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6 **Genetics of water use physiology in locally adapted *Arabidopsis thaliana***
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Abstract

Identifying the genetic basis of adaptation to climate has long been a goal in evolutionary biology and has applications in agriculture. Adaptation to drought represents one important aspect of local adaptation, and drought is the major factor limiting agricultural yield. We examined local adaptation between Sweden and Italy *Arabidopsis thaliana* ecotypes, which show contrasting levels of water availability in their local environments. To identify quantitative trait loci (QTL) controlling water use physiology traits and adaptive trait QTL (genomic regions where trait QTL and fitness QTL colocalize), we performed QTL mapping on 374 F₉ recombinant inbred lines in well-watered and terminal drought conditions. We found 72 QTL (32 in well-watered, 31 in drought, 9 for plasticity) across five water use physiology traits: $\delta^{13}\text{C}$, rosette area, dry rosette weight, leaf water content and % leaf nitrogen. Some of these genomic regions colocalize with fitness QTL and with each other in defined hotspots. In addition, we found evidence of both constitutive and inducible water use physiology QTL. Finally, we identified highly divergent candidate genes, *in silico*. Our results suggest that many genes with minor effects may influence adaptation through water use physiology and that pleiotropic water use physiology QTL may have fitness consequences.

Keywords: *water-stress, QTL, plasticity, constitutive, inducible, adaptation, fitness*

1. Introduction

Drought stress is the major limiting abiotic factor to yield in agricultural systems and a major selective force in nature [1, 2]. Because of this, there is a need to understand the physiological mechanisms and causal genetic polymorphisms that cause drought adaptation (reviewed by Kooyers 2015, [3]).

Plants have evolved diverse physiological mechanisms to survive and reproduce despite soil or atmospheric water deficits. Constitutive water use physiology (e.g. extreme slow growth), or rapid cycling may impart adaption to drought in nature. However, these strategies often antagonize yield when moisture is plentiful [4]. As such, inducible physiological responses to soil moisture, which permit plants to maintain homeostasis despite drought stress, [5, 6] may be a more promising target for plant breeding. Such traits, including increased photosynthetic water-use-efficiency (WUE) and root elongation, but reduced leaf growth constitutes a “dehydration avoidance” drought adaptive strategy [7]. By optimizing constitutive dehydration avoidant phenotypes and increasing physiological plasticity of these traits, breeders seek to

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4 increase survival and yield during drought without incurring a yield cost when moisture
5 is more plentiful (e.g. [8]).
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8 Identifying the genetic basis of dehydration avoidance among crop cultivars has
9 been limited, until recently, by a lack of genomic information and tools for functional
10 genetic manipulation. In contrast, there has been considerable work on the molecular
11 basis of dehydration avoidance in *Arabidopsis thaliana* [9-16]. However these efforts
12 have largely focused on a single accession (Col-0), which lacks the ecological and
13 evolutionary context to study drought adaptation.
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17 Natural systems offer an opportunity to understand how natural selection has
18 solved the problem of adapting to drought stress. In particular, genetic mapping of
19 physiological traits among populations that are known to be locally adapted has yielded
20 many candidate loci for stress adaptation [17-19]. A particularly fertile example comes
21 from a cross between locally adapted *Arabidopsis thaliana* (hereforth, *A. thaliana*)
22 populations from Sweden and Italy [20], where multi-year experiments in the native
23 habitats have identified Quantitative Trait Loci (QTL) underlying lifetime fitness
24 (survival and reproduction). Controlled growth chamber experiments using the same
25 mapping population have further identified loci causing variation in freezing tolerance
26 [21] and flowering time [22], several of which colocalize with QTL involved in local
27 adaptation. Candidate genes underlying these “adaptive trait QTL” (i.e., underlying both
28 fitness and physiological variation) are especially promising targets for efforts to breed
29 and engineer stress tolerant cultivars.
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33 Here we exploit the Sweden-Italy mapping population of *A. thaliana* to examine
34 the genetic basis of drought-inducible and constitutive dehydration avoidance
35 phenotypes, and to identify which of these QTL colocalize with fitness QTL. We
36 measured water use physiology phenotypes (*broad set of robust indicators of plant*
37 *response to soil moisture availability*) like carbon isotope ratio, rosette leaf area, dry
38 mass of the rosette, leaf water content and percent leaf nitrogen. We include leaf nitrogen
39 as it is part of the larger question on the evolution of leaf traits and physiology [23] and it
40 has been shown that drought treatment leads to increase in leaf nitrogen in a diverse
41 collection of *A. thaliana* [24]. In a multi-taxa meta analysis, leaf nitrogen showed the
42 strongest trait loading among the multivariate leaf traits (e.g., leaf mass, leaf lifespan,
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photosynthetic capacity, dark respiration rate etc.). Understanding leaf nitrogen's relationship with other physiological traits allows us to elucidate the leaf economics spectrum (sensu Wright 2004, [25]), which will inform breeding for the next generation of drought-adapted crops with efficient leaf investment strategies. We employ a QTL mapping approach in this bi-parental cross and compare the genomes of the two parents in order to identify candidate genes that may underlie differences in water use physiology. Theory predicts that the magnitude of local adaptation is directly proportional to the degree of environmental divergence between two populations [26]. Because the habitats of the Sweden and Italy populations significantly vary in water availability across the major life stages in *A. thaliana* development, we hypothesize that traits related to water use physiology are important determinants of fitness variation in the field. Specifically, we address three research questions: 1) What is the genetic architecture of water use physiology traits in locally adapted *A. thaliana*? 2) How plastic are these water use physiology traits? and 3) Do water use physiology QTL colocalize with fitness QTL measured in the field?

2. Material and methods

2.1. Environmental parameters in the field

In order to further quantify the environmental differences between the field sites in Sweden and Italy we set up environmental sensors at the local sites and measured two soil characteristics relevant to drought stress, water potential and volumetric water content. These measurements were recorded once every hour, 24 hours a day using 5TM Soil Moisture and Temperature Sensor, Decagon Devices[®] (installed 1.5 cm below ground). Each environmental parameter was recorded using Em50[®] Data Loggers (Decagon Devices Inc.) from 2010-2012.

To determine drought-related environmental parameters at a larger time scale, we obtained publicly available climatic parameters describing the Italy and Sweden field locations. First, we accessed historic climate data for monthly maximum and minimum temperature and average precipitation from WorldClim at 30-second arc resolution [27]. Additionally, we obtained data detected by NOAA's satellite based Advanced Very High Resolution Radiometer. These were measurements of the smoothed Normalized

Difference Vegetation Index (NDVI) and the NDVI derived Vegetative Health Index (VHI), a proxy for detecting drought conditions. VHI values below 40 were considered to indicate drought conditions [28]. These NDVI and VHI data have been collected weekly since 1981 at 16km² resolution and were downloaded from (ftp://ftp.star.nesdis.noaa.gov/pub/corp/scsb/wguo/data/VHP_16km/VH). Summary statistics for weekly NDVI values were calculated to visualize the ecosystem wide growing season throughout the year. To assess the historic occurrence of drought by week, we binned drought conditions determined from VHI data into *mild* (30<VHI<40), *moderate* (20<VHI<30), *severe* (10<VHI<20), and *extreme* (0<VHI<10), and calculated the frequency of each weekly since 1981 at home locations of the Italy and Sweden ecotypes. Lastly, we calculated weekly photoperiod in each year based on latitude using the ‘geosphere’ package in R [27].

2.2. Mapping population and RIL genotyping

We used the mapping population described in Ågren *et al.* (2013, [20]), which was developed from locally adapted parental populations of *A. thaliana*. The two parents were collected near the northern (Rodasen, Sweden; 62°48’N 18°12’E) and southern limits (Castelnuovo de Porto, Italy: 42°07’N 12°29’E) of the native geographic range of the species. Recombinant inbred lines (RILs) were derived from nine generations of selfing and single seed descent of the F₁ hybrid of the parental lines. Ågren and colleagues (2013, [20]) reported QTL for fitness (390 RILs) in the field over three seasons, which we used to compare to our results on plant water use physiology. Our experiment used 374 F₉ RILs from this cross between Sweden (SW, sire) and Italy (IT, dam) genotypes. We excluded 16 RILs that Ågren and colleagues (2013) used in the field because of low seed availability or poor germination in the greenhouse.

To conduct QTL mapping, we used the published genotypes of the *A. thaliana* Sweden x Italy RILs. The complete description of the parental re-sequencing, RIL genotyping platform and construction of linkage map can be found in Ågren *et al.* (2013, [20]). Briefly, SNPs were identified from re-sequencing of the parents (Illumina GAII platform; 100-bp paired-end reads; Bowtie alignment using *A. thaliana* reference

sequence Columbia, TAIR v9). RILs were genotyped using 384 single nucleotide polymorphisms (SNPs) via the Golden Gate Assay (Illumina®).

2.3. Pre-drydown growth conditions

Plants were grown in 2.5" (140 mL) Kord® pots lined with polyester cloth and filled with fritted clay (Profile Porous Ceramic Greens Grade®) due to its more predictable and repeatable moisture release properties than regular potting soil [29-31]. We planted five seeds per pot (32 pots per flat) and arranged the plants in a split-plot design using three growth chambers (Convion ATC60, Controlled Environments, Winnipeg, MB) as blocks, with two replicates per block. Seeds were stratified at 4°C for five days in growth chambers. After stratification, the growth chamber conditions were set to 13-hour nights at 10°C, 11-hour days at 18°C, with a light intensity of approximately 330 $\mu\text{mol photons m}^{-2} \text{ sec}^{-1}$ Photosynthetic Photon Flux Density. Sweden and Italy parents were each replicated 30 times while the 379 RILs were replicated six times for a total of 2,304 pots. All plants germinated within two to three days following stratification. Each pot was thinned to a single seedling fourteen days after stratification. We fertilized the growing seedlings once a week using 0.5x Hoagland's solution.

2.4. Progressive dry down and phenotyping

After 42 days post-stratification, we began a progressive drydown in half of the replicates of each genotype (dry treatment), while we continued watering the other half of the replicates to saturation (wet treatment). We assigned the dry treatment to 12 out of the 24 flats in each chamber. Our goal for the dry treatment was to simulate a slow-onset drought, which we accomplished by adjusting the gravimetric water content of individual pots on a daily basis in a progressive manner (from 95%, 90%, 80%, 75%, 70%, 65%, 60%, 55%, 50%, to 40% saturation or target water content). This was performed by weighing each pot and comparing the weight to saturated and dry weights for the given pot, determined before planting. The amount of water added to each pot was then adjusted to reach the target water content. This plant-specific water adjustment is an important feature of our experimental design because we wanted to account for the

significant, yet usually unaccounted for, variation in the rate of water consumption among plants (Figure S1).

At the end of the treatment period we harvested all plants, measuring a set of drought-related traits in both wet and dry treatments. We weighed above ground biomass to determine leaf water content ($LWC = (\text{wet biomass} - \text{dry biomass}) \div \text{wet biomass}$). We also scanned the rosette to determine the projected rosette area (RA). RA was calculated using the scanned images in Image J [32] calibrated to the nearest square millimeter. We then lyophilized the harvested tissue and measured dry rosette weight (DRW). Finally, we conducted stable isotope analyses using an elemental analyzer interfaced to a continuous flow isotope ratio mass spectrometer at the UC-Davis Stable Isotope Facility. Lyophilized leaf tissue samples (two milligrams per sample) from each genotype were analyzed to determine the carbon isotope ratio ($\delta^{13}C$, expressed relative to the Vienna PeeDee Belemnite), and the percent mass of nitrogen in the leaves.

2.5. Quantitative genetics and QTL analysis

We performed all quantitative genetic analyses of phenotypes using JMP[®] v10 (SAS Corporation). Using data for just the Recombinant Inbred Lines, for each RIL x treatment combination, we estimated breeding values using the least square means of each water use physiology trait ($\delta^{13}C$, RA, DRW, LWC, and % N) from mixed-model ANOVA using restricted maximum likelihood method (REML) with unbounded variance components. For each trait, we fit a model with *treatment* as fixed effect and genotype, *genotype by treatment* and *flats nested within chamber* (specific growth chamber where plants were grown) as random effects. To estimate environment-specific broad sense heritability of each trait, we used the same model excluding *treatment* since we evaluated the model within wet and dry treatment. To estimate variance explained by each factor in the model, we treated *genotype*, *environment* (wet or dry treatment), and *genotype by environment* as random effects. After checking for significant outliers and normality of the variables, we performed Pearson correlation of the breeding values (least square means) between all pairs of traits to estimate the genetic correlation.

We performed QTL mapping using R/qtl [33]. We used Haley-Knott regression to determine the appropriate number of QTL and their interactions for each water use

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4 physiology trait. For each trait ($\delta^{13}\text{C}$, RA, DRW, LWC, and % N), we mapped QTL for
5 wet treatment, dry treatment. In addition we mapped plasticity using the difference in the
6 breeding value of each RIL between wet-dry treatments. This *character state approach*
7 of assessing plasticity has been described by Via and colleagues (1995, [34]). To
8 calculate the significance threshold for additive and epistatic QTL, we performed 10,000
9 permutations to control for a genome-wide error rate of 0.05 [35]. We determined the
10 best model that incorporates both additive and epistatic interaction through stepwise
11 selection [36]. Genotypic effect sizes (in units of the traits) for each QTL were calculated
12 from regression coefficients from the best multiple regression model using the *fitqtl*
13 procedure [33]. For each QTL, we also calculated 95% credible intervals around each
14 point estimate.

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16 From the QTL analyses in the two treatments we identified two categories of
17 QTL: *inducible* and *constitutive* (*sensu* Monforte *et al.* 1997 [37]). Two overlapping
18 QTLs (determined by point estimates within each other's 95% credible intervals) that
19 were detected in the two treatments were *constitutive*, while QTLs that were only
20 detected in wet or dry treatment were *inducible*.

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22 To identify overlap of water use physiology QTL with fitness QTL, we compared
23 the position of QTL identified in this study and the intervals that contain fitness QTL (as
24 described in Ågren *et al.* 2013 [20]). These intervals represent the range of point
25 estimates of fitness QTL observed in more than one site and year combination (Sweden
26 or Italy; 2009-2011). We determined colocalization between trait and fitness QTL if the
27 point estimate of the water use physiology QTL was within the fitness QTL interval (for
28 a full description, see Ågren *et al.* 2013 [20]).

29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 **2.6. Identification of candidate genes**

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50 To identify the potential candidate genes under adaptive QTL, we identified all
51 the genes in the QTL intervals using the *A. thaliana* annotated genome TAIR 9
52 (<https://www.arabidopsis.org/>). We calculated the number of SNPs and the proportion of
53 nonsynonymous changes between the Italy and Sweden parental lines using the Perl
54 script 'Arabidopsis_compare_genomes' by David Lowry (github citation:
55 https://github.com/davidbryantlowry/Arabidopsis_compare_genomes). We then selected
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genes with at least one predicted amino acid difference between the Italian and Swedish parents, based on 56,618 nonsynonymous SNPs identified between the parental lines. In order to interpret the biological meaning of the list of divergent genes within the colocalizing fitness-water use physiology QTL, we used Gene Set Enrichment Analysis in Agri-GO (<http://bioinfo.cau.edu.cn/agriGO/>). We used the *A. thaliana* Col-0 genome (v9) as the background reference. We determined significant enrichment using Fisher's Exact test and corrected for multiple testing using Benjamini and Hochberg method (1995, [38]) at False Discovery Rate of $\alpha = 0.05$.

3. Results

3.1. Comparison of drought-related environmental parameters between Italy and Sweden sites

Across three years and in each period of *A. thaliana* growth, on average, there was significantly more water (volumetric water content) in the soil in Sweden than in Italy, although this was most dramatic in the inter-season period when the plants were not growing (contrasts in ANOVA, $df = 1$, $P < 0.05$, Table 1; see Fig. 1 for details of growing seasons). Excluding inter-season, the germination period showed the largest mean difference ($0.02 \text{ m}^3/\text{m}^3$) in soil water content between the two sites. Although water content during flowering was also on average higher in Sweden, the water potential, a measure of the availability of water to the plants, was actually more negative. Furthermore, in all three years, there was a larger range of water potential during the growing season in Sweden (Table 2), indicating larger drying events.

Longer-term climate data supported the observation of the Swedish site as drier during the growing season. Precipitation was lower during the growing season at the Sweden site (Fig. 1E). Combined with longer photoperiods, this leads to a greater frequency of mild and moderate drought at the Sweden site, as corroborated by the vegetative health index (Fig. 1B).

3.2. Water use physiology traits of parents and RILs

While the water use efficiency ($\delta^{13}\text{C}$) of the two parents did not differ in the wet treatment, we found that the Swedish genotype had significantly higher water use

efficiency (less negative $\delta^{13}\text{C}$) than the Italian genotype in the dry treatment (Table 3). Swedish parents had significantly larger rosette area in the wet but not in the dry treatment. Dry rosette weight was significantly higher in the Swedish parent in both wet and dry treatment. We found no significant difference in leaf water content between the two parents in the wet treatment. Similarly, we found no significant difference in percent leaf nitrogen in the wet treatment or the dry treatment. Interestingly, we found that in all traits except percent leaf nitrogen, only the Swedish parent showed a significant change in the mean trait value between wet and dry treatment (*Student's t* LS means difference, $\alpha = 0.05$).

All water use physiology traits showed transgressive segregation in both wet and dry treatment. Three water use physiology traits ($\delta^{13}\text{C}$, rosette area, and dry rosette weight) exhibited larger range of mean genotypic values in the wet than in the dry treatment while leaf water content showed an opposite trend. The range of phenotypic values was approximately the same for leaf nitrogen between wet and dry treatments (Figure S2).

3.3. Genotype by environment interaction (GxE) and heritability

We found highly significant ($P < 0.001$) main effects of genotype and environment for all traits. Table 4 shows the significant GxE interactions ($P < 0.05$) for rosette area and dry rosette weight. Broad sense heritability (H^2) was generally higher in the wet treatment than in the dry treatment (four out of five traits), except for $\delta^{13}\text{C}$ where we found an opposite trend. Leaf water content in the dry treatment was the least heritable trait ($H^2 = 21.07\%$), while $\delta^{13}\text{C}$ showed the highest broad sense heritability at 48.5 %.

3.4. Genetic correlations among water use physiology traits

We found significant correlations (r_G) among the water use physiology traits between wet and dry treatments (Figure 2). Water use efficiency, estimated by $\delta^{13}\text{C}$ had a significant positive correlation with leaf water content ($r_G = 0.24^{\delta^{13}\text{C-wet}}$ and $0.26^{\delta^{13}\text{C-dry}}$) and % leaf nitrogen (%N, $r_G = 0.44^{\delta^{13}\text{C-wet}}$ and $0.39^{\delta^{13}\text{C-dry}}$). More water-use efficient genotypes (less negative $\delta^{13}\text{C}$) had higher water content and retained more nitrogen. In

contrast, water use efficiency was negatively correlated with rosette area ($r_G = -0.43^{\delta^{13}C\text{-wet}}$ and $-0.39^{\delta^{13}C\text{-dry}}$) and dry rosette weight ($r_G = -0.40^{\delta^{13}C\text{-wet}}$ and $-0.39^{\delta^{13}C\text{-dry}}$). Genotypes that were more water use efficient had smaller rosettes and lower dry rosette weight. Rosette area was significantly positively correlated with dry rosette weight ($r_G = 0.73^{\text{RA-wet}}$ and $0.69^{\text{RA-dry}}$) but was negatively correlated with both leaf water content ($r_G = -0.52^{\text{RA-wet}}$ and $-0.45^{\text{RA-dry}}$) and % leaf nitrogen ($r_G = -0.70^{\text{RA-wet}}$ and $-0.55^{\text{RA-dry}}$). We found that genotypes that had higher water content also had higher leaf % nitrogen ($r_G = 0.73^{\text{RA-wet}}$ and $0.69^{\text{RA-dry}}$). All traits showed the same trend in genetic correlation when analyzed within wet or dry treatment (Figure S3).

3.5. QTL for water use physiology traits

We detected a total of 72 quantitative trait loci (QTL) across five water use physiology traits (Table 5). These included 32 QTL found in the wet and 31 QTL in the dry treatment. Additionally, we found nine plasticity QTL, which were mapped from the phenotypic difference between environments. Interestingly, many of these trait QTL were found in both treatments (i.e., constitutive; Table 5, Figure 3).

We found six genomic regions where water use physiology and fitness QTL colocalized with fitness (Figure 3). We also observed two hotspot regions where multiple water use physiology QTL colocalized with fitness QTL. These hotspots of colocalization occurred on Chromosome (henceforth, Chr) II and III.

In this section, we present the QTL analysis results for each trait. The QTL nomenclature is as follows: each trait ($\delta^{13}C$, *RA*, *DRW*, *LWC*, and %*N*) is preceded by a letter *w* (wet treatment), *d* (dry treatment), or *p* (plasticity); followed by two numbers, chromosome number and centimorgan position. For example, $w\delta^{13}C\ 4:31$, is a $\delta^{13}C$ QTL identified in the wet treatment. This QTL is located in chromosome 4 at position 31cM.

3.5.1. $\delta^{13}C$. There were a total of eight QTL in the dry treatment and nine QTL in the wet treatment. Combined, these QTL explained a total of 45.32 % and 56.77 % of $\delta^{13}C$ variation in dry and wet treatments respectively. In both treatments, there were five QTL where the Swedish genotype increased $\delta^{13}C$ (less negative thus more water use efficient). There were seven constitutive QTL while a total of three QTL were inducible ($w\delta^{13}C$

4:31, $d\delta^{13}C$ 4:11, and $d\delta^{13}C$ 4:17). We detected two plasticity QTL ($p\delta^{13}C$ 3:14 and $p\delta^{13}C$ 4:39), which explained a total 6.75 % of the phenotypic variation for the difference in $\delta^{13}C$ between wet and dry treatments. One of this plasticity QTL, pRA 3:16, colocalized with a fitness QTL on Chr III. In addition, two constitutive but no inducible QTL colocalized with fitness QTL.

3.5.2. Rosette area (RA). We detected nine and seven QTL in the wet and dry treatment, respectively. In total these QTL explained a total of 39.43 % (wet) and 33.7 % (dry) of the variation in rosette area. In the majority of the cases (9 out of 16 QTL), the Swedish genotype decreased rosette area. We found six constitutive QTL for rosette area. In addition, there were three inducible QTL (wRA 2:22, wRA 3:57, and dRA 4:14). There were three plasticity QTL that explained a total of 16.4 % of the variation in the plasticity on rosette area. Two of this plasticity QTL, pRA 2:59 and pRA 3:16, colocalized with fitness QTL. In addition, we found other QTL that colocalized with fitness: three constitutive QTL, and one drought-inducible QTL.

3.5.3. Dry rosette weight (DRW). Six QTL explained a total of 38.93 % of the phenotypic variation in the wet treatment while four QTL explained a total of 25.82 % of the phenotypic variation in the dry treatment. In 4/10 of the QTL, the Sweden genotype reduced dry rosette weight. We detected four constitutive QTL and one inducible QTL. For plasticity in DRW, we found three QTL that explained 16.68 % of the variation in the difference between wet and dry treatment. Of these three plasticity QTL, only one ($pDRW$ 2:55) colocalize with fitness. Furthermore, two constitutive and one wet-inducible QTL colocalized with fitness.

3.5.4. Leaf water content (LWC). We found four QTL in the wet treatment and five QTL in the dry treatment, which in total explained 23.23% (wet) and 23.18 % (dry) of the phenotypic variation in leaf water content. In 5/9 QTL across the two treatments, the effect of a QTL was such that the substitution of the Swedish allele decreased leaf water content. We did not find any plasticity QTL for this trait. We found three constitutive

QTL, and three inducible QTL for leaf water content. Only one of the constitutive QTL and no inducible QTL colocalized with fitness.

3.5.5. Percent leaf nitrogen (%N). A total of 11 QTL, five from wet and six from dry treatment, explained a total of 30.39 % (wet) and 32.91 % (dry) of the phenotypic variation in percent leaf nitrogen. In 6/11 QTL, the Swedish genotype decreased the amount of nitrogen stored in the leaf. A total of five constitutive QTL and only one drought-inducible QTL were detected for this trait. We found a single %N plasticity QTL, *p%N 4:25*, which did not colocalize with fitness QTL. Three constitutive but no inducible QTL colocalized with fitness QTL.

3.6. Candidate genes

Water-use physiology and fitness QTL colocalized on all chromosomes, except on Chr IV. These putatively adaptive regions contained a total of 4,758 genes, 54 % of which showed at least one amino acid difference (divergent) between the Italian and Swedish parent. Gene Set Enrichment Analysis (Fisher's exact test, FDR adjusted *p*-value < 0.05) revealed that this list of potentially adaptive genes was enriched with factors involved in multiple biological processes (e.g., lipid localization, post embryonic development, cellular carbohydrate metabolic process etc., Table S1). In addition, we found 101 genes (Table S2) from the list of putatively adaptive genes that were annotated under the following GO terms: GO:0009414 (response to water deprivation) and GO:0006970 (response to osmotic stress). Of these, we found 31 candidate genes were within the hotspot QTL region on Chr II and 21 candidate genes on Chr III (Table S2).

4. Discussion

The genetic basis of adaptation is typically complex, involving many phenotypic traits and their underlying genes. While progress has been made toward understanding the genetic polymorphisms that underlie putatively adaptive traits, seldom are studies able to directly determine if loci underlying physiological traits might be the same as loci underlying local adaptation (i.e. fitness) to the ancestral environments. Here, we used locally adapted *A. thaliana* lines to identify QTL for traits that influence water use

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4 physiology, including plasticity in such traits, compare these QTL to the location of
5 fitness QTL, and identify candidate genes underlying adaptive water use physiological
6 QTL. We found that there were few genomic loci that control water-use physiology.
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8 Most of the water use physiology QTL were constitutive in nature (i.e., they were
9 detected in both wet and dry treatments, blue symbols in Figure 3), and a few were only
10 detected in wet or dry treatments (inducible QTL as red symbols in Figure 3). We also
11 found that constitutive QTL (44%, 11/25) were more commonly found to be adaptive
12 relative to inducible QTL (20%, 3/15).
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21 **4.1. Water availability in Sweden and Italy**

22 During the growing season, precipitation was lower, and the frequency of drought
23 was historically higher at the Swedish site (Fig. 1B, E). Further, we found that water was
24 less available (more negative water potential) and more variable, in Sweden compared to
25 Italy (Table 2). Consistent with this, for the measured drought avoidance traits, the
26 Swedish genotype but not the Italian genotype, showed significant response to water
27 treatment (Table 3).
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33 The difference between Italy and Sweden ecotypes in WUE under dry conditions
34 may reflect divergence in drought adaptive strategies resulting from different historic
35 selective regimes. High WUE is a drought response strategy associated with dehydration
36 avoidance. In contrast, low WUE efficiency is correlated the fast growth necessary for
37 drought escape [39-42]. Rapid development to escape drought is a fundamental strategy
38 that determines fitness in environments where water varies at spatial and temporal scales
39 [23, 43]. Indeed, the normal flowering period in Italy occurs just prior to the typical onset
40 of drought conditions (Figure 1B) and may come at the cost of lost growth potential
41 during weeks with peak photoperiod (Figure 1C). This, and the significantly lower WUE
42 in the Italian ecotype under dry conditions may indicate the evolution of an adaptive
43 drought escape strategy.
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4.2. Constitutive and inducible water use physiology QTL

Our results show that most of the water use physiology QTL were constitutive in nature. Of the few inducible QTL, about half them were expressed only under dry conditions while the other half were detected only in the well-watered treatment. A recent meta-analyses of multi-population experiments on water use physiology in maize showed a similar trend in the distribution of QTL between drought inducible and well-watered inducible QTL [44]. In addition, the same meta-analysis identified genes underlying these constitutive and inducible QTL. Specifically they showed that constitutive QTL in maize were commonly involved in synthesis of osmo-regulators (e.g. Proline). In contrast, genes under drought-response QTL (protein kinases and transcription factors) play a more regulatory role in abiotic response pathways like the abscissic acid response pathway.

4.3. Plasticity in water use physiology traits

Plants have evolved morphological and physiological adaptations that allow them to maximize growth and reproduction in a heterogeneous environment [1, 24, 45]. To understand the genetic architecture of these adaptations, we can find associations of genotype and phenotype within an environment. When we have at least two environments where replicated genotypes are grown, we can assess the degree at which the norms of reaction differ among the genotypes. In this study, using a *character state approach* [34], we mapped nine genomic locations associated with plasticity in water use physiology traits.

The intensity of environmental stress varies considerably over the growing season. Therefore, it is not surprising that plasticity of water use physiology can be under selection in nature [46]. In this study, 4/9 plasticity QTL colocalize with fitness suggesting that plastic response in water use efficiency (via $\delta^{13}\text{C}$) and degree of growth under water limiting conditions (via RA and DRW) maybe important in determining fitness in the field. Given our environmental measurements between Sweden and Italy (see discussion above), it was not surprising that in all of the plasticity QTL that colocalized with fitness, the Sweden allele was associated with greater difference between treatments.

Breeding directly for plasticity in water use physiology is a promising but underutilized avenue for crop improvement. This is likely due to the complication and effort of getting accurate estimate of breeding values from multiple discrete environments. While challenging, aggregating and evaluating yield data from growing similar genotypes within multi-year and multi-field site framework in a drought/no drought condition has been successfully implemented [47]. A major conclusion from that study is that the Optimum AQUAmax hybrids (Dupont Pioneer) showed greater yield stability (vs. non-AQUAmax hybrids) on drought conditions with no yield penalty under permissive conditions. The results from this industry-scale evaluation suggest a great promise in directly incorporating plasticity and GxE in future breeding efforts for agricultural crops.

4.4. Adaptive QTL, hotspots and candidate genes

Colocalization of multiple QTL (e.g. “hotspots”) may be caused by pleiotropic gene action. Of particular interest are cases where physiological QTL colocalize with fitness QTL in the field – “adaptive trait QTL”. Such QTL hotspots may be due to the adaptive evolution of genes that pleiotropically affect fitness through physiology. These hotspots may contain important regulatory genes that lack annotation as drought responsive [48].

One of our objectives was to find regions of the genome where fitness and water use physiology QTL colocalized. Our experimental set up is conducive to this kind of analysis because we used the same mapping population as in the field, where fitness has been measured directly [20]. This is critical to identifying adaptive trait QTL because we can assess if QTL for these phenotypes might underlie QTL for fitness in the environments in which these genotypes evolved. Previous work in *A. thaliana* has employed similar approach in linking fitness variation with trait variation that is usually difficult to measure in the field. Oakley and colleagues (2014, [21]) used this approach to investigate the genetic basis of freezing tolerance in growth chamber experiments, and determined the extent to which freezing tolerance QTL contribute to local adaptation and fitness trade-offs in the field. A similar approach was also used to investigate flowering time in the same study system [22]. It is interesting to note that neither of these studies

found large effect trait QTL in the regions of fitness QTL on Chr II and III that represent the “hotspots” of water use physiology we report here. Aside from flowering related traits, fitness can be determined by size related traits. A rich body of literature has documented this relationship between size and fecundity in many species [49]. In our study, seven out of the 19 QTLs for size (rosette area) colocalize with fitness. It is however noteworthy, that most of these size-related QTLs do not colocalize with other water use physiology traits like $\delta^{13}\text{C}$. When they do colocalize, it is under QTL hotspots where multiple traits map to the same location.

The rich genomic resource available in *A. thaliana* allowed us to identify candidate genes underlying putatively adaptive water use physiology and fitness QTL. We identified polymorphic genes involved in associated pathways to narrow a list of candidate genes. From a large list of 4,758 genes underlying water use physiology and fitness QTL, we identified a total of 52 candidate genes under the two water use physiology QTL hotspot regions that colocalize with fitness (Supplementary Table S2).

Within the adaptive QTL region on Chr II, we identified 31 candidate genes. One notable candidate was At2g38750, which a single amino acid substitution (1/319) in the coding DNA sequence is not predicted to result in a premature stop codon. Commonly known as annexin ANNAT4, this candidate gene is a member of a family of calcium and phospholipid binding proteins [50]. Its expression is altered in response to dehydration, as well as salt and temperature stress [51]. Recently, Huh and colleagues (2010, [52]) show that overexpression of this gene results in drought sensitivity only during long day conditions. During germination ANNAT4 mutants were hypersensitive to osmotic stress and the hormone ABA [53], and mutant plants have reduced water loss and increased drought tolerance [52]. For the adaptive region on Chr III, we identified 21 candidate genes. A notable candidate for this region was At3g19290 (divergence = 0.002). At this gene, the Italian and Swedish parents differ by a single amino acid substitution (1/431). This gene is ABF4/AREB2, a bzip transcription factor that mediates ABA signaling, and affecting growth, transpiration and drought tolerance [54-56]. In a recent study, Garcia and colleagues (2014, [57]) showed that overexpression of ABF4 in potato (*Solanum tuberosum*) enhances tuberization without a growth penalty. Thus this candidate gene may have value for breeding enhanced agricultural crops.

The research presented here combines the detailed functional knowledge from *A. thaliana* with an evolutionary approach to start to dissect the genetic basis of drought adaptation. We used a mapping population developed from locally adapted Swedish and Italian populations and measured trait variances and co-variances in water use physiology traits, and how these respond to drought treatment in controlled conditions. Our water use efficiency experiment was motivated by the fact that Swedish site is drier and has a higher frequency of drought relative to the Italian site. We then mapped QTL controlling these traits and their plasticity to drought. We found that most QTL for water use physiology is constitutive rather than inducible. The QTL that we found were then compared to published QTL underlying local adaptation, to identify water use physiology QTL that colocalize with QTL controlling fitness in native field sites. In this analysis we found that plasticity on water use physiology traits may have fitness consequences. In addition, multiple water use physiology traits colocalize with fitness suggesting that adaptation to the environment is a function of multiple traits. Finally, we used data from resequencing the parents to identify potentially functionally important polymorphisms that may underlie this variation in water use physiology and local adaptation. This analysis revealed 52 candidate genes, several of which (e.g., ANNAT4 and ABF4/AREB2), show a great prospect for future functional analysis within this species or in other crop breeding systems as a whole. The paucity of data that connects field-evaluated fitness with drought-related traits limits our understanding of the immense role of physiology in local adaptation. Our research harnessed the genetic wealth of *Arabidopsis* to identify candidate water use physiology genes that matter in the field. This candidate gene knowledge generated from the model plant *Arabidopsis* will be an invaluable resource to inform breeding of the next generation of crop species that are economically drought responsive.

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Table 1. Contrast of field-measured water potential and water content between Italy and Sweden across three years (2010-2013). Asterisk (*) indicates the site that has a significantly higher water potential or water content. Columns are growth period of *A. thaliana*(period), site, number of observation (N), arithmetic mean (mean), standard error (SE). *Germination period*: Italy (It) Oct 15-Nov 15, Sweden (Sw) Aug 20- Sept 20. *Growth period*: (It) Nov 16- Feb 14, (Sw) Sept 21- Apr 30, *Flowering period* (It) Feb 15- Mar 15, (Sw) May 1- June 1. *Inter-season* period: (It) Mar 16- Oct 14, (Sw) June 2- Aug 19.

Period	Site	Water content (m^3/m^3)			Water potential (MPa)		
		N	Mean	SE	N	Mean	SE
Germination	Italy	9129	0.1931	0.0005	6218	-0.039	0.001
	Sweden	9216	0.2129*	0.0004	6139	-0.023*	0.001
Growth	Italy	30253	0.1920	0.0002	21840	-0.020	0.000
	Sweden	94165	0.1945*	0.0002	62816	-0.021	0.000
Flowering	Italy	9464	0.1870	0.0006	7053	-0.028*	0.001
	Sweden	13824	0.1928*	0.0005	9216	-0.057	0.001
Inter-season	Italy	48726	0.1230	0.0003	34118	-0.240	0.001
	Sweden	26025	0.1611*	0.0003	17350	-0.127*	0.001

Table 2. Range and minimum field soil water potential (kPa) between Sweden and Italy across three years (2010-2012). Asterisk (*) indicates the site that has significantly higher range in water potential. The range and min are determined during the growth period in each site.

Year	Site	Range		Min
2010	Italy	466.00		-477.00
	Sweden	820.00	*	-830.00
2011	Italy	89.00		-100.00
	Sweden	272.00	*	-281.00
2012	Italy	7.00		-18.00
	Sweden	255.00	*	-263.00

Table 3. Parental least square means of drought tolerance traits on wet and dry conditions.

Treatment	Trait	Parent	N	LSM	SE	F-ratio	P
Wet	$\delta^{13}\text{C}$	Italy	15	-29.56	0.3394	0.426	0.519
		Sweden	15	-29.70	0.3395		
	RA	Italy	15	1961.30	158.1836	4.946	0.035
		Sweden	15	2231.65	158.1113		
	DRW	Italy	15	61.77	7.6861	9.993	0.004
		Sweden	15	83.82	7.6835		
	LWC	Italy	15	0.90	0.0004	0.138	0.713
		Sweden	15	0.91	0.0004		
	% N	Italy	15	5.12	0.2071	2.029	0.165
		Sweden	15	4.79	0.2070		
Dry	$\delta^{13}\text{C}$	Italy	14	-29.42	0.1924	4.464	0.044
		Sweden	14	-29.11	0.1923		
	RA	Italy	14	1743.96	135.0163	0.017	0.897
		Sweden	15	1735.88	132.7830		
	DRW	Italy	14	45.56	4.1213	10.303	0.003
		Sweden	15	58.00	6.6529		
	LWC	Italy	14	0.90	0.0069	2.697	0.112
		Sweden	15	0.89	0.0069		
	% N	Italy	14	4.37	0.2160	2.348	0.138
		Sweden	14	4.14	0.2159		

Table 4. Percent variation explained and broad sense heritability of water use physiology traits of Sweden x Italy RILs in *A. thaliana*. Environment represents the wet and dry treatment from the progressive drydown experiment of recombinant inbred lines. Superscripts represent *P-value* <0.001 (**), <0.05 (*), and >0.05(^{ns}).

Traits	Percent variation explained by a model parameter			Heritability	
	Genotype (G)	Environment (E)	G x E	Wet	Dry
$\delta^{13}\text{C}$	45.42**	7.81**	1.17 ^{ns}	47.39	48.45
Rosette area	36.26**	11.35**	3.69*	46.97	37.12
Dry rosette weight	36.70**	13.21**	3.26*	47.19	36.29
Leaf water content	20.12**	12.15**	1.37 ^{ns}	25.69	21.07
Percent nitrogen	29.08**	27.58**	1.52 ^{ns}	46.35	35.17

Table 5. QTLs for water use physiology traits from a drydown experiment in *A. thaliana* Recombinant Inbred Lines (Sweden x Italy). Traits are carbon isotope discrimination ($\delta^{13}\text{C}$), rosette area in mm^2 (RA), dryrosette weight in grams (DRW), leaf water content (LWC), and percent leaf nitrogen (%N). Column headings are chromosome number (Chrom), map position in cM (Pos), combined treatment/trait chrom number:position (Name), log10 likelihood ratio of the QTL point estimate (Lod), 95 % Bayesian credible interval (CI) effect size (Effect), and percent variation explained by the QTL (% Var).

Treatment	Trait	Chrom	Pos	Name	Lod	CI	Effect	% Var
Wet	$\delta^{13}\text{C}$	1	8.36	<i>w\delta^{13}C 1:08</i>	7.49	6.12, 11.51	-0.16	5.57
		1	62.48	<i>w\delta^{13}C 1:62</i>	8.40	61.83, 64.88	0.17	6.28
		2	24.31	<i>w\delta^{13}C 2:24</i>	6.35	17.69, 27.90	0.16	4.68
		2	46.95	<i>w\delta^{13}C 2:46</i>	3.10	43.12, 56.88	-0.12	2.24
		3	16.51	<i>w\delta^{13}C 3:16</i>	16.17	16.51, 18.04	-0.26	12.71
	RA	3	69.62	<i>w\delta^{13}C 3:69</i>	5.44	65.86, 70.47	0.14	3.99
		4	31.05	<i>w\delta^{13}C 4:31</i>	6.98	29.95, 39.08	-0.17	5.17
		5	25.42	<i>w\delta^{13}C 5:25</i>	6.34	21.97, 28.54	0.17	4.68
		1	32.41	<i>wRA 1:32</i>	4.97	18.12, 39.92	78.34	3.30
		1	77.16	<i>wRA 1:77</i>	5.45	72.00, 82.15	-85.71	3.63
		2	22.61	<i>wRA 2:22</i>	3.75	17.69, 24.32	-75.94	2.47
		2	43.11	<i>wRA 2:43</i>	6.00	42.35, 46.95	120.93	4.01
		2	55.27	<i>wRA 2:55</i>	5.90	52.46, 59.45	113.37	3.95
		3	16.51	<i>wRA 3:16</i>	9.39	16.51, 18.04	116.46	6.42
		3	57.06	<i>wRA 3:57</i>	3.84	53.33, 70.47	-76.46	2.53
	DRW	5	20.42	<i>wRA 5:20</i>	12.80	20.42, 27.14	-134.51	8.94
		5	50.24	<i>wRA 5:50</i>	6.25	48.07, 62.61	-96.01	4.18
		1	32.41	<i>wDRW 1:32</i>	5.25	26.55, 40.59	3.82	4.02
		1	77.16	<i>wDRW 1:77</i>	3.45	72.00, 82.15	-3.34	2.61
		2	55.27	<i>wDRW 2:55</i>	17.60	54.4, 56.03	7.27	14.59
		3	16.51	<i>wDRW 3:16</i>	6.49	15.62, 18.04	4.15	5.00
		5	23.69	<i>wDRW 5:23</i>	11.98	20.42, 28.54	-6.46	9.57
		5	68.69	<i>wDRW 5:68</i>	4.14	37.02, 73.61	-3.39	3.14
	LWC	2	54.40	<i>wLWC 2:54</i>	6.11	49.41, 59.45	-0.001	5.86
		3	31.53	<i>wLWC 3:31</i>	5.22	16.51, 50.19	-0.001	4.98
		5	16.81	<i>wLWC 5:16</i>	7.18	14.67, 27.14	0.002	6.94
	%N	5	68.69	<i>wLWC 5:68</i>	5.69	57.48, 72.94	0.001	5.45
		1	39.91	<i>w%N 1:39</i>	4.01	32.42, 43.50	-0.10	3.48
		2	55.27	<i>w%N 2:55</i>	9.22	50.65, 56.03	-0.17	8.25
		3	16.51	<i>w%N 3:16</i>	10.09	15.62, 22.35	-0.17	9.09
		5	16.81	<i>w%N 5:16</i>	5.99	9.39, 27.14	0.13	5.26
		5	62.60	<i>w%N 5:62</i>	4.95	57.48, 70.13	0.11	4.31
Dry	$\delta^{13}\text{C}$	1	8.36	<i>d\delta^{13}C 1:08</i>	15.87	6.21, 16.07	-0.18	11.38
		1	62.48	<i>d\delta^{13}C 1:62</i>	8.16	61.83, 64.88	0.12	5.57
		2	24.31	<i>d\delta^{13}C 2:24</i>	9.17	17.69, 24.32	0.15	6.30
		2	48.04	<i>d\delta^{13}C 2:48</i>	3.59	44.28, 59.45	-0.10	2.38
		3	18.03	<i>d\delta^{13}C 3:18</i>	17.73	18.04, 18.04	-0.19	12.86
		3	69.62	<i>d\delta^{13}C 3:69</i>	5.56	66.61, 70.47	0.10	3.73
		4	11.07	<i>d\delta^{13}C 4:11</i>	5.37	7.65, 12.90	0.21	3.60
		4	17.05	<i>d\delta^{13}C 4:17</i>	7.20	15.27, 18.34	-0.25	4.89
		5	28.50	<i>d\delta^{13}C 5:28</i>	8.84	21.97, 28.53	0.13	6.06
	RA	1	29.50	<i>dRA 1:29</i>	5.04	16.07, 35.52	53.96	4.07
		1	77.86	<i>wRA 1:77</i>	4.02	76.98, 80.89	-47.19	3.23
		2	49.41	<i>dRA 2:49</i>	12.10	44.28, 54.40	84.62	10.23
		3	29.51	<i>dRA 3:29</i>	4.19	14.73, 31.53	48.42	3.37
		4	14.40	<i>dRA 4:14</i>	2.94	0.00, 18.34	-40.20	2.35
		5	18.51	<i>dRA 5:18</i>	9.34	16.82, 18.51	-75.66	7.77
		5	52.44	<i>dRA 5:52</i>	3.35	36.09, 70.99	-44.19	2.68
	DRW	1	29.50	<i>dDRW 1:29</i>	2.68	0.00, 42.51	1.85	2.50
		2	49.52	<i>dDRW 2:49</i>	9.55	48.05, 58.60	3.56	9.33
		3	16.51	<i>dDRW 3:16</i>	5.34	14.73, 32.70	2.46	5.07
		5	18.51	<i>dDRW 5:18</i>	9.16	16.82, 28.54	-3.58	8.92
	LWC	2	58.59	<i>dLWC 2:58</i>	3.34	30.72, 60.49	-0.001	3.34
		3	31.53	<i>dLWC 3:31</i>	4.15	25.39, 37.86	-0.002	4.15
		4	14.40	<i>dLWC 4:14</i>	3.88	12.90, 18.34	0.002	3.88
		4	32.15	<i>dLWC 4:32</i>	5.18	31.05, 33.42	-0.002	5.18
		5	18.51	<i>dLWC 5:18</i>	3.34	16.82, 27.14	0.002	6.63
	%N	1	29.50	<i>d%N 1:29</i>	3.53	18.88, 43.50	-0.07	2.95
		2	48.73	<i>d%N 2:48</i>	6.09	44.28, 58.60	-0.10	5.17
		3	26.70	<i>d%N 3:26</i>	10.98	16.51, 28.03	-0.14	9.61
		3	70.47	<i>d%N 3:70</i>	4.04	45.95, 70.47	0.08	3.39
		5	8.53	<i>d%N 5:08</i>	8.58	5.70, 18.51	0.12	7.39
		5	52.44	<i>d%N 5:52</i>	5.22	28.54, 61.28	0.09	4.40
Plasticity	$\delta^{13}\text{C}$	3	14.73	<i>p\delta^{13}C 3:14</i>	2.82	3.96, 29.52	-0.09	3.29
		4	39.08	<i>p\delta^{13}C 4:39</i>	2.96	27.99, 42.30	-0.09	3.46
	RA	2	59.44	<i>pRA 2:59</i>	6.72	52.46, 60.86	82.09	7.32
		3	16.51	<i>pRA 3:16</i>	3.80	9.64, 23.32	60.33	4.06
		5	20.42	<i>pRA 5:20</i>	4.67	16.82, 27.14	-72.53	5.02
	DRW	2	55.27	<i>pDRW 2:55</i>	8.05	52.46, 60.86	3.91	8.94
		5	20.42	<i>pDRW 5:20</i>	3.82	16.82, 28.54	-2.97	4.13
		5	68.69	<i>pDRW 5:68</i>	3.35	48.07, 75.81	-2.38	3.61
	%N	4	25.33	<i>p%N 4:25</i>	3.16	15.27, 31.05	-0.10	3.86

Figure 1

Figure 1. Water-use related environmental data for Sweden and Italy sites. Horizontal bars at the top of graphs indicate the growth stage intervals: germination period (orange), growing season (green), and flowering period (blue). A, Weekly Normalized Difference Vegetation Index (NDVI; mean $\pm$ SD). B, Weekly frequencies of observed drought conditions of varying severity, based on Vegetative Health Index. C, Weekly length of photoperiod. D, Monthly maximum and minimum temperature. E, Monthly average precipitation.

Figure 2

Figure 2. Genetic correlations of water-use physiology traits between wet and dry treatments. Data points represent least square mean estimates for individual recombinant inbred lines. All genetic correlations were highly significant (Pearson correlation, $P < 0.0001$). DRW, dry rosette weight (g); LWC, leaf water content (%); RA, rosette area (mm²); δ¹³C, carbon isotope discrimination (%); %N, leaf nitrogen (%).

Figure 3

Figure 3. Location and direction of effect of water-use physiology QTL in the Italy x Sweden mapping population. Plus sign indicates that the Swedish allele increased the trait value relative to the Italian allele; minus sign indicates the Swedish allele decreased the trait value. Upward triangle indicates that the Swedish allele increases the trait value and that the QTL co-localizes with a fitness QTL from Ågren et al. (2013); downward triangle indicates that the Swedish allele decreases the trait and that the QTL co-localizes with a fitness QTL. Blue symbols represent constitutive QTL, red symbols represent inducible QTL, and black symbols represent plasticity QTL. Vertical dashed lines indicate hotspot regions. DRW, dry rosette weight; LWC, leaf water content; RA, rosette area; $\delta^{13}\text{C}$, carbon isotope discrimination; %N, percent leaf nitrogen.