**Evapotranspiration partitioning through in-situ oxygen isotope
measurements in an oasis cropland**

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Abstract: The oxygen isotope compositions of ecosystem water pools and fluxes are useful tracers in the water cycle. As part of the Heihe Watershed Allied Telemetry Experimental Research (HiWATER) program, high-frequency and near-continuous in situ measurements of ^{18}O composition of atmospheric vapor (δ_v) and of evapotranspiration (δ_{ET}) were made with the flux-gradient method using a cavity ring-down spectroscopy water vapor isotope analyzer. At the sub-daily scale, we found, in conjunction with intensive isotopic measurements of other ecosystem water pools, that the differences between ^{18}O composition of transpiration (δ_T) and of xylem water (δ_x) were negligible in early afternoon (13:00-15:00 Beijing time) when ET approached the daytime maximum, indicating isotopic steady state. At the daily scale, for the purpose of flux partitioning, δ_T was approximated by δ_x at early afternoon hours, and the ^{18}O composition of soil evaporation (δ_E) was obtained from the Craig-Gordon model with a moisture-dependent soil resistance. The relative contribution of transpiration to evapotranspiration ranged from 0.71 to 0.96 with a mean of 0.87 ± 0.052 for the growing season according to the isotopic labeling, which was good agreement with soil lysimeter measurements showing a mean transpiration fraction of 0.86 ± 0.058 . At the growing season scale, the predicted ^{18}O composition of runoff water was within the range of precipitation and irrigation water according to the isotopic mass conservation. The ^{18}O mass conservation requires that the decreased $\delta^{18}\text{O}$ of ET should be balanced by enhanced $\delta^{18}\text{O}$ of runoff water.

Keywords: Evapotranspiration partitioning; HiWATER program; Isotope Ratio Infrared Spectroscopy (IRIS); Isotopic Steady State (ISS); Stable oxygen isotope

1. Introduction

Heihe River, the second longest inland river of China, is located in the continental arid climate in the inland region of northwest China, in the transition zone between the westerlies and the southwest (summer) monsoon (Huang and Wen, 2014; Guo et al., 2015). The oasis agriculture in the middle and lower reaches of the Heihe River Basin is supported by the precipitation and snowmelt from the Qilian Mountains, where Heihe River originates (Yang et al., 2015). Water conservation is a top priority for scientific research. Vegetation transpiration (T), through the stomata of plants and associated with plant productivity, and soil evaporation (E), not directly contributing to production, are the two components of evapotranspiration (ET). Research on the partitioning of ET in this region is of great interest to water resource managers. In addition, data on E and T are needed for validation of ecosystem models. Because E and T are controlled by different processes, the ability to separate ET into its components should improve the prediction of climatic responses of ecosystem functions and processes and our understanding of surface-atmosphere water and energy exchange (Li et al., 2013; Kool et al., 2014; Sutanto et al., 2014).

Although partitioning of ET has been performed for decades, using either isotopic or non-isotope approaches, the accuracy of the partitioned fluxes is still not satisfactory (Kool et al., 2014; Sutanto et al., 2014). The sources of errors mainly include assumption used in the analyses and instrument inaccuracy (Sutanto et al., 2014). In isotope-based approaches, water vapor fluxes produced by soil evaporation and plant

transpiration have different oxygen and hydrogen isotope compositions because soil evaporation is much more fractionated than plant transpiration. The isotopic endmember difference provides the basis for ET partitioning (Yakir and Sternberg, 2000). Most partitioning studies focus on forest (Moreira et al., 1997; Wang and Yakir, 2000; Yepez et al., 2003, 2007; Williams et al., 2004; Lai et al., 2006; Robertson and Gazis, 2006; Xu et al., 2008; Dubbert et al., 2014b; Sun et al., 2014) and grassland ecosystems (Ferretti et al., 2003; Yepez et al., 2005; Wenninger et al., 2010; Sutanto et al., 2012; Dubbert et al., 2013; Hu et al., 2014), but few studies have been performed on cropland ecosystems (Wang and Yakir, 2000; Zhang et al., 2011). An advantage of cropland systems is that conventional non-isotope methods for the ET partitioning, such as the combination of micro-lysimeters to measure evaporation and eddy covariance to measure evapotranspiration (Kool et al., 2014; Sutanto et al., 2014), can be implemented to check the isotopic results. However, the micro-lysimeters have drawbacks of inability to measure during irrigation or rain, time consuming, and limited representation due to small sample size (Kool et al., 2014).

Combined with the eddy covariance technique, measurement of the oxygen isotope compositions of ecosystem water pools and fluxes can be used to partition the ET flux into its component fluxes. A key challenge is to precisely determine the ^{18}O composition of ET (δ_{ET}) (Yakir and Sternberg, 2000; Sutanto et al., 2014). At the present time, most investigations have used the Keeling mixing model to infer δ_{ET}

indirectly (Wang et al., 2000; Williams et al., 2004). The Keeling method is unreliable for water vapor because of a large entrainment effect caused by strong gradients in the vapor isotope composition across the top of the atmospheric boundary layer (Lee et al., 2012). Other limitations of the Keeling method to water isotopes include the assumption that the isotopic composition of the evaporation and transpiration do not change during the measurement interval (typically 30-60 minutes), the loss of water vapor from the ecosystem only by turbulent mixing, and the varied concentration of atmospheric water vapor only resulting from background vapor and local evapotranspiration (Lee et al., 2007; Hu et al., 2014; Sutanto et al., 2014).

The second challenge is how to best capture dynamic variations of the ^{18}O composition of transpiration (δ_T). To date, δ_T can be determined with four approaches. In isotopic steady state (ISS), δ_T is equal to the isotopic composition of plant source water and can be derived from direct measurements of xylem water (δ_x) (e.g. Moreira et al., 1997; Williams et al., 2004). In nonsteady state conditions, δ_T can be estimated by models such as the Craig-Gordon (Craig and Gordon, 1965), the Dongman (Dongmann et al., 1974), and the Farquhar-Cernusak model (Farquhar and Cernusak, 2005). In addition, δ_T can be also directly measured with leaf and branch chambers (Wang et al., 2010; Dubbert et al., 2014a). Finally, δ_T can be calculated from the isotopic mass balance using measurement of the foliage water pool changes over time (Hu et al., 2014). The ISS assumption is frequently used in flux partitioning studies. However, model results demonstrate that ISS is only met for short (hourly) timescales

at noon or in early afternoon (Welp et al., 2008). Few studies have evaluated the ISS assumption via water pool and flux measurements (Hu et al., 2014).

The third challenge is related to quantification of the ^{18}O composition of evaporation (δ_{E}). Generally, δ_{E} is calculated with the Craig-Gordon model (Craig and Gordon, 1965; Yepez et al., 2003; Williams et al., 2004; Hu et al., 2014), whose input variables include the isotopic composition of soil water at the evaporation front, isotopic composition of water vapor (δ_{v}), relative humidity, and equilibrium and kinetic fractionation factors. The model calculation is most sensitive to δ_{v} and the determination of soil evaporation front (Sutanto et al., 2014). The endmember δ_{E} can be also measured directly with soil chambers (Dubbart et al., 2013; Dubbart et al., 2014b).

Over the last several years, isotope ratio infrared spectroscopy (IRIS) has permitted high-frequency and near-continuous in situ measurements of atmospheric δ_{v} (Lee et al., 2005; Wen et al., 2008, 2012b; Griffis, 2013), providing an attractive alternative to the traditional method involving collection of samples with cold traps and subsequent measurement by isotope ratio mass spectrometry (IRMS) (Helliker et al., 2002). The δ_{v} measurements of most previous studies (i.e., cold traps) are discrete and labor intensive (Moreira et al., 1997; Wang and Yakir, 2000; Yepez et al., 2003; Xu et al., 2008). Advances in measuring the atmospheric δ_{v} , together with the flux-gradient or eddy covariance technique, enables to quantification of δ_{ET} (Wen et al., 2012a; Huang

and Wen, 2014). To date, only a few studies have deployed δ_{ET} measured with the micrometeorological methods for ET partitioning (Lee et al., 2007; Hu et al., 2014).

This study is concerned with the partitioning of ET into its components of E and T in an irrigated cropland. The experiment was conducted as part of the Heihe Watershed Allied Telemetry Experimental Research (HiWATER) program (Li et al., 2013). The ^{18}O signals of the three flux endmembers (δ_{ET} , δ_E and δ_T) were determined during the growing season of a maize crop, in the middle reaches of Heihe River Watershed in 2012 using the flux gradient approach. The specific objectives of this study are: (1) to characterize, in conjunction with intensive measurements of ^{18}O composition of the ecosystem water pools, isotopic steady state using isotopic mass balance at the sub-daily scale, (2) to characterize the temporal dynamics of the ^{18}O signals of ET, E and T at the daily to seasonal scale, and quantify the contribution of T to ET using isotopic labeling and lysimeter measurements.

2. Materials and Methods

2.1. Site description

The study site in Zhangye, Gansu Province was part of the Heihe Watershed Allied Telemetry Experimental Research (HiWATER) program (Li et al., 2013). This sites had good fetch (>200 m). The experiment was conducted in an arid artificial oasis spring maize in the middle reaches of the Heihe River Watershed (38°51' N, 100°22' E, 1550m asl) in northwest China. Over the past five decades (1961~2010), the daily

mean temperature was 7.4 °C and the mean annual precipitation was 128.7 mm. The experiment was conducted from May 27 to September 22 (DOY 148-265) in 2012. Plastic films were used for water conservation, covering rate about 60% of the soil surface. Flood irrigation water was applied four times (DOY 158, 184, 210 and 238) during the growing season. Spring maize was planted on April 20 and harvested on September 22, 2012. The maximum LAI and maximum canopy height were 5.6 m² m⁻² and 2.1 m, respectively. Additional details concerning site information and data acquisition are described by Huang and Wen (2014) and Yang et al. (2015).

2.2. In-situ measurement of water vapor and evapotranspiration isotope ratios

The isotopic composition of water vapor in surface air (δ_v) was measured using a cavity ring-down spectroscopy (CRDS) water vapor isotope analyzer (Model L1102-i, Picarro Inc). The schematic diagram of the CRDS analyzer, its principle of operation, and its calibration procedure were described in Huang and Wen (Huang and Wen, 2014). Briefly, air was pumped from two heights above the crop through heated tubes to the analyzer for analysis. The heights of the two intakes were 0.5 and 1.5m higher than the canopy and increased over the season from 0.6 m and 1.6 m above the ground at the beginning to 2.6 m and 3.6 m by the end of the maize season to adjust for canopy growth. The air samples were pumped through the analyzer sequentially with 120 sec spent on each measurement. The step changes in the HDO, H₂¹⁸O and H₂¹⁶O mixing ratios in response to valve switching between two air sample intakes are given in Fig. 1, indicating that the measurement approached steady state in less than 30 s

after each switching. For safety, 10 data points (~50s) after switching was discarded in this study. The δ_v was calibrated in every 3 h using three span gases (Wen et al. 2008, 2012a; Huang and Wen, 2014). Three span gases with same isotopic ratios were generated by the liquid vaporization module. Two of three were used to span the atmospheric concentration of water vapor for calibrating the measurements. The isotopic compositions of the calibration water were measured by a liquid water isotope analyzer (Model DLT-100, Los Gatos Research Inc.). The data reported were block-averaged to hourly intervals.

The molar flux isotope ratio (R_{ET}) of evapotranspiration (ET) was determined by the flux-gradient technique using measurements at the two sampling heights above the canopy (Lee et al., 2007; Wen et al., 2012a; Hu et al., 2014; Huang and Wen, 2014).

Here, R_{ET} was calculated hourly as

$$R_{ET} = R_d \frac{x_{s,2}^{16} - x_{s,1}^{16} x_{a,2}^{18} - x_{a,1}^{18}}{x_{s,2}^{18} - x_{s,1}^{18} x_{a,2}^{16} - x_{a,1}^{16}} \quad (1)$$

where R_d is the molar ratio of the calibration water, x is the hourly mean mixing ratio of water isotopologues, superscripts 16 and 18 denote the ^{16}O and ^{18}O molecules in water, subscripts $s,1$ and $s,2$ indicate span calibration vapor streams of 1 (lower) and 2 (higher), and subscripts $a,1$ and $a,2$ represent ambient air sampled at heights 1 (upper) and 2 (lower). The molar ratio R_{ET} was converted to the delta notation in reference to the VSMOW standard as

$$\delta_{ET} = (R_{ET}/R_{VSMOW} - 1) \times 1000\text{‰} \quad (2)$$

2.3 Measurement of isotopic compositions of ecosystem water pools

Leaf, and stem, and soil samples were collected during 13:00~15:00 every 2-3 days from one sampling plot except after irrigation events. Distances of sampling plot from the micrometeorological and isotopic towers were within the 30 m. Previous studies had shown that the oxygen isotopic composition of leaf water could be pronounced enrichment along the leaf (e.g., Affek et al., 2006). Therefore, leaf samples from the upper and the lower canopy were archived separately, with main leaf vein removed, each sample being a mixture of small leaf sections cut from the upper, middle and bottom positions of the leaf. The root crown of one selected maize plant was sampled to represent the ^{18}O of the xylem water (Yang et al., 2015). Soil samples were collected from the depth of 0-5, 5-10, 10-20, 20-30 and 30-40 cm, but also from the depth of 40-50, 50-60, 60-70, and 70-80 cm every 9 days. After each irrigation event, sampling of leaf, stem and soil was made during 13:00~15:00 for 7 consecutive days.

Four intensive campaigns were conducted during August 1-2, 17, 19-20, and 29-30 on sunny days. Collection of leaf, xylem and soil samples, and measurement of leaf water content were made every 3 h during the daytime (6:00 to 18:00) and 4 h during the nighttime (18:00 to 6:00). Leaf water content was determined with leaf samples from the upper and lower canopy.

A polyethylene bottle and a funnel were connected together to collect rain water. To prevent evaporation, a ping-pang ball was put into the funnel. We also collected dew

water with a cotton swab before sunrise. After each irrigation event, irrigation water was collected from both sides of the field. All of these samples were placed in bottles, sealed with Parafilm and kept frozen in a refrigerator (-15 to -20 °C) prior to water extraction.

Water in these solid samples was extracted cryogenically using a vacuum line. The liquid samples (soil, xylem, leaf, precipitation, dew and irrigation water) were analyzed for their isotope ratios on a liquid water isotope analyzer (Model DLT-100, Los Gatos Research). ^{18}O of xylem and leaf water were corrected for organic contaminant following the procedure following the procedure of Xiao et al. (2012), and cross-checked with the analysis by pyrolysis with a continuous flow method on a mass spectrometer (MAT 253, Finnigan Inc.). The bias error (IRIS minus IRMS) was $-0.11 \pm 0.12\text{‰}$ after the correction. The precision of the liquid water isotope analyzer was typical better than 0.3‰ for D and 0.1‰ for ^{18}O .

2.4 Auxiliary measurement

Supporting measurement consisted of an eddy covariance system (Model LI-7500, Licor Inc., Lincoln, NB; Model CSAT-3, Campbell Scientific Inc., Logan, UT; Model CR3000, Campbell Scientific Inc., Logan, UT), which was installed at the 4.5 m height above the ground. All raw data were recorded at 10 Hz, and the 30min mean $\text{CO}_2/\text{H}_2\text{O}$ fluxes were calculated and stored by the CR5000 data logger. In brief, double coordination rotation, and the Webb-Pearman-Leuning correction were

performed, detail in Huang and Wen (2014). Micrometeorological variables (air temperature, relative humidity, wind speed, precipitation, soil temperature, and soil moisture) were recorded at 1 Hz, with 30 min averages through a suite of micrometeorological sensors mounted above the canopy and in the soil. Additional details concerning data acquisition are further described in the literature (Xu et al., 2013; Liu et al., 2001, 2013). Nine homemade micro-lysimeters (10 cm in diameter, and 15 cm in depth) were pushed into the non-mulched soil to retrieve an undisturbed soil sample. Then, they were sealed at the bottoms, weighed manually and placed back into the soil. After a period of one day, they were re-weighed to provide daily measurement of soil evaporation.

2.5 Theoretical considerations

2.5.1. Flux isotope endmembers

The evapotranspiration flux (ET) consists of the plant transpiration (T) and the soil evaporation (E). Their sign convention is such that a positive value indicates flux upward toward the atmosphere and a negative value indicates downward flux toward the ecosystem. Conservation principles require

$$ET = E + T \quad (3)$$

$$\delta_{ET}ET = \delta_E E + \delta_T T \quad (4)$$

where δ_{ET} , δ_E and δ_T are the isotopic compositions of ET, E, and T, respectively. Here δ_{ET} was measured with the gradient diffusion method, δ_E was calculated according to the Craig-Gordon model, and δ_T was determined according to the isotopic mass

balance of the leaf water pool during the intensive campaigns or approximated by the isotopic composition of the xylem water at midday under the ISS assumption.

Equations (3) and (4) form the basis for understanding the relationship of the soil evaporation and plant transpiration to the evapotranspiration. If the isotopic endmembers are known precisely, the fractional contributions of soil evaporation and plant transpiration to the evapotranspiration are given by

$$\frac{E}{ET} = \frac{\delta_T - \delta_{ET}}{\delta_T - \delta_E} \quad (5)$$

and

$$\frac{T}{ET} = \frac{\delta_{ET} - \delta_E}{\delta_T - \delta_E} \quad (6)$$

2.5.2 Isotopic composition of plant transpiration

Assuming the plant transpiration flux (T , g H₂O m⁻² s⁻¹) equal to the difference between the water flux from xylem (X , g H₂O m⁻² s⁻¹) and the time rate of change of canopy foliage water content (ΔL_w , g H₂O m⁻² s⁻¹), the mass fluxes and foliage pool of ¹⁸O should be in balance. These conservation principles are given as

$$T = X - \Delta L_w / dt \quad (7)$$

$$\delta_T T = \delta_X X - \Delta(\delta_L L_w) \quad (8)$$

where δ_T , δ_X and δ_L are the isotopic composition of plant transpiration, xylem water, and leaf water, respectively, and L_w is foliage water content (g H₂O m⁻²), and were measured in the intensive campaigns.

Incorporating Equation (7) into equation (8) yields the isotopic composition of plant

transpiration

$$\delta_T = [\delta_X(T + \Delta L_w) - \Delta(\delta_L L_w)]/T \quad (9)$$

Because all the parameters in the right side of Equation (9) were known except for T , the solution for δ_T can be achieved by incorporating Equation (6) into equation (9) (Hu et al., 2014).

2.5.3 Isotopic composition of soil evaporation

The isotopic composition of soil evaporation (δ_E) was calculated using the Craig-Gordon model (Craig and Gordon, 1965; Yakir and Sternberg, 2000)

$$\delta_E = \frac{\delta_s / \alpha_e - h\delta_v - \varepsilon_{eq} - (1-h)\varepsilon_k}{(1-h) + (1-h)\varepsilon_k / 1000} \quad (10)$$

where δ_s represents the isotopic composition of liquid water at the evaporating front, approximated here by the isotopic composition of soil water at the 0-5 cm depth, α_e is a temperature-dependent the equilibrium fractionation factor (Majoube, 1971), $\varepsilon_{eq} = (1 - 1/\alpha_e) \times 1000$ is the equilibrium fractionation factor expressed in ‰, ε_k is a kinetic factor, and h is relative humidity normalized to the soil temperature at the 0-5 cm depth. In Equation (10), h and δ_v were measured at the upper intake of the CRDS analyzer. If diffusion is molecular, th ε_k is equal to 28‰ for $H_2^{18}O$ (Merlivat, 1978). In canopy-scale studies, the variables in Equations (10) are measured above the canopy. According to Lee et al. (2009), ε_k should be calculated according to

$$\varepsilon_k = (28r_s + 19r_b)/(r_s + r_b + r_a) \quad (11)$$

where the soil resistance (r_s), boundary-layer resistance (r_b), and aerodynamic resistance (r_a) and were calculated using data acquired by EC tower system

measurements according to the methods of Lee et al. (2009) and Xiao et al. (2010).

3. Results

3.1 Validation of the isotopic steady state assumption at early afternoon

Fig. 2a illustrates the diurnal time series of $\delta_T - \delta_x$, ET flux during the intensive field campaigns. The error bar represents one standard error of the observations made at the same time of the day. At sub-day time scales, the isotopic composition of T (δ_T) was calculated during the intensive campaigns using isotopic mass balance (Equation 9). The flux (assumed equal to ET) weighted mean δ_T and δ_x were -6.06‰ and -5.93‰, respectively. The dependence of ensemble mean diurnal cycles of $\delta_T - \delta_x$ on the ET flux during the intensive field campaigns was shown in Fig. 2b. According to the isotopic mass balance of the foliage water, δ_T was lower than δ_x in the morning as δ_L increased with time, and greater than δ_x in the evening as δ_L decreased with time. In Fig. 2, we relate δ_T and δ_x to ET by taking the difference between δ_T and δ_x to define the relationship between the non-steady state behaviors ($\delta_T - \delta_x$) and ET. The differences between δ_T and δ_x were negligible when ET approached the daytime maximum, indicating that isotopic steady state (ISS) was reached during early afternoon hours (13:00-15:00).

3.2 Seasonal variation of the three flux endmembers at early afternoon

Fig. 3 illustrates seasonal variations in the ^{18}O composition of evapotranspiration (δ_{ET}), evaporation (δ_{E}), and transpiration (δ_{T}) during early afternoon hours (13:00-15:00).

Four irrigation events were indicated for reference. The δ_{ET} value was averaged over the early afternoon hours (13:00-15:00). At this time of the day, δ_T was approximated by the ^{18}O signal of xylem water (δ_x) assuming ISS. Based on the isotopic and meteorological data acquired between 13:00-15:00, δ_E was calculated from the Craig-Gordon model. Because T and E are in same signs in Equation (4), mathematically δ_{ET} must fall in the range bounded by δ_T and δ_E , such that $\delta_E < \delta_{ET} < \delta_T$. Over the whole experimental period, the mean δ_E and δ_{ET} and δ_T were (mean $\pm$ one standard deviation) $-38.48 \pm 3.18\text{‰}$, $-9.77 \pm 1.91\text{‰}$ and $-5.74 \pm 0.92\text{‰}$, respectively. The irrigation dates were 6 June (DOY 158, irrigation amount 111.6 mm), 2 July (DOY 184, 141.9 mm), 28 July (DOY 210, 149.7 mm) and 25 August (DOY 238, 149.7 mm) 2012. Because 80–90% of the Heihe River water originates from the upper mountainous area, the isotopic composition of the irrigation water ($-8.66 \pm 0.30\text{‰}$) was more depleted than the soil water (Yang et al., 2015). After each irrigation event, the three isotopic endmembers fluctuated obviously. Furthermore, δ_E decreased more sharply than δ_{ET} and δ_T .

3.3 Isotopic partitioning at early afternoon

The isotopic data were used to constrain the component fluxes (Fig. 3). Fig. 4 illustrates the relative contribution of transpiration (T) to evapotranspiration (ET) using isotopic labeling and the lysimeter/eddy covariance measurements. Also shown in Fig. 4 are leaf area index (LAI) and four irrigation events. In Fig. 4, the relative contribution of T to ET was evaluated in early afternoon (13:00-15:00) using isotopic

labeling and at the whole day (0:00-24:00) using the lysimeter/eddy covariance measurement. Generally, the result of isotopic labeling was consistent with soil lysimeter measurements. During the growing season, the relative contribution of T to ET was 0.88 ± 0.056 and 0.85 ± 0.088 from isotopic labeling and from the lysimeter observation, respectively. However, a large discrepancy (0.36 ± 0.078) was observed right after the first irrigation event (DOY 158). The discrepancy for other irrigation events has a mean value of 0.04 ± 0.012 . The lysimeter method could generally serve as a validation for other ET partition methods (Kool et al., 2014). The discrepancy after the first irrigation event was likely due to no canopy closure in the field. In this case, the isotopic composition of liquid water at the evaporating front was unreasonably approximated by the isotopic composition of soil water at the 0-5 cm depth. Excluding the result after the first irrigation event, the T/ET ratio varied from 0.71 to 0.96, with the mean ($\pm$ one standard deviation) of 0.87 (± 0.052) from isotopic labeling, and from 0.66 to 0.95 with the mean of 0.86 (± 0.058) from the lysimeter observation, suggesting that our isotopic labeling approach was robust. The relative high contribution of soil evaporation was out of our expectation, because about 60% of the field was covered with plastic films. This might be due to the fact that the field was irrigated frequently during the growing season. Therefore, the available soil water for evaporation was always plentiful in the non-mulched soil. LAI decreased abruptly on DOY 218 due to removal of male maize plants. It also steeply dropped on DOY 257 because heavy frosts occurred in the late growing season. Wang et al. (2014) synthesized previous published papers and concluded that LAI has predominant

impacts on T/ET. However, the percentage of T/ET declined slightly according to both the isotopic labeling and the lysimeter method (Fig. 4). This could be because of the high maize density of this field for seed production.

3.4 Isotopic partitioning at the growing season scale

Fig. 5 is a schematic representation of the average isotopic signals of various ecosystem water pools and fluxes during the growing season (DOY 148-265). Numbers in parentheses indicate total fluxes in mm. The analysis was restricted to seasonal time scale. The ^{18}O composition of ET, precipitation, dew and soil water were the mean values weighted by water vapor flux, precipitation amount, dew amount and soil water content, respectively. δ_T was assumed to be the same as mean δ_x weighted by water vapor flux in early afternoon (13:00-15:00). δ_i was the algebraic mean of all the valid observations during the 4 irrigation events.

The seasonal total ET was 425.7 mm based on the eddy covariance. Giving the T/ET ratio of 0.87 for the growing season, this flux was partitioned as 56.0 mm for soil evaporation and 369.7 mm for plant transpiration. The mean δ_{ET} and δ_T were -8.57‰ and -5.83‰, respectively. According to the isotopic mass balance, δ_E was -26.93‰ during the growing season. As for the influxes during growing season, the total precipitation amount was 103.2 mm with the amount-weighted average isotopic composition of -5.70‰. The total irrigation amount was 552.9 mm, with an isotopic value of -8.66‰. The amount of dewfall, calculated with the flux-profile method, was

9.9 mm, equivalent to about 10% of the precipitation input. The frequency of dew formation was 59% in this site, and the amount-weighted average isotopic composition of dew water was -4.05‰. The ^{18}O composition of the surface (0-5 cm), shallow (5-40 cm) and deep (40-80 cm) soil water were -5.40‰, -7.64‰, and -7.81‰, respectively. The net change in soil water storage were 1.9 mm, -4.7 mm, and 7.4 mm between DOY 148 and DOY 265 in these soil layers. Over the growing season, the net change in soil water storage was negligible (4.6 mm) in comparison to the water fluxes.

According to isotopic mass conservation, ^{18}O composition of the deep percolation or runoff (-7.37‰) was a little higher than deep soil water (-7.81‰), and within the range of precipitation and irrigation water. This is probably because it was calculated based on the ^{18}O mass conservation without considering the evaporation of dew water and intercepted rain. Overall, the ^{18}O mass conservation requires that the decreased ^{18}O composition of ET be balanced by enhanced ^{18}O composition of runoff water.

4. Discussion

In order to use the isotopic labeling approach to partition ET, we must first quantify the three end members of δ_T and δ_E and δ_{ET} . Methods for determining these three end members have varying degrees of uncertainty (Kool et al., 2014; Sutanto et al., 2014). Several assumptions in the isotope mass balance model may contribute to uncertainty in the ET partitioning.

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419 Traditionally, δ_{ET} is inferred by fitting the δ_v to the inverse of water vapor mixing
420 ratio with the Keeling plot approach (Yakir and Sternberg, 2000). The Keeling plot
421 approach implicitly assumes that the observed temporal variations of δ_v are
422 attributable only to ET. In reality, δ_v in the atmospheric surface layer are also
423 influenced by processes unrelated to surface ET. For this reason, the intercept of
424 Keeling plot is an ambiguous quantity (Lee et al., 2006 , 2007 , 2012; Griffis et al.,
425 2007). Measuring the atmospheric δ_v with IRIS technique (Lee et al., 2005; Wen et al.,
426 2008, 2012a), together with the flux-gradient technique, enabled us to quantify δ_{ET}
427 (Lee et al., 2007; Wang et al., 2010; Good et al., 2012; Wen et al., 2012b; Hu et al.,
428 2014; Huang and Wen, 2014). However, the flux-gradient and Keeling plot methods
429 were shown to have similar uncertainty of 5.83‰ and 5.89‰ for ^{18}O (Good et al.,
430 2012). Lee et al. (2007) reported an hourly precision of 1.4‰ for δ_{ET} with the
431 flux-gradient approach through a series of laboratory tests. The uncertainty of δ_{ET}
432 could also be indicated by the standard error of δ_{ET} within each hour in field
433 implementation (Hu et al., 2014; Huang and Wen, 2014). For all the hourly data
434 during the growing season, the average uncertainty of δ_{ET} was 4.6‰ (ranging from
435 0.2‰ to 35.2‰) in our site (Huang et al., 2014). Over a temperate grassland, the δ_{ET}
436 uncertainty varies from 0.8‰ to 53‰, with an average of 7.9‰ (Hu et al., 2014).
437 Although the uncertainty of δ_{ET} was huge for the entire day, it would approach to the
438 minimum of uncertainty in early afternoon (13:00-15:00) when the water vapor
439 gradient between the two sampling intakes reaches its maximum. Good et al. (2012)

suggested that potential uncertainties of δ_{ET} by the flux-gradient method mainly results from different footprints at the two intake heights, variability in atmospheric conditions, instrument precision, and the averaging method to calculate hourly δ_{ET} . At the sub-daily scale, the uncertainty is relatively small from δ_T and δ_E but quite large from δ_{ET} , so improving the precision of δ_{ET} should be a priority (Hu et al., 2014). However, the uncertainty of δ_{ET} (Fig. 3) was small in early afternoon (13:00-15:00) when the water vapor gradient between the two sampling intakes approached the maximum value of the diurnal cycle.

It is very challenging to capture the dynamic variations of δ_T , therefore, most of previous studies partitioning ET under steady state assumption (e.g. Moreira et al., 1997; Wang and Yakir, 2000; Ferretti et al., 2003; Williams et al., 2004). As an alternative to the ISS assumption, δ_T can be estimated with the non-steady state leaf isotopic models (Dongmann et al., 1974; Farquhar and Cernusak, 2005). Estimating the water isotopic composition at the leaf evaporating front ($\delta_{L,e}$) is critical to resolve δ_T (Xiao et al., 2012). Many parameters are required for estimating $\delta_{L,e}$. One such parameter is the Péclet number, which is difficult to constrain at the canopy scale (Xiao et al., 2012; Hu et al., 2014). Because stomatal conductance is used for the leaf water balance calculation, meaningful results require that the conductance be measured hourly in the field or be computed with a robust photosynthesis model. In absence of data on these parameters, we were forced to adopt the ISS assumption, that δ_T assume is the same as that of the xylem water (δ_x). Our isotopic mass balance

results illustrate that δ_T at this site was not at ISS in the morning but rapidly approached and remained near ISS during the early afternoon (Fig. 2). Sutanto et al. (2014) reviewed that the ISS assumption is only valid at midday for short timescale (hourly) due to rapidly changing environmental conditions. Recent models studies show that the ISS assumption is satisfied during very limited midday hours (Farquhar and Cernusak, 2005; Yopez et al., 2005; Lai et al., 2006; Xiao et al., 2012). Our study is one of the few studies that evaluated ISS by measuring the foliage water pools and the flux in field conditions (Hu et al., 2014). The isotopic signal of plant transpiration (δ_T) was so heavy and similar to surface soil water (0-5 cm) in this study. This was consistent with the results that more than 71% of the plant water was acquired from 0-5 cm soil layer in this plot (Yang et al., 2015). In addition, δ_T can be also measured with chambers (Wang et al., 2010; Dubbert et al., 2014a).

The isotopic signal of soil evaporation (δ_E) is generally estimated with the Craig-Gordon model. Key parameters in the model include δ_v , the isotopic composition of water at the soil evaporating front, and the kinetic fractionation factor appropriate for ambient conditions (Craig and Gordon, 1965; Yakir and Sternberg, 2000; Braud et al., 2009). In the present study, δ_v was measured at fine (hourly) time scale resolutions. Recent studies have showed that δ_v is variable at hourly, diurnal, and seasonal time scales due to influences by hydrological events such as precipitation, dew formation, and dew evaporation (Welp et al., 2008; Wen et al., 2012a). The determination of the evaporation front at the soil surface in case of

saturation for determination of δ_s should be considered carefully (Rothfuss et al., 2010). In this site, δ_s was approximated here by the isotopic composition of soil water at the 0-5 cm depth. The kinetic fractionation factor associated with soil evaporation, ε_k , was calculated as a weighted mean according to the soil resistance (r_s), the boundary-layer resistance (r_b), and the aerodynamic resistance (r_a) (Lee et al., 2009; Xiao et al., 2012). In this formulation, ε_k is a variable quantity, having lower values observed under moister soil conditions. For the data shown in Fig. 3, ε_k varied between 22.3‰ and 27.5‰. However, during the first flood irrigation event, δ_s was unreasonably approximated by the isotopic composition of soil water at the 0-5 cm depth because of quickly change of the soil evaporation front in the exposed sunny conditions. In addition, the δ_E can be also measured directly with soil chambers (Dubbert et al., 2013; Dubbert et al., 2014b). However, the chamber method would disturb the kinetics associated with evaporation.

To date, there is no consensus as to which ET partition method is most accurate, so an absolute validation of the isotopic method is not possible (Kool et al., 2014; Sutanto et al., 2014). In some partition methods, soil evaporation and plant transpiration are measured at different spatial scales. Micro-lysimeters are considered a reliable method to measure E and often serve, in conjunction of eddy covariance measurement, as validation for the isotopic labeling method (Kool et al., 2014). In a review of isotope-based studies, Sutanto et al. (2014) found that T contributes generally more than 70% to the ET, while other techniques lead to smaller T/ET. In this study, the

result of isotopic labeling was in good agreement with soil lysimeter/eddy covariance measurements (Fig. 4). The E/ET ratio is expected to be greater when the atmospheric demand or water availability in the soil is higher. Kool et al. (2014) showed that E/ET seems to be particularly high (50–60%) in flood irrigated systems. In their study, soil evaporation rates initially are high following the wetting event, but decline rapidly as the soil surface dries.

5. Conclusions

At the sub-daily scale, we relate δ_T and δ_x to ET by taking the difference between δ_T and δ_x to define the relationship between the non-steady state behaviors ($\delta_T - \delta_x$) and ET. The differences between δ_T and δ_x were negligible when ET approached the daytime maximum, indicating that isotopic steady state (ISS) was reached during the early afternoon (13:00 - 15:00).

At the daily scale, excluding the result after the first irrigation event, the relative contribution of T to ET varied from 0.71 to 0.96 with the mean of 0.87 ± 0.052 for isotopic labeling, and from 0.66 to 0.95 with the mean of 0.86 ± 0.058 for lysimeter observations. The consistent results suggested that our isotopic labeling approach was robust ($p = 0.446$).

At the growing season scale (DOY 148-265), deep percolation (runoff water, $\delta_R = -7.37\text{‰}$) has $\delta^{18}\text{O}$ within the range of precipitation ($\delta_p = -5.70\text{‰}$) and irrigation water

($\delta_i = -8.66\text{‰}$) according to the prediction of isotopic mass conservation. Over the growing season, when net change in soil water storage become negligible (4.6 mm), the ^{18}O mass conservation requires that the decreased $\delta^{18}\text{O}$ of ET ($\delta_{\text{ET}} = -8.57\text{‰}$) be balanced by enhanced $\delta^{18}\text{O}$ of runoff water.

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Figure caption:

Fig. 1. Step changes in the H_2^{18}O (a) and H_2^{16}O (b) mixing ratios in response to valve switching between two air sample intakes.

Fig. 2. Mean diurnal time series of the difference between the $\delta^{18}\text{O}$ of transpiration (δ_{T}) and xylem (δ_{x}), evapotranspiration (ET) during the intensive field campaigns (a). Dependence of $\delta_{\text{T}} - \delta_{\text{x}}$ on ET is also shown (b). The error bar represents one standard error.

Fig. 3. Seasonal variation of the $\delta^{18}\text{O}$ of evapotranspiration (δ_{ET}), evaporation (δ_{E}), and transpiration (δ_{T} , equal to xylem water, δ_{x}) at early afternoon (13:00-15:00). Four irrigation event is also indicated for reference.

Fig. 4. Relative contribution of transpiration (T) to evapotranspiration (ET) using isotopic labeling and lysimeter/eddy covariance measurement. Leaf area index (LAI) and four irrigation events are also shown for reference. The error bar (one standard error) is also shown.

Fig. 5. A schematic representation of average isotopic signals ($\delta^{18}\text{O}$) of various ecosystem water pools and fluxes during the growing season. Numbers in parentheses indicate total fluxes in mm.

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Figure 5