure N₂
11 atmosphere, LaCoO₃ is the possible weak oxidant that selectively oxidized glucose.

12 We thus propose a possible redox reaction mechanism (Scheme 1) with the hypothesis that
13 oxygen for the oxidation reactions during the conversion of glucose to LA might be stemmed
14 from the lattice oxygen atoms in the LaCoO₃ perovskite structure, similar to the classical Mars
15 and Van Krevelen (MvK) mechanism, i.e., lattice oxygen of metal oxide takes part in oxidation
16 reactions, which is widely accepted in gas-phase oxidation processes but rarely reported in
17 aqueous-phase oxidation processes.[49,50] Here, the oxygen atoms in the lattice of LaCoO_x
18 might participate in the redox cycles of the transformation of glucose and its derived
19 intermediates. For instance, LaCoO₃ firstly oxidizes the aldehyde group in glucose to form
20 gluconic acid, which is different from isomerization and retro-aldo condensation as the initial
21 step of acid/base catalysed glucose conversion reactions[14]. The catalyst itself is reduced from
22 LaCoO₃ to LaCoO_{2.5} and the corresponding cobalt valence states changed from Co³⁺ to Co²⁺.
23 Afterwards, gluconic acid undergoes an oxidative decarboxylation[51] and is transformed to

xylose, which was detected by the GC-MS (Fig. S1b). Xylose repeats the oxidation step to form xylonic acid and then undergoes oxidative decarboxylation to produce C4 aldose, which is then oxidized to hydroxybutyric acid. Finally hydroxypropionic acid is formed by the alternate oxidative decarboxylation and oxidation. Hydroxypropinoic acid can continue to react in the same fashion to further produce glycolic acid and then formic acid, especially with the presence of strong oxidants like O₂, as we observed that under higher O₂ partial pressures, more glycolic and formic acids, rather than LA, were produced from glucose. On the other hand, hydroxypropinoic acid can also undergo a dehydration reaction at elevated temperatures to produce pyruvic acid, which was also detected by the GC-MS. It is possible that over the reduced perovskite structure, LaCoO_{2.5}, pyruvic acid is reduced to LA. Meanwhile, Co²⁺ is oxidised back to Co³⁺ and complete the catalytic cycle of Co³⁺ → Co²⁺ → Co³⁺, while the perovskite structure is unchanged.

To test our hypothesis that LaCoO₃ serves as the weak oxidant, we examined the effects of different catalyst loading amounts on the LA yield. As shown in Fig. 6, by increasing the molar ratio of LaCoO₃ to glucose from 0.5:1 to 4:1, the LA yield increased from ~33% to ~40%. However, as the catalyst to biomass molar ratio further increased to 10:1, the LA yield decreased significantly to ~32%. These results reasonably support our hypothesis: stoichiometrically one mole of LaCoO₃ only provides 0.5 moles of oxygen, but there are multiple oxidation steps during the conversion of glucose to LA, and thus excess catalyst loadings are needed to achieve a high yield of LA. However, as the molar ratio of catalyst to glucose was too high, the excess LaCoO₃ might prevent LaCoO_{2.5} from reducing pyruvic acid, the key step to produce LA in our proposed mechanism.

To further illustrate this key redox step, pyruvic acid was used as a probe reactant to find out

whether it would be reduced over the LaCoO_3 catalyst which was pre-reduced with the H_2 flow. We found that in the pure N_2 atmosphere, a yield of 16.5% LA was obtained from pyruvic acid without adding any catalyst at 200°C , while 21.3% glycolic acid was the dominant product. In contrast, 36.8% LA was produced as the dominant product over the pre-reduced LaCoO_3 catalyst. In addition, when supplementary H_2 was added into the N_2 atmosphere, a 56.9% LA yield was obtained, as shown in Table 3. This is in contrast to the conversion of pyruvic acid to LA with the fully oxidized LaCoO_3 , over which only a 3.6% LA yield was obtained.

We also used gluconic acid as the probe reactant to validate the proposed reaction mechanism. At 200°C , 14% gluconic acid was converted, with LA and acetic acid as the dominant products (Entry 12, Table 2). The probe reaction results suggest that the proposed reaction pathway is reasonable although the actual chemistry and kinetics could be much more complex in this reaction system. Similarly, other aldoses such as xylose undergo the same redox cycles to produce LA. The stepwise oxidative decarboxylation of the aldoses, with the different carbon numbers ranging from six to four, peels off the carbon in the end aldehyde group to form CO_2 . According to our proposed redox reaction mechanism, ideally, 1 mmol of glucose will produce 1 mmol of LA and 3 mmol of CO_2 . In the typical glucose conversion experiment, 3.12 mmol of CO_2 were produced from 1 mmol of glucose, as seen in Fig. S6. The carbon balance of this reaction was close to 100%. As this reaction proceeds, CO_2 would be the only end product. Indeed, it is unclear why LA is more stable products compared to other C1 and C2 acids. However, this proposed redox reaction mechanism well explained why the production yields of LA from xylose were nearly the same as those from glucose. On the other hand, ketose such as fructose, which possesses the end ketone group instead of the end aldehyde group, is recalcitrant to the oxidative decarboxylation and thus hardly produces as a high amount of LA as glucose

over the LaCoO_3 catalyst.

3.5. Stability of LaCoO_3 catalyst

We found that the stability of either La_2O_3 or Co_2O_3 was poor under hydrothermal conditions, while the perovskite structure of LaCoO_3 stabilized La and Co ions to some extent. Our catalyst stability was examined by five consecutive usages of the fresh and spent LaCoO_3 catalysts for the conversion of glucose to LA. The spent catalyst after each use was regenerated by calcination in air at 550°C for 6 hours in order to remove any biomass derived solid residues. Fig. 7 shows that the yield of LA decreased from 39.5% to 33.3% after the first usage. The activity of the spent LaCoO_3 catalyst was restored after the regeneration and remained fairly stable through the following usages after the first reuse in the hydrothermal reaction system. In contrast, the yield of LA decreased to less than 20% with the un-regenerated catalyst. During the reaction, the LaCoO_3 catalyst acted as an oxidant initially; after reaction, it was mostly reduced while only part of LaCoO_3 were restored to the oxidized state by the reduction of pyruvic acid. That is why the catalyst activity is low if we reuse the spent LaCoO_3 catalyst without regeneration. However, after being calcined in air, the spent catalyst was fully oxidized again and thus the catalytic activity was restored. Hence, unlike other catalysts, LaCoO_3 in this reaction is similar to a recyclable solid reactant.

The XRD analysis shows that after the 1-hour treatment in the pH neutral hydrothermal medium at 200°C , the crystalline structure of LaCoO_3 was almost unchanged (Fig. 1b). However, after the 1-hour glucose conversion reaction, a slight collapse of crystalline structure of the LaCoO_3 catalyst was observed, as shown in Fig. 1c. The pH of the aqueous solution decreased to 3.75 after the reaction due to the formation of carboxylic acids, which might accelerate the leaching of metal ions. Elemental analysis by EDS confirmed that a small amount

of Co ions in the LaCoO_3 was leached out after the reaction compared with the fresh sample, as shown in Fig. S7. Additionally, the leaching of Co and La during the typical reaction (after the first use of the LaCoO_3 catalyst in Fig 7) was characterized by the inductively coupled plasma atomic emission spectroscopy (ICP-AES). Approximately 2.4 mol% Co ions and 1.5 mol% La ions were leached out from the LaCoO_3 perovskite catalyst after the reaction. Yet the Co and La ions leached out from the Co_2O_3 and La_2O_3 catalysts were ~11.4 mol% and ~15.0 mol% respectively in the control reactions under the otherwise identical conditions. Although the structure of perovskite could not completely prevent the leaching of Co and La ions, it significantly retarded the leaching process.

The leached Co ions might be the homogeneous Lewis acid which could potentially catalyse the production of LA from sugars. The comparative tests using Co^{2+} as catalyst were thus carried out to determine whether or not the catalytic performance was stemmed from the leached Co ions. Different product distributions and LA yields were observed when using the equal moles of $\text{Co}(\text{NO}_3)_2$ and LaCoO_3 as the catalysts, respectively (Entry 5, Table 1). The Co^{2+} ion promoted the dehydration of glucose as a noticeable yield of HMF (2.4%) was produced which was not observed by using the LaCoO_3 catalyst. Yet the yield of LA with the $\text{Co}(\text{NO}_3)_2$ catalyst was ~14% which was much lower than that of ~40% LA using the LaCoO_3 catalyst. Therefore the leached Co ions did not dominantly catalyse the LA formation during the catalytic conversion of sugars in the presence of the LaCoO_3 .

The changes in particle size, surface composition, and surface area were dynamic in the reactive environment. We found the mean crystallite size of LaCoO_3 decreased from ~77.2 nm to ~48.3 nm (calculated from the XRD spectra) after the 1st reaction. The decrease of the size could certainly increase the surface area. However, since the BET surface area of the fresh LaCoO_3

material was already rather low (Table S1), the effect of surface area change might be minimal. Note that the LA yield decreased from 39.5% to 33.3% after the 1st use and then remained stable throughout the subsequent re-use of the spent catalyst, as shown in Fig. 7, suggesting that the dominant factor might be leaching instead of the changes in particle size or surface area and leaching might be reversible under the reaction condition..

4. Conclusions

In summary, we demonstrate that the LaCoO₃ perovskite catalyst can effectively catalyse the conversion of cellulosic biomass to lactic acid in hydrothermal media. The reaction temperature, gas atmosphere, and catalyst loading had profound effects on the production yield of lactic acid from glucose. C5 to C6 aldose sugars including xylose and glucose yielded a similar amount of lactic acid, up to ~40%, at 200°C and the initial pressure of 400 psi N₂, while the highest yield of lactic acid from cellulose was ~24% at 240°C, in hydrothermal media. Much lower amounts of HMF and humin products were obtained from glucose with the LaCoO₃ catalyst. A possible redox reaction mechanism, which involved the key steps such as the oxidative decarboxylation of aldose sugars and the reduction of pyruvic acid with the aid of the Co³⁺ and Co²⁺ redox cycles in the LaCoO₃ perovskite structure, was proposed for the conversion of aldose sugars to lactic acid. Unlike Lewis acid or base catalysed reactions in which the production of lactic acid was initialized by the retro-aldol condensation of the sugars, the lattice oxygen atoms in the LaCoO₃ perovskite metal oxides acted as the weak oxidants in the selective conversions of aldoses to lactic acid under inert or even mild reductive atmosphere. The leaching of LaCoO₃ occurred during the glucose conversion reactions in hydrothermal media but the catalyst was found to be relatively stable in the short term.

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1 **Captions for Figures:**

2 **Figure 1:** XRD spectra of the LaCoO_3 structures: (a) fresh synthesized sample; (b) after 1 hour
3 hydrothermal treatment; (c) after 1 hour reaction. Reaction conditions: 1 mmol glucose, 4 mmol
4 catalyst, 20g DI water, 200°C , 400psi initial pressure N_2 , 1 hour. For hydrothermal treatment, no
5 glucose was added.

6 **Figure 2:** Effect of reaction temperature on the glucose conversion over the LaCoO_3 catalyst in
7 hydrothermal media. Reaction conditions: 1 mmol glucose, 0.5 mmol catalyst, 20g DI water,
8 400psi initial pressure of N_2 , 1 hour.

9 **Figure 3:** FTIR spectra of adsorbed pyridine on LaCoO_3 and Co_2O_3 materials.

10 **Figure 4:** (a) H_2 Temperature programmed reduction (TPR) and (b) O_2 Temperature programmed
11 oxidation (TPO) of LaCoO_3 (solid line) and Co_2O_3 (dot line) materials.

12 **Figure 5:** Effect of O_2 partial pressure on the conversion of (a) glucose and (b) xylose over the
13 LaCoO_3 catalyst. Reaction conditions: 1 mmol glucose or xylose, 2 mmol catalyst, 20g DI water,
14 160°C , 400 psi initial pressure, 1 hour.

15 **Figure 6:** Effect of catalyst loading amount on the product yields of the glucose conversion over
16 the LaCoO_3 catalyst. Reaction conditions: 1 mmol glucose, 20g DI water, 200°C , 400psi initial
17 pressure of N_2 , 1 hour.

18 **Figure 7:** Stability of the LaCoO_3 catalyst in the production of lactic acid from glucose in
19 hydrothermal media. Reaction conditions: 1 mmol glucose, 4 mmol catalyst, 20g DI water,
20 200°C , 400 psi initial pressure of N_2 , 1 hour. For the 4th and 5th reactions, the feed amounts of
21 glucose were slightly adjusted in order to keep the same ratio of catalyst to glucose.

Fig. 1. XRD spectra of the LaCoO_3 structures: (a) fresh synthesized sample; (b) after 1 hour hydrothermal treatment; (c) after 1 hour reaction. Reaction conditions: 1 mmol glucose, 4 mmol catalyst, 20g DI water, 200°C, 400psi initial pressure N_2 , 1 hour. For hydrothermal treatment, no glucose was added.

Fig. 2. Effect of reaction temperature on the glucose conversion over the LaCoO_3 catalyst in hydrothermal media. Reaction conditions: 1 mmol glucose, 0.5 mmol catalyst, 20g DI water, 400psi initial pressure of N_2 , 1 hour.

Fig. 3 FTIR spectra of adsorbed pyridine on LaCoO_3 and Co_2O_3 materials.

Fig. 4. (a) H₂ Temperature programmed reduction (TPR) and (b) O₂ Temperature programmed oxidation (TPO) of LaCoO₃ (solid line) and Co₂O₃ (dot line) materials.

Fig. 5. Effect of O₂ partial pressure on the conversion of glucose (a) and xylose (b) over the LaCoO₃ catalyst. Reaction conditions: 1 mmol glucose or xylose, 2 mmol catalyst, 20g DI water, 160°C, 400 psi initial pressure, 1 hour.

1
2 **Fig. 6.** Effect of catalyst loading amount on the product yields of the glucose conversion over the
3 LaCoO_3 catalyst. Reaction conditions: 1 mmol glucose, 20g DI water, 200 °C, 400psi initial
4 pressure of N_2 , 1 hour.

1
2 **Fig. 7.** Stability of the LaCoO₃ catalyst in the production of lactic acid from glucose in
3 hydrothermal media. Reaction conditions: 1 mmol glucose, 4 mmol catalyst, 20g DI water,
4 200°C, 400 psi initial pressure of N₂, 1 hour. For the 4th and 5th reactions, the feed amounts of
5 glucose were slightly adjusted in order to keep the same ratio of catalyst to glucose.

Scheme 1. Proposed redox reaction pathway for the formation of lactic acid from glucose with the LaCoO_3 catalyst.

1 **Table 1.** Conversions of different cellulosic biomass with and without the different catalysts.^a

Entry	Substrate	Catalyst	S. R. ^b (%)	Carbon yields of aqueous products (%)							
				Gluconic	Pyruvic	Glycolic	Lactic	Formic	Acetic	HMF	furfural
1	Glucose	/	22.2	0.9	0.4	1.8	0.9	1.9	0.0	29.1	1.1
2	Glucose	La ₂ O ₃	25.0	0.1	0.2	4.2	18.8	1.1	2.8	1.2	0.3
3	Glucose	Co ₂ O ₃	12.8	0.5	0.3	3.2	31.3	1.5	1.4	1.4	0.5
4	Glucose	LaCoO ₃	6.3	0.0	2.7	5.7	39.5	1.1	3.9	0.1	0.4
5	Glucose	Co(NO ₃) ₂	17.0	0.0	0.1	2.3	14.3	2.7	0.2	2.4	0.5
6	Fructose	/	16.6	0.3	0.2	1.7	9.8	2.4	3.4	23.1	1.1
7	Fructose	LaCoO ₃	10.4	0.0	1.4	2.3	19.8	0.6	2.6	1.4	0.6
8	Glyceraldehyde	/	39.0	-	0.6	3.2	28.9	2.3	3.2	-	-
9	Glyceraldehyde	LaCoO ₃	26.4	-	2.1	4.1	52.6	3.0	5.9	-	-
10	DHA ^c	/	34.1	-	0.6	0.0	31.9	1.4	0.8	-	-
11	DHA ^c	LaCoO ₃	29.3	-	0.4	1.6	29.8	0.8	4.5	-	-
12	Xylose	/	21.2	-	0.0	2.3	6.7	1.6	0.6	-	32.4
13	Xylose	LaCoO ₃	5.3	-	0.4	5.1	37.9	1.2	3.4	-	0.5
14 ^d	Cellulose	/	9.8	0.2	0.0	0.8	4.6	3.6	3.6	24.7	3.0
15 ^d	Cellulose	LaCoO ₃	13.6	1.6	0.5	3.3	24.9	2.4	0.8	0.3	0.1

2 ^aReaction conditions: 1 mmol biomass substrate, 4 mmol catalyst, 20g DI water, 200 °C, 400 psi initial
3 pressure of N₂, 1 hour.

4 ^bS.R. represents the mass yield of solid residue.

5 ^cDHA represents 1,3 dihydroxyacetone.

6 ^dReaction temperature is 240 °C.

1 **Table 2.** Conversion of lactic acid (LA) and gluconic acid (GA) under the different reaction
2 conditions with and without the LaCoO₃ catalyst.^a

Entry	Substrate	Catalyst	Atmosphere	Temp. C	Conv. %	Carbon yields of aqueous products (C-%)						
						Pyruvic	Glycolic	Lactic	Formic	Acetic	Acrylic	HMF
1	LA	/	N ₂	160	1.6	0.3	0.0	-	0.1	0.1	0.0	-
2	LA	LaCoO ₃	N ₂	160	12.1	0.0	0.0	-	0.4	2.5	0.0	-
3	LA	/	N ₂	200	3.3	0.4	0.0	-	0.5	0.2	0.1	-
4	LA	LaCoO ₃	N ₂	200	12.3	0.0	0.0	-	0.2	2.8	0.0	-
5	LA	/	N ₂	240	2.0	0.6	0.0	-	0.5	0.0	0.4	-
6	LA	LaCoO ₃	N ₂	240	16.7	1.2	0.0	-	0.0	2.8	0.0	-
7	LA	/	10% O ₂	160	2.2	1.1	0.0	-	0.2	0.8	0.0	-
8	LA	LaCoO ₃	10% O ₂	160	16.6	0.8	0.0	-	0.2	4.6	0.0	-
9	LA	/	100% O ₂	240	100	0.0	0.0	-	0.0	0.0	0.0	-
10	LA	LaCoO ₃	100% O ₂	240	100	0.0	0.0	-	0.0	45.3	0.0	-
11	GA	/	N ₂	160	3.3	0.0	0.0	0.4	0.4	1.6	0.0	0.3
12	GA	LaCoO ₃	N ₂	160	13.9	0.0	0.8	5.2	1.0	4.2	0.0	0.1

3 ^aReaction conditions: 1 mmol biomass substrate, 0.5 mmol catalyst, 20g DI water, 400 psi initial N₂
4 pressure, 1 hour.

Table 3. Conversion of pyruvic acid with and without the different LaCoO₃ catalysts under inert or reductive atmospheres.

Entry	Catalyst	B/C ^b	Atm.	Carbon yields of aqueous products (C-%) ^a			
				Glycolic	Lactic	Formic	Acetic
1	-	-	N ₂	21.3	16.5	0.8	2.5
2	R. LC	1/2	N ₂	3.8	36.8	0.1	3.2
3	O. LC	1/2	N ₂	24.5	3.6	0.0	1.6
4	-	-	H ₂ and N ₂ ^c	19.7	17.2	0.7	3.3
5	R. LC	1/2	H ₂ and N ₂ ^c	0.0	56.9	0.0	4.8

^aReaction conditions: 1 mmol pyruvic acid, 2 mmol pre-reduced (R. LC) or fully oxidized (O. LC) LaCoO₃ catalyst, 200°C, 400 psi initial pressure, 1 hour.

^bB/C represents the mole ratio of biomass substrate to catalyst.

^cA mixture of H₂ and N₂ atmosphere with 2 mmol H₂.