***In situ* characterization of charge rate dependent stress and structure changes in V₂O₅ cathode prepared by atomic layer deposition**

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Abstract

The insertion/extraction of lithium into/from various host materials is the basic process by which lithium-ion batteries reversibly store charge. This process is generally accompanied by strain in the host material, inducing stress which can lead to capacity loss. Therefore, understanding of both the structural changes and the associated stress – investigated almost exclusively separately to date – is a critical factor for developing high-performance batteries. Here, we report an *in situ* method, which utilizes Raman spectroscopy in parallel with optical interferometry to study effects of varying charging rates (C-rates) on the structure and stress in a V_2O_5 thin film cathode. Abrupt stress changes at specific crystal phase transitions in the Li-V-O system are observed and the magnitude of the stress changes with the amount of lithium inserted into the electrode are correlated. A linear increase in the stress as a function of x in $Li_xV_2O_5$ is observed, indicating that C-rate does not directly contribute to larger intercalation stress. However, a more rapid increase in disorder within the $Li_xV_2O_5$ layers is correlated with higher C-rate. Ultimately, these experiments demonstrate how the simultaneous stress/Raman *in situ* approach can be utilized as a characterization platform for investigating various critical factors affecting lithium-ion battery performance.

Keywords: lithium-ion battery; vanadium oxide; charge rates; *in situ*; Raman spectroscopy; stress

1. Introduction

Lithium-ion batteries (LIBs) provide high energy density while being light-weight and compact, powering a variety of devices such as smartphones, laptops, and desktop computers. New applications such as electric and hybrid vehicles demand even higher energy density, longer cycle life, lower cost, and improved safety [1,2]. Therefore, a considerable amount of research has been directed towards developing new electrode materials. A major challenge in these efforts has been characterizing and understanding these materials while batteries are in a state of operation [3].

Different electrode materials react with Li in a various ways, such as intercalation or alloying [1,4,5]. Irrespective of the exact mechanism, stress generation due to lithiation has been demonstrated to produce cracks or fractures in the host material which result in capacity loss and ultimately failure of the battery. In addition to stress, the host material can experience significant structural and chemical changes such as phase transitions [6–8]. Therefore, measurement techniques which acquire stress and structural evolutions in real time could be useful for understanding mechanisms of LIB degradation and advancing battery performance.

Recently, significant progress has been made with *in situ* techniques based on X-ray diffraction (XRD), scanning transmission X-ray microscopy, and transmission electron microscopy (TEM) in structural characterization of LIB electrodes [9–15]. Compared to the aforementioned techniques which rely on complex electrochemical cells and bulky external equipment, Raman spectroscopy is an alternative method for probing structural changes of LIB electrodes with sub-micron spatial resolution in a relatively simple experimental set up readily compatible with liquid electrolytes and without the need for high vacuum. Raman spectroscopy utilizes inelastic scattering of monochromatic photons by the electrode sample, which can acquire information such as crystallinity/disorder, strain, and chemical

composition including Li content [7,16]. *In situ* Raman spectroscopy has been widely applied, from graphite and other carbonaceous materials, to transition metal oxides-based compounds, or solid electrolyte interphase formation [7,17]. Additional insights into the battery operation and degradation mechanisms, as well as identifying which phases are present during abrupt changes in stress, can be achieved by combining *in situ* Raman spectroscopy with stress measurement.

In addition to the characterization of structural changes, significant advances have been made in *in situ* mechanical strain/stress characterization of LIB electrodes using a variety of approaches [18–22]. Among these techniques, the multi-beam optical stress sensor (MOSS) has proven to be an effective method for analyzing the stress evolution in LIB thin film electrodes during battery operation. This technique utilizes a silicon substrate coated with a passivation layer, a current collector, and a thin film active battery material of interest. The stress generated in the active material changes the curvature in the wafer which is measured using an array of parallel laser beams. The curvature change is determined by measuring relative changes in the spacing between the reflected beams, and the resulting stress is calculated using the Stoney equation [20]. While this technique is advantageous for mechanical strain/stress characterization, the experimental setup hinders direct access to the electrode which limits its potential integration with other methods that are capable of characterizing structural evolution in the electrode. Additionally, the stress value calculated using this technique is averaged over the entire wafer-scale. Therefore, the MOSS technique gives the best results when it is applied for materials that experience spatially homogeneous strain/stress.

In this work, we investigate the stress and structural changes in an ALD-deposited thin film $\text{Li}_x\text{V}_2\text{O}_5$ electrode over multiple discharge/charge cycles using an *in situ* characterization

platform. Despite large theoretical capacity of ~450 mAh/g, $\text{Li}_x\text{V}_2\text{O}_5$ has not been widely adopted in commercial cells in part due to lower voltage vs. Li compared to other Li intercalation oxides such as Li_xCoO_2 , and in part due to the complexities of the several phase transitions occurring during Li insertion/extraction reactions and how these relate to battery performance. Previously, C-rate variation has been identified as an important factor affecting battery performance [23–25], and the impact of high C-rate on the structure and associated stress in the electrode have been analyzed. The *in situ* platform utilizes a microelectromechanical systems (MEMS) optical sensor integrated with Raman spectroscopy to enable characterization of both the stress and structural changes in the electrode simultaneously. Our results reveal abnormal stress changes when the $\text{Li}_x\text{V}_2\text{O}_5$ electrode experiences α - to ϵ -phase and ϵ - to δ -phase transitions. Additionally, these abnormal stress changes have been observed at higher lithium content in $\text{Li}_x\text{V}_2\text{O}_5$ when the electrode is cycled at higher rates, and the magnitude of the stress changes have been correlated with the amount of lithium inserted into the electrode. Moreover, we find signs of a more uniform lithium concentration throughout the electrode with decreasing electrode thickness at the same C-rate. We are also able to analyze Raman peak intensity changes of the translational mode at low frequency (144 cm^{-1}) as a function of x in $\text{Li}_x\text{V}_2\text{O}_5$, showing a faster increase of disorder within the $\text{Li}_x\text{V}_2\text{O}_5$ layers when the electrode is cycled at higher C-rates.

2. Methods and Experimental

The MEMS optical sensor (Figure 1a) used in this work is composed of an array of flexible circular membranes. These membranes divide the sensor into two cavities, referred to as the ‘optical’ and ‘battery’ cavities. The optical cavity is formed by anodically bonding glass to a silicon wafer. The battery cavity, which is much deeper, is formed on the other side

of the membrane (Si_3N_4 , 700 nm) coated with an insulating layer (SiO_2 , 250 nm), a current collector (Cr/Au , 15/10 nm), and an active battery material (V_2O_5 , 200 nm). The battery cavity simultaneously provides a channel for the liquid electrolyte. The detailed sensor fabrication procedure is described in our previous work [21].

Figure 1

Figure 1: MEMS optical sensor geometry. (a) 3D schematic of MEMS optical sensor showing array of circular membranes. (b) Cross-section diagrams of a single membrane; shallow optical cavity at the top and deep battery cavity below.

The V_2O_5 thin films are deposited in a BENEQ TFS 500 atomic layer deposition (ALD) reactor by alternating pulses of vanadium triisopropoxide (VTOP) and ozone at 170°C. The V_2O_5 deposition rate is measured as 0.81 Å/cycle. The V_2O_5 was deposited for 2,470 cycles toward a target thickness of 200 nm. The mass of the active material is measured with a high precision microbalance (Mettler Toledo, XS105 dualRange, 1 µg) before and after the deposition.

Packaging for the sensor is achieved using a modified coin cell with an optical window. First, the optical window (5 mm in diameter) is machined into the coin cell cap (LIR2032), and double-sided adhesive aluminum tape (1170 tape, 3M) is placed between the cap and the sensor. A hermetic seal and electron transport are achieved by adhesive aluminum tape. The active material deposited on the sensor and metallic lithium (Sigma Aldrich) pressed against a stainless steel disc serve as the cathode and anode, respectively. A polymer separator (Celgard® 3501) is placed between the two electrodes and soaked with liquid electrolyte (1 M $LiPF_6$ solution in ethyl carbonate/dimethyl carbonate (EC/DMC, 1:1 by volume), Novolyte Technologies). The cell is closed in an argon filled glove box with oxygen concentration of less than 0.1 ppm.

The assembled coin cell is connected to a potentiostat (Compactstat, Ivium Technologies) and placed under a Raman microscope for galvanostatic testing (Figure 2). This *in situ* experimental setup utilizes a Raman microscope (Yvon Jobin LabRam ARAMIS, Horiba, Ltd.) where the white-light illumination source is replaced with a despeckled 532 nm green laser (CPS532, Thorlab Inc.) for monitoring the membrane deflection using optical interferometry (Figure 2, interferometric laser). The same microscope is used for monitoring the structure changes of the electrode using a 532 nm Nd:YAG laser as the excitation source (Figure 2, Raman laser) for Raman spectroscopy measurements. A 10× objective is used to

focus the Raman laser onto the electrode surface (spot size $\sim 6.76 \mu\text{m}^2$) and the Raman spectra are collected in a back-scattering configuration. The power of the laser beam is adjusted using a neutral density filter to avoid local heating of the electrode. Each spectrum is collected for 90 seconds in total with three 30 seconds accumulations. An interference pattern is produced when the interferometric laser illuminates one of the membranes in the optical cavity of the sensor (Figure 4). The as-fabricated sensor membranes have some residual stress associated with the fabrication processes which result in an upward curvature [21]. Due to this pre-deflected circular membrane, the initial interference pattern already shows multiple concentric rings. The Raman laser is used to probe the V_2O_5 electrode underneath the glass wafer and the multi-layer membrane (Supplementary Figure 1). The imaging software (NGSLapSpec, Horiba, Ltd.) supports user specific operations programmed in Visual Basic Script. This enables automatic switching between the two laser sources repeatedly throughout the experiment to collect the corresponding data. Electrochemical experiments are performed in the half-cell configuration in ambient conditions. Galvanostatic lithium cycling experiment is conducted from 2.80 – 3.75 V at different C-rates: C/4, C/2, and 1C.

An estimation of the stress change in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode can be made under the assumption that changes in Young's modulus and thickness of the $\text{Li}_x\text{V}_2\text{O}_5$ electrode during the lithium insertion/extraction process are negligible. In this case, a differential stress change in $\text{Li}_x\text{V}_2\text{O}_5$ can be estimated using analytical calculations. Since the thickness of the flexible membrane of the MEMS optical sensor is $1.18 \mu\text{m}$ and the membrane deflects the same order of magnitude, the membrane behavior should follow a thick circular clamped membrane described by equation 1 [26],

$$p = 4 \frac{dw_0}{R_v^2} \left(\frac{4}{3} \frac{d^2}{R_v^2} \frac{E}{1 - \nu^2} + \sigma_0 + \frac{64}{105} \frac{w_0^2}{R_v^2} \frac{E}{1 - \nu^2} \right) \quad (1)$$

where p is the differential pressure acting on the membrane, d is thickness of the membrane, w_0 is the initial membrane deflection, R_v is radius of the membrane, E is Young's modulus of the membrane, ν is Poisson's ratio of the membrane, and σ_0 is initial stress in the membrane.

As shown in Figure 4a, at the beginning of our experiment, the interference pattern of the pristine electrode is composed of 11 concentric rings. Each of the concentric rings is generated when the membrane deflection is equal to half of the wavelength of the light source. Since the wavelength of the light source is 532 nm, the initial membrane deflection (w_0) is 2.93 μm . Therefore, the differential pressure (p) can be determined from equation 1. The parameters used in this calculation are specified in Table 1.

During the lithium insertion process, the membrane receives tensile stress and the membrane deflection becomes smaller. As a result, the intensity at the center of the membrane changes from bright (Figure 4a) to dark (Figure 4b), which is equal to 133 nm ($\lambda/4$) deflection of the membrane. Therefore, the membrane deflection of the electrode under testing (Figure 4b) becomes 2.80 μm (w_1). However, the differential pressure does not change during the electrochemical discharge-charge process. The only factor inducing the smaller deflection of the membrane is the stress change in the membrane (σ_1). By utilizing the differential pressure value achieved in the previous step, the change of the stress in the membrane can be back calculated from equation 2. According to our calculations, the differential stress change in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode is equal to 303 MPa.

$$p = 4 \frac{dw_1}{R_v^2} \left(\frac{4}{3} \frac{d^2}{R_v^2} \frac{E}{1-\nu^2} + \sigma_1 + \frac{64}{105} \frac{w_1^2}{R_v^2} \frac{E}{1-\nu^2} \right) \quad (2)$$

Table 1. Parameters used for the stress estimation presented in this study.

Parameter	Value	Comments
Differential pressure (p)	1.77 MPa	Calculated
Thickness of the membrane (d)	1.18 μm	Measured
Initial membrane deflection (w_o)	2.93 μm	Measured
Radius of the membrane (R_v)	75 μm	Measured
Young's modulus of the membrane (E)	215.65 GPa	[27–29]
Poisson's ratio of the membrane (ν)	0.25	[27–29]
Initial membrane stress (σ_o)	451.52 MPa	[30]

Figure 2

Figure 2: Simplified schematic diagrams of the Raman microscope experimental setup showing the location of the coin cell under test relative to the Raman microscope.

3. Results and discussion

In order to investigate effects of different C-rates on the stress and structural changes in the V_2O_5 cathode, we apply varying C-rates and monitor their impacts on the electrode. Figure 3 shows galvanostatic discharge-charge curve of the ALD-deposited V_2O_5 thin film electrode upon cycling at different C-rates: C/4, C/2, 1C, and C/4. At each rate, the battery is tested for 2 cycles to minimize the impacts from previous cycles with different C-rates. The discharge-charge curve shows the α - to ε -phase and ε - to δ -phase transformation plateaus at 3.4 and 3.2 V, respectively. The observed electrochemical behavior corresponds to well-known phase transitions previously reported [31,32]. This result demonstrates that the customized cell functions properly in ambient conditions.

Figure 3

Figure 3: Galvanostatic discharge-charge cycling curve of the ALD-deposited V_2O_5 electrode achieved from the *in situ* experimental setup. Different C-rates (C/4, C/2, and 1C in red) are applied for different cycles (blue); corresponding cycle numbers are indicated in green in the potential window of 2.8 – 3.75 V.

To analyze the stress changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode during lithium cycling, the interference patterns from the sensor membrane are recorded throughout the experiment. As the stress in the electrode changes during the discharge-charge processes, the flexible membrane deflects causing the intensity of the interference pattern to change. First, the interference pattern of the pristine electrode (Figure 4a, before the initial discharge process) is recorded and then compared to the interference patterns of the electrode under testing (Figure 4b). Specifically, the intensity changes at the center of the membrane are analyzed since it is the part of the membrane most sensitive to changes in stress. In order to verify how the intensity varies with stress in the membrane, the change of interference intensity as a function of membrane stress is simulated using MATLAB (Supplementary Figure 2). The details of the analytical equations used in the simulation can be found in our previous work [21], and the parameters used in this simulation are specified in supplementary Table 1. According to our simulation, a first-order linear correlation between the intensity and stress provides an excellent approximation ($R^2 = 0.99$). Therefore, a decrease in the interference intensity directly corresponds to increasing tensile stress in the membrane, with an increase in the intensity conversely corresponding to increasing compressive stress. **This intensity change has been correlated with the stress change in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode using the analytical calculations described in the methods and experimental section.**

Figure 4

Figure 4: (a) Interference patterns of the pristine electrode and (b) electrode under testing.

Intensity change at the center of the membrane is highlighted within the dotted circles.

Figure 5

Figure 5: Correlation between stress changes and potential variations during discharge-charge processes of the (a) second (C/4), (b) fourth (C/2), (c) sixth (1C), and (d) eighth (C/4) cycles.

Abnormal stress changes observed during discharge processes are marked in red dotted lines.

Different C-rates are marked in blue.

Figure 5 displays superposition of the discharge-charge curves over the stress changes at different C-rates. Correlation between the stress and electrochemical discharge-charge of the second cycle (Figure 3, C/4) is shown in Figure 5a. Upon lithium intercalation, the stress in the electrode becomes increasingly tensile in general (Figure 5a, blue dotted arrow from 190 – 210 MPa). This result is in agreement with previously published XRD studies [31,33]. During the lithium intercalation process, $\text{Li}_x\text{V}_2\text{O}_5$ changes from α - to δ -phase and the phase transition is accompanied by evolutions in the a and b lattice parameters. XRD measurements have shown that the a lattice parameter continuously decreases while the b parameter remains relatively constant during the phase transition. Since the ALD-deposited V_2O_5 has a preferential growth in (001) orientation (Supplementary Figure 3), changes in the c lattice parameter should not affect the stress in the membrane which is free to expand. Therefore, these lattice parameter changes should only increase the tensile stress. However, abnormal stress changes (inflections) are observed, indicated by the red dotted lines in Figure 5a, and these inflections coincide with well-known two-phase transitions (α - to ϵ -phase and ϵ - to δ -phase) during lithiation of V_2O_5 [7,31,32]. These inflections are abnormal since the $\text{Li}_x\text{V}_2\text{O}_5$ experiences increases in compressive stress despite the fact that the lattice parameter a continuously decreases. This observation indicates that the coexistence of two distinct phases of $\text{Li}_x\text{V}_2\text{O}_5$ is responsible for the abnormal stress changes in the electrode, which has not been previously reported in the XRD studies. These inflections are analyzed in more detail to investigate the effect of higher C-rates on the $\text{Li}_x\text{V}_2\text{O}_5$ electrode. Upon lithium extraction, the stress returns to its initial value.

Analysis on the stress change and electrochemical discharge-charge of the fourth cycle (Figure 3, C/2) is shown in Figure 5b. Upon discharge, similar stress change is observed, with the same inflections as seen in the second cycle (Figure 5a). However, the inflections in the

stress change emerge when the amount of lithium in $\text{Li}_x\text{V}_2\text{O}_5$ is greater (when $x = 0.21$ and 0.48) than in the second cycle (when $x = 0.11$ and 0.43). The same phenomenon is also observed in the sixth cycle (Figure 5c), and the inflections are observed when the amount of lithium in $\text{Li}_x\text{V}_2\text{O}_5$ is further increased (when $x = 0.31$ and 0.52). This behavior is believed to be the result of the low diffusion coefficient of lithium ions ($10^{-12} - 10^{-13} \text{ cm}^2 \text{ s}^{-1}$) in V_2O_5 electrodes [34–37], which has also been reported by Soni *et al.* for thin film Si electrodes [38]. We surmise that at higher C-rates (C/2 and C) the increased Li concentration at the $\text{Li}_x\text{V}_2\text{O}_5$ /electrolyte interface results in a steeper [Li] gradient across the $\text{Li}_x\text{V}_2\text{O}_5$ film and inhomogeneous α - to ϵ -phase and ϵ - to δ -phase transitions. This diffusion limited insertion of lithium in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode also explains the decreasing discharge capacity (115.53 to 96.40 mAh g^{-1}) when cycled at higher C-rates (Figure 6, C/4 to 1C). When the $\text{Li}_x\text{V}_2\text{O}_5$ electrode is cycled at the lowest C-rate again (Figure 5d, C/4), lithium concentration gradient across the $\text{Li}_x\text{V}_2\text{O}_5$ film becomes more uniform and as a result, the inflections are observed at the lower lithium concentrations again (when $x = 0.11$ and 0.43).

It has been previously reported that when the battery is charged at a rate faster than lithium can homogenize in an active particle by diffusion, the inhomogeneous distribution of lithium results in high stresses that may cause the particle to fracture [39]. Also, it has been simulated that spherical particles experience larger intercalation-induced stresses when discharged under larger current densities [40]. Therefore, our experiments have been focusing on analyzing C-rate dependent stress change in a thin film electrode in order to verify whether the higher C-rate induces increased stress in the electrode. Figure 6 displays correlation between the peak-to-peak magnitude of the change in stress and the discharge capacity measured at different C-rates. Based on our findings, the observed linear dependence ($R^2 = 0.91$) indicates that the magnitude of the stress change in the thin film $\text{Li}_x\text{V}_2\text{O}_5$

electrode is determined by the total amount of lithium intercalated into the electrode rather than how fast/slowly it is discharged.

Figure 6

Figure 6: Correlation between the peak-to-peak stress change and discharge capacity variation at different cycles. Cycle numbers are specified in black and their corresponding C-rates in blue.

Concomitant with monitoring the stress, a series of *in situ* Raman spectra of the $\text{Li}_x\text{V}_2\text{O}_5$ electrode are measured throughout the experiment. The optical cavity of the sensor (Figure 1b) enables the Raman excitation source to probe the structural changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode. The Raman spectrum of the as-deposited V_2O_5 thin film displays nine peaks from $100 - 1000 \text{ cm}^{-1}$, located at 144, 196, 283, 303, 403, 482, 527, 701, and 995 cm^{-1} (Supplementary Figure 1), in agreement with previously reported Raman spectra [41]. This result verifies that the Raman excitation laser source penetrates the transparent glass wafer and the thin multi-layer membrane to probe the V_2O_5 electrode underneath.

Previously, structural disorder of $\text{Li}_x\text{V}_2\text{O}_5$ due to lithiation has been correlated with decreasing in intensity of the 144 cm^{-1} Raman line (mixture of B_{1g} and B_{3g} modes) [7,42]. Therefore, changes in the intensity (peak height) of the 144 cm^{-1} mode during lithium insertion (discharge) have been characterized (Supplementary Figure 4) to investigate how the level of disorder in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode changes when it is cycled at different C-rates. First, *in situ* Raman spectra are measured during the second cycle discharge process (Figure 7a) and their corresponding peak heights at the 144 cm^{-1} mode are correlated with Li concentration in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode (Figure 7b). The exponential dependence of the 144 cm^{-1} peak intensity on the degree of lithiation in $\text{Li}_x\text{V}_2\text{O}_5$ electrode has not been previously observed. We next use this dependence to investigate the impact of C-rate on the structure of the $\text{Li}_x\text{V}_2\text{O}_5$.

Figure 7

Figure 7: (a) Discharge curve of the second cycle; red circles correspond to the point where the *in situ* Raman spectra are analyzed. Correlation between the natural log of the peak height at the 144 cm^{-1} mode as a function of the lithium insertion in $\text{Li}_x\text{V}_2\text{O}_5$ electrode when

discharged at (b) C/4 (2nd cycle), (c) C/2 (4th cycle), (d) 1C (6th cycle), and (e) C/4 (8th cycle).

Different C-rates are marked in blue.

When the $\text{Li}_x\text{V}_2\text{O}_5$ electrode is discharged at higher C-rates (C/2, and 1C), the 144cm^{-1} peak height also decreases exponentially as a function of the amount of lithium in the electrode (Figure 7c,d). However, the slope of the fitted lines changed from -20.53 to -25.80 (Figure 7b-d) as the C-rates are increased from C/4 to 1C. This indicates that the disorder in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode increases faster when it is discharged at higher C-rates, even when the same amount of lithium is inserted into the electrode. This shows that the level of disorder in the electrode depends on both the lithiation level and the C-rate. When the C-rate is decreased to C/4 again, the slope of the fitted line increased back to -20.16 (Figure 7e) which is almost identical to the value obtained in Figure 7b. We believe the recovery of the slope from -25.80 (1C) to -20.16 (C/4) corresponds to the reversible structural changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode within the voltage range from 3.75 to 2.8 V [42].

After studying the C-rate dependent stress and structural changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode, we extend our focus into thickness of the electrode since it is also an important factor that can affect the battery performance. Previously, Soni *et al.* [38,43] reported thickness effects on the lithiation of amorphous Si thin films to study effects of the electrode thickness on battery performance. According to their work, a characteristic time $\tau \sim h_0^2/D_{\text{Li}}$ is defined [43] that provides a useful interpretation of their results, where h_0 is the initial electrode thickness and D_{Li} is the diffusion coefficient of lithium ions. When τ is small, the Li concentration gradient through the film is nearly uniform. On the other hand, larger values of τ lead to significant concentration gradients. Therefore, we would expect the thinner electrode to result in a more uniform Li concentration gradient compared to the thicker film when both electrodes are cycled at the same C-rate.

In order to further investigate the effects of film thickness on the stress and structural changes, a thinner V_2O_5 electrode (150 nm) has been prepared and characterized at the lowest

C-rate (Figure 8a). The thinner V_2O_5 thin films are deposited using the same ALD reactor (BENEQ TFS 500) by alternating pulses of vanadium triisopropoxide (VTOP) and ozone at 170°C for 1,852 cycles. Figure 8b displays superposition of the discharge-charge curve over the stress change at C/4 during the second discharge-charge process. The stress change shows the same trend which is shown in Figure 5a. However, the abnormal stress changes are observed when the amount of lithium in $Li_xV_2O_5$ is further decreased (when $x = 0.03$ and 0.41) compared to the thicker (200 nm) V_2O_5 electrode at C/4 (Figure 5a, when $x = 0.11$ and 0.43). This result indicates that the thinner electrode will exhibit greater uniformity in the Li concentration gradient throughout the electrode.

In terms of the structure change, the exponential dependence of the 144 cm^{-1} peak intensity on the degree of lithiation in $Li_xV_2O_5$ electrode has been characterized for the second cycle (Figure 8a) and the results are shown in Figure 8c. The slope of the fitted line increased to -18.162 compared to the -20.533 and -20.157 which are the slopes of the fitted lines for the thicker V_2O_5 electrode cycled at C/4 (Figure 7b,e). These results show that the thinner $Li_xV_2O_5$ electrode responds as if the electrode is cycled at lower C-rates, resulting in higher uniformity in Li concentration gradient throughout the electrode.

Figure 8

Figure 8: (a) Galvanostatic discharge-charge cycling curve of the ALD-deposited V_2O_5 electrode (150 nm) cycled at C/4 throughout the experiment; corresponding cycle numbers are indicated in green in the potential window of 2.8 – 3.75 V. (b) Correlation between stress change and potential variation during discharge-charge processes of the second cycle. (c) Correlation between the natural log of the peak height at the 144 cm^{-1} mode as a function of the lithium insertion in $\text{Li}_x\text{V}_2\text{O}_5$ electrode (150 nm) during the second cycle discharge process.

4. Conclusions

By taking full advantage of the MEMS optical sensor integrated with *in situ* Raman spectroscopy, the effects of lithiation level and increasing C-rates on the stress and structural evolutions in ALD-deposited $\text{Li}_x\text{V}_2\text{O}_5$ electrode have been successfully characterized. We have shown that the magnitude of the stress changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode increase linearly with the amount of lithium present in the electrode, indicating increasing C-rate does not directly induce larger stresses in the $\text{Li}_x\text{V}_2\text{O}_5$ thin film electrode. At the same time, inflections in the stress evolution, which are observed when the $\text{Li}_x\text{V}_2\text{O}_5$ electrode is experiencing α - to ε -phase and ε - to δ -phase transitions, required a greater amount of lithium as the electrode is cycled at higher C-rates. We believe this behavior is caused by the slow lithium-ion diffusion in the $\text{Li}_x\text{V}_2\text{O}_5$ lattice, causing steeper lithium concentration gradients through the $\text{Li}_x\text{V}_2\text{O}_5$ film thickness when it is cycled at higher C-rates. Raman spectra collected *in operando* have demonstrated an exponential dependence of the 144 cm^{-1} mode on Li concentration in $\text{Li}_x\text{V}_2\text{O}_5$ and that this peak decreases faster when cycled at higher C-rates. At C/4-rate, the variation in the 144 cm^{-1} peak intensity reverts to its original trend, suggesting that the structural changes in the $\text{Li}_x\text{V}_2\text{O}_5$ electrode observed at higher C-rates are reversible. Additionally, we have demonstrated that the thinner $\text{Li}_x\text{V}_2\text{O}_5$ electrode results in higher uniformity in Li concentration gradient throughout the electrode compared to the thicker $\text{Li}_x\text{V}_2\text{O}_5$ electrode when cycled at the same C-rate.

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Figure Captions

Figure 1: MEMS optical sensor geometry. (a) 3D schematic of MEMS optical sensor showing array of circular membranes. (b) Cross-section diagrams of a single membrane; shallow optical cavity at the top and deep battery cavity below.

Figure 2: Simplified schematic diagrams of the Raman microscope experimental setup showing the location of the coin cell under test relative to the Raman microscope.

Figure 3: Galvanostatic discharge-charge cycling curve of the ALD-deposited V_2O_5 electrode achieved from the *in situ* experimental setup. Different C-rates (C/4, C/2, and 1C in red) are applied for different cycles (blue); corresponding cycle numbers are indicated in green in the potential window of 2.8 – 3.75 V.

Figure 4: (a) Interference patterns of the pristine electrode and (b) electrode under testing. Intensity change at the center of the membrane is highlighted within the dotted circles.

Figure 5: Correlation between stress changes and potential variations during discharge-charge processes of the (a) second (C/4), (b) fourth (C/2), (c) sixth (1C), and (d) eighth (C/4) cycles. Abnormal stress changes observed during discharge processes are marked in red dotted lines. Different C-rates are marked in blue.

Figure 6: Correlation between the peak-to-peak stress change and discharge capacity variation at different cycles. Cycle numbers are specified in black and their corresponding C-rates in blue.

Figure 7: (a) Discharge curve of the second cycle; red circles correspond to the point where the *in situ* Raman spectra are analyzed. Correlation between the natural log of the peak height at the 144 cm^{-1} mode as a function of the lithium insertion in $\text{Li}_x\text{V}_2\text{O}_5$ electrode when discharged at (b) C/4 (2nd cycle), (c) C/2 (4th cycle), (d) 1C (6th cycle), and (e) C/4 (8th cycle). Different C-rates are marked in blue.

Figure 8: (a) Galvanostatic discharge-charge cycling curve of the ALD-deposited V_2O_5 electrode (150 nm) cycled at C/4 throughout the experiment; corresponding cycle numbers are indicated in green in the potential window of 2.8 – 3.75 V. (b) Correlation between stress change and potential variation during discharge-charge processes of the second cycle. (c) Correlation between the natural log of the peak height at the 144 cm^{-1} mode as a function of the lithium insertion in $\text{Li}_x\text{V}_2\text{O}_5$ electrode (150 nm) during the second cycle discharge process.