Reversible lithium intercalation in a lithium-rich layered rocksalt Li_2RuO_3 cathode through a Li_3PO_4 solid electrolyte

Yueming Zheng^a, Masaaki Hirayama^{a*}, Sou Taminato^a, Soyeon Lee^{b, c}, Yoshifumi Oshima^{c, d}, Kunio Takayanagi^{c, e}, Kota Suzuki^a, and Ryoji Kanno^a

^a Department of Electronic Chemistry, Interdisciplinary Graduate School of Science and Engineering, Tokyo Institute of Technology, 4259 Nagatsuta, Midori-ku, Yokohama 226-8502, Japan

^b Quantum Nanoelectronics Research Center, Tokyo Institute of Technology, 2-12-1-H-51 Oh-okayama, Meguro-ku, Tokyo 152-8551, Japan

^c JST-CREST, 7-Gobancho, Chiyoda-ku, Tokyo 102-0075, Japan

^d School of Materials Science I, Japan Advanced Institute of Science and Technology, 1-1 M1-61, Asahidai, Nomi, Ishikawa 923-1292, Japan

^e Department of Physics, Tokyo Institute of Technology, 2-12-1-H-51 Oh-okayama, Meguro-ku, Tokyo 152-8551, Japan

* To whom correspondence should be addressed. Tel.: +81 45 924 5403, Fax: +81 45 924 5403, E-mail address: hirayama@echem.titech.ac.jp

Abstract

$\text{Li}_2\text{RuO}_3(001)$ films with a lithium-rich layered rocksalt structure are epitaxially grown on a $\text{Al}_2\text{O}_3(0001)$ substrate through pulsed laser deposition, followed by stacking of an amorphous Li_3PO_4 solid electrolyte. A half solid-state battery with a $\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ cathode, liquid electrolyte, and lithium anode exhibits two redox peak pairs at 3.4 and 3.6 V, demonstrating lithium intercalation in the Li_2RuO_3 through the Li_3PO_4 solid

electrolyte. All-solid-state batteries are fabricated by Li or In metal anode deposition on the $\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$. The $\text{Li}/\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ cell delivers an initial discharge capacity of 101 mAh g^{-1} , which does not fade significantly over 30 cycles. Furthermore, the Li_2RuO_3 rate capability is comparable to that of a liquid-type battery. Lithium-rich layered materials are available for use as cathodes in all-solid-state batteries.

Keywords: all-solid-state battery; thin-film battery; lithium ruthenium oxide; lithium rich layered oxide; pulsed laser deposition

1. Introduction

All-solid-state lithium batteries are attracting significant attention due to their inherent safety and high volumetric energy density compared to traditional lithium-ion batteries [1]. Various cathode materials, such as LiCoO_2 [2, 3], $\text{Li}(\text{Ni},\text{Co})\text{O}_2$ [4, 5], $\text{Li}_y\text{Mn}_x\text{Ni}_{2-x}\text{O}_4$ [6, 7], and LiFePO_4 [8], were reported as electrochemically active with oxide-type solid electrolytes composed of Li_3PO_4 [9] or $\text{Li}_3\text{PO}_x\text{N}_y$ [6]. Among these materials, LiCoO_2 with a layered rocksalt structure exhibits a highly reversible intercalation reaction in an all-solid-state battery [2]. However, the associated charge/discharge capacity is intrinsically low (125 mAh g^{-1}) due to the low quantity of possible lithium sites in the crystal structure. Recently, lithium-rich layered materials with much higher theoretical capacities of over 200 mAh g^{-1} , including Li_2MO_3 [10-14] and $\text{Li}[\text{Li},\text{M}]\text{O}_2$ [15-19] ($M = 4d$ and $5d$ transition metals), were recognized as promising intercalation cathodes for lithium batteries using organic liquid electrolytes. These lithium-rich layered materials have a similar crystal structure to LiCoO_2 , consisting of oxide ions in cubic close packing and the basal planes of the octahedral interstices filled by $1/3$ Li and $2/3M$ ions. The lithium ions in the MO_6 layers can participate in the

intercalation reaction [20], leading to the high theoretical capacity of these materials. However, until date, there are no reports concerning the application of these lithium-rich layered materials as cathodes in all-solid-state batteries.

Here, we report the lithium intercalation properties of the lithium-rich layered material Li_2RuO_3 combined with the solid electrolyte Li_3PO_4 using an epitaxial model system. Among the various materials with a lithium-rich layered rocksalt structure, Li_2RuO_3 shows highly reversible lithium intercalation with no significant structural alterations in liquid battery systems [10]. Therefore, this material is a suitable cathode for the investigation of the lithium intercalation properties of lithium-rich layered materials in all-solid-state batteries. In the present study, we fabricate a solid–solid interface composed of an epitaxial-film cathode made of $\text{Li}_2\text{RuO}_3(001)$, deposited by pulsed laser deposition (PLD), with an amorphous Li_3PO_4 film as the electrolyte. The structure and lithium intercalation properties of the solid–solid interface are investigated by X-ray diffraction (XRD), hard X-ray photoemission spectroscopy (HAXPES), and electrochemical cyclic voltammetry. All-solid-state $\text{In}/\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ and $\text{Li}/\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ thin film batteries are also fabricated, and their performance is characterized by electrochemical charge/discharge measurements.

2. Experimental

Epitaxial $\text{Li}_2\text{RuO}_3(001)$ thin films were grown on $\text{Al}_2\text{O}_3(0001)$ substrates using a 248 nm KrF excimer laser in conjunction with a PLD apparatus (PLAD131, AOV, Inc.), according to the experimental conditions described previously [20, 21]. The Li_3PO_4 solid electrolyte was deposited on the epitaxial Li_2RuO_3 film by radiofrequency (RF) magnetron sputtering (PSAD-3000, AOV, Inc) using a stoichiometric Li_3PO_4 target (ϕ 50 mm $\times$ 4 mm, Toshima Manufacturing Co., Ltd), under the following conditions: distance

between the target and the substrate = 3 cm, temperature = 298 K, gas pressure = 0.48 Pa, O₂/Ar (4:1) flow, and power = 100 W. The crystal structures and orientations of the films were characterized by out-of-plane and in-plane XRD analyses with Cu K α ₁ radiation (Rigaku, ATX-G). The thicknesses and morphologies of the films were assessed by thin-film stylus profilometry (Bruker, DektakXT). The Li₃PO₄ films ionic conductivity was determined by electrochemical impedance spectroscopy (Solartron, 1260 and 1289) with gold current collectors deposited on both sides of a 2- μ m-thick Li₃PO₄ film.

Preliminary characterization of the solid–solid interface was performed by cyclic voltammetry measurements on a half-cell consisting of a Li₃PO₄/Li₂RuO₃ film cathode, an organic liquid electrolyte, and a Li metal anode. The liquid electrolyte was ethylene carbonate/diethyl carbonate (3:7) containing 1 mol dm⁻³ LiPF₆. The Li₂RuO₃ film structural changes before and after electrochemical reactions were examined by HAXPES. The HAXPES data were collected using a hemispherical electron-energy analyzer (BL46XU at SPring-8, Japan) applying an excitation energy of 7938.9 eV. The HAXPES spectra were normalized by the carbon binding energy (280.7 eV) and the area of the respective elemental signals.

All-solid-state batteries were fabricated by In or Li anode stacking on the Li₃PO₄/Li₂RuO₃ films using the vacuum vapor-deposition method (ALS Technology, E-80Li). The chamber was evacuated to a base pressure below 8×10^{-4} Pa, and the In and Li films were grown using a target-to-substrate distance of 230 mm. The deposition speeds for the In and Li films were approximately 2 and 3 nm s⁻¹, respectively. The synthesis conditions for all layers are summarized in Table. 1. The In/Li₃PO₄/Li₂RuO₃ cell cross section was observed by high-angle annular dark-field (HAADF) imaging with a spherical aberration-corrected electron microscope(R005) [22] at 300 kV. The probe beam had a convergent semi-angle of 30 mrad. The inner-outer semi-angle of the HAADF

detector was 75–200 mrad. The charge–discharge characteristics of the $\text{In}/\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ and the $\text{Li}/\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ all-solid-state cells were examined applying a current density of $1.1 \mu\text{A cm}^{-2}$ and using a probed shield box (Toyo Corporation, Probeh-toy-2) installed in an Ar-filled glove box.

3. Results and discussion

3.1 Film characterization

Figure 1 (a-c) displays the XRD patterns of a 70-nm-thick Li_2RuO_3 film synthesized on $\text{Al}_2\text{O}_3(001)$. The out-of-plane and in-plane XRD patterns exhibit 00 l and 060 diffraction peaks based on the monoclinic lattice of the $C2/c$ space group along $\text{Al}_2\text{O}_3\langle 001 \rangle$ and $\text{Al}_2\text{O}_3\langle 100 \rangle$, respectively. A 6-fold symmetry observed in the ϕ -scan diffraction pattern for the 060 diffraction peak indicates that the $\text{Li}_2\text{RuO}_3(001)$ film is composed of 60°-rotated domains: $\text{Li}_2\text{RuO}_3[010]//\text{Al}_2\text{O}_3[100]$, $\text{Li}_2\text{RuO}_3[010]//\text{Al}_2\text{O}_3[1-10]$, and $\text{Li}_2\text{RuO}_3[010]//\text{Al}_2\text{O}_3[010]$ [20]. Weak peaks observed at 41.0° and 59.4° in the out-of-plane and in-plane XRD patterns are attributed to the 400 and 060 RuO_2 reflections with a rutile structure [23], indicating the presence of a small RuO_2 amount as an impurity. The XRD pattern of a 2- μm -thick Li_3PO_4 film on $\text{Al}_2\text{O}_3(001)$ is shown in Fig. 1(d). No diffraction peaks are observed except for the 00 l substrate peaks, demonstrating the amorphous character of the Li_3PO_4 film. Figure 1(e) summarizes the electrochemical impedance measurement results as an Arrhenius plot. The lithium ion conductivity is $7.0 \times 10^{-7} \text{ S cm}^{-1}$ at room temperature with 51 kJ mol^{-1} activation energy, consistent with previously reported values for Li_3PO_4 films [24]. No significant changes in the lattice parameters are observed for the Li_3PO_4 -deposited Li_2RuO_3 films.

3.2 Preliminary investigation using a liquid-type cell

Figure 2 presents the cyclic voltammetry curves obtained from liquid-type cells with the $\text{Li}_2\text{RuO}_3(001)$ and 300-nm-thick Li_3PO_4 -deposited $\text{Li}_2\text{RuO}_3(001)$ film electrodes. The $\text{Li}_2\text{RuO}_3(001)$ film shows anodic peaks at 3.5 and 3.7 V and cathodic peaks at 3.3 and 3.6 V. These reaction voltages correspond to lithium (de)intercalation from and in the Li_2RuO_3 lattice [11, 12]. The Li_3PO_4 -deposited $\text{Li}_2\text{RuO}_3(001)$ film exhibits similar redox peaks in the CV curve, although cathodic peaks are slightly shifted to higher voltages. This result indicates lithium diffusion from the Li_2RuO_3 film to the organic electrolyte through the Li_3PO_4 solid electrolyte.

Figure 3 presents O 1s and Ru 3d-C 1s HAXPES spectra for the 30-nm-thick $\text{Li}_2\text{RuO}_3(001)$ and 30-nm-thick Li_3PO_4 deposited $\text{Li}_2\text{RuO}_3(001)$ films before and after the electrochemical intercalation reactions. The peak observed at 530.5 eV for each sample is attributed to the lattice oxygen in the Al_2O_3 substrate, indicating that photoelectrons penetrate through the 30-nm-thick Li_2RuO_3 film due to the high X-ray energy of 8 keV. The HAXPES spectra can provide information concerning the structural changes in the overall region of the Li_2RuO_3 film. The O 1s, Ru 3 $d_{3/2}$, and Ru 3 $d_{5/2}$ peaks of the pristine $\text{Li}_2\text{RuO}_3(001)$ are observed at 529.5, 286.2, and 282.2 eV, respectively (Fig. 3a). These positions are consistent with values reported previously [25, 26]. The presence of carbonate material (285.0 eV) is already visible over most of the film surface, to depths greater than 30 nm [27-29]. Thus, in this study, the formation of multiple carbon species during film deposition is suppressed by the surface-covering method. Although the Li_3PO_4 lattice oxygen peak is observed at 532.5 eV in the $\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ film, no significant changes are noted in the Li_2RuO_3 film at O 1s and Ru 3 d peak positions (Fig. 3b). After the electrochemical reaction, the O 1s and Ru 3 d peaks appear at the same binding energies for both the Li_2RuO_3 and $\text{Li}_3\text{PO}_4/\text{Li}_2\text{RuO}_3$ films, and therefore, there are no significant changes in the electronic states of the oxygen and ruthenium atoms.

3.3 Lithium intercalation properties in all-solid-state batteries

Figure 4a presents a schematic representation of an assembled all-solid-state battery. The cell is composed of three layers: a P-shaped Li_2RuO_3 cathode layer, larger square Li_3PO_4 electrolyte layer, and reversed P-shaped In anode layer. The reaction surface is 0.09 cm^2 . The STEM image (Fig. 4b) demonstrates that these 3 layers are both dense and flat. The layer thicknesses measured for the cathode Li_2RuO_3 , electrolyte Li_3PO_4 , and indium layers are 70 nm, $3.1 \text{ }\mu\text{m}$, and $2.0 \text{ }\mu\text{m}$, respectively. The cathode-to-anode molar ratio is 1.7:98.3, calculated using the thickness, deposited area, and theoretical density of the films. The electrochemical properties of the assembled cell are characterized by CV and charge–discharge measurements. Two anodic peaks at 2.9 and 3.2 V, and two cathodic peaks at 2.6 and 2.9 V, are observed in the CV curves (Fig. 4c). At the anodic scan, the formation of an InLi alloy electrochemically proceeded at the anode. Since no solid solution appears at the composition region between In and InLi [30], the lithiation and delithiation ($\text{In} + \text{Li}^+ + \text{e}^- \rightleftharpoons \text{InLi}$) reversibly proceeded at the Li/Li⁺ in the anodic and cathodic scans, as a two-phase reaction at approximately 0.6 V [31]. The potential of the In anode should not change during the charge–discharge processes. Thus, the CV peaks can be attributed to lithium (de)intercalation from or in the Li_2RuO_3 film. The peak separation of the redox peaks is approximately 0.3 V, which is similar to the value determined for Li_2RuO_3 films in a liquid-type cell. These results confirm that lithium intercalation proceeds at the interface between the Li_2RuO_3 cathode and Li_3PO_4 solid electrolyte. The all-solid-state battery exhibits initial charge and discharge capacities of 101.7 and 85.8 mAh g⁻¹, respectively (Fig. 4d), and gradual capacity fading is observed during the initial 10 cycles. The charge–discharge capacities and their cycle stability is smaller than those previously determined for Li_2RuO_3 using liquid-type lithium batteries [10, 20]. The lattice volume of the In anode could significantly decrease

by 25% at the InLi alloy formation [32], which might decrease the physical contact in the anode material and/or between the anode and the solid electrolyte. Thus, a Li metal anode is investigated to improve the charge–discharge characteristics of the all-solid-state battery.

Figure 5a presents the charge–discharge plots for a Li/Li₃PO₄/Li₂RuO₃ all-solid-state battery in the 3.0–4.0 V voltage range. The Li anode is deposited on the Li₃PO₄/Li₂RuO₃ films synthesized under the same conditions used for the In/Li₃PO₄/Li₂RuO₃ film. The all-solid-state battery exhibits clear plateau regions in the charge–discharge curves at approximately 3.4 and 3.6 V, which confirms the lithium intercalation in the Li₂RuO₃ film. The first charge and discharge capacities are 125.8 and 101.4 mAh g⁻¹, respectively, higher than those of the In/Li₃PO₄/Li₂RuO₃ cell. Furthermore, no significant capacity fading is observed over 30 cycles. This is consistent with the hypothesis that the Li₂RuO₃ highly reversible lattice change proceeds at the lithium (de)intercalation [23]. Charge–discharge plots in the 2.0–4.0 V voltage range appear in Fig. 5b. Although the curve is similar to that for the 3.0–4.0 V range, another plateau region appears at approximately 2.1 V, which leads to an increase in the charge–discharge capacities of approximately 160 mAh g⁻¹. The excess lithium intercalation in the Li₂RuO₃ proceeds at 1.5 V vs. Li/Li⁺ [23]. The electrochemical reaction at the 2 V region corresponds to the lithium intercalation into a rutile-type RuO₂ phase detected by XRD (Fig. 1) [33]. The RuO₂ phase incorporation decreases the charge–discharge capacities of the Li/Li₃PO₄/Li₂RuO₃ and In/Li₃PO₄/Li₂RuO₃ cells between 3.0 and 4.0 V (vs. Li/Li⁺), because there is no electrochemical activity of the rutile-type RuO₂ above 3 V [33].

Figure 6 presents variations in the discharge capacity retentions of the Li/Li₃PO₄/Li₂RuO₃ cell depending on the cycle number and the C rate. The cycle

retention of the cell operated at 0.1 C ($1.67 \mu\text{A cm}^{-2}$) was examined during the initial 30 cycles, and then the current densities increased every 5 cycles from 0.1 C to 0.5 C, 1 C, 2C, 5 C, 10 C, 20 C. The discharge capacity significantly decreases over 2 C, to 87 mAh g^{-1} , 80 mAh g^{-1} , and 63 mAh g^{-1} for 5 C, 10 C, and 20 C, respectively. The discharge capacity at 20 C is 63% of the capacity at 0.1 C. The capacity retention at the different C rates is comparable to that of the $\text{Li}_2\text{RuO}_3(001)$ film characterized using a liquid-type cell of $\text{Li}_2\text{RuO}_3(001)/\text{organic electrolyte/Li}$; the latter exhibited a discharge capacity at 20 C that was 58% of that at 0.5 C [23]. The lithium intercalation proceeds at the solid–solid and solid–liquid interfaces within the range of the current density examined in this study. This result indicates that the homogeneous solid–solid interface formed on the film electrode provides a reaction plane suitable for lithium intercalation.

Our results provide the first example of an all-solid-state battery incorporating a lithium-rich layered rocksalt oxide cathode and an oxide solid electrolyte. The cycle performance and the rate capability of the Li_2RuO_3 cathode are comparable to those of the LiCoO_2 cathode in all-solid-state batteries [34]. The lithium-rich layered rocksalt oxide system could expand the application of cathode materials in high capacity all-solid-state batteries.

4. Summary

A solid–solid interface between a $\text{Li}_2\text{RuO}_3(001)$ cathode and an amorphous Li_3PO_4 electrolyte is obtained by PLD and RF sputtering. The epitaxial $\text{Li}_2\text{RuO}_3(001)$ film cathode shows lithium intercalation activity through the solid–solid interface with reversible changes in the oxidation state of the Ru atoms. An all-solid-state $\text{Li}_2\text{RuO}_3/\text{Li}_3\text{PO}_4/\text{In}$ battery with no current collector layer exhibits initial charge and discharge capacities of 101.7 and 85.8 mAh g^{-1} , respectively. The all-solid-state battery

with a Li metal anode exhibits a higher discharge capacity of 101.4 mAh g⁻¹ and no significant capacity fading over 30 cycles. The rate capability of the Li₂RuO₃/Li₃PO₄/Li battery is comparable to that of a liquid-type battery with an organic electrolyte. The lithium-rich layered rocksalt oxides are promising cathode materials for high capacity all-solid-state batteries.

Acknowledgements

This study was partly supported by a Grant-in-Aid from the Advanced Low Carbon Technology Research and Development Program (ALCA) of the Japan Science and Technology Agency (JST). The research was also partly supported by a Grant-in-Aid for Scientific Research (A) and a Grant-in-Aid for Research (C) from the Japan Society for the Promotion of Science. The synchrotron experiments were performed with the approval of the Japan Synchrotron Radiation Research Institute (JASRI) (proposal no. 2011A1734).

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Tables

Table 1 Synthesis conditions of each layer.

Target	Method	Energy	Time /h	Distance /mm	Atmosphere	Pressure /Pa	Temperature /°C
LRO	PLD	0.91mJ/cm ²	0.5,1	70	O ₂	3.3	500
LPO	Sputtering	100 W	9	30	O ₂ /Ar	0.48	RT
In	Vapor deposition	60 A/1.37 V	0.5	230	Vacuum	~10 ⁻³	RT
Li	Vapor deposition	50 A/0.98V	0.5	230	Vacuum	~10 ⁻⁴	RT

Figure Captions

Figure 1 (a) Out-of-plane and (b,c) in-plane XRD patterns of a 70 nm thick Li₂RuO₃ film deposited on Al₂O₃(0001). (d) Out-of-plane XRD pattern and (e) Arrhenius plot from electrochemical impedance spectroscopy data for a 2 μm thick Li₃PO₄ film on Al₂O₃(0001).

Figure 2 Cyclic voltammetry plots for Li₂RuO₃ (001) and 400 nm thick Li₃PO₄ deposited on Li₂RuO₃ (001) film cathodes using a liquid-type cell at a scan rate of 0.3 mV s⁻¹.

Figure 3 HAXPES spectra of (a) a pristine Li₂RuO₃ (001) film, (b) 30 nm thick Li₃PO₄ deposited on a Li₂RuO₃(001) film, (c) the cycled Li₂RuO₃ (001) film, (d) the cycled Li₃PO₄ deposited on a Li₂RuO₃(001) film (d). The left and right panels show O 1s and Ru 3d-C 1s spectra, respectively.

Figure 4 (a) Schematic of the cell design, (b) STEM image of the device cross-section, (c) CV plots at scan rates of 0.2-0.4 mV s⁻¹ and (d) charge/discharge plots collected at 1.1 μ A cm⁻² for an In/Li₃PO₄/Li₂RuO₃ all-solid-state battery.

Figure 5 Charge/discharge plots collected under 0.1 C operation for Li/Li₃PO₄/Li₂RuO₃ all-solid-state batteries in voltage ranges of (a) 3.0 V – 4.0 V and (b) 2.0 V – 4.0 V.

Figure 6 Variations in discharge capacity retention of a Li/Li₃PO₄/Li₂RuO₃ all-solid-state battery with cycle number and charge/discharge C rates. The voltage range was 3.0 V – 4.0 V.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6