Repeating seismicity in the shallow crust modulated by transient stress perturbations

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Abstract

Recent studies have reported seismic phenomena that are modulated by small stress perturbations (< 10 kPa), revealing their critically stressed nature. Such observations have been principally been limited to plate interfaces with their occurrence linked to high fluid pore-pressure. In this study, we report observations of nine repeating seismic sources in the shallow crust in Guerrero, Mexico that emit events at rates comparable to other seismic phenomena in low stress environments. Testing their susceptibility to small stress perturbations, we find that all nine sources appear to be modulated by mining activity, tides, and a large slow slip event (Mw 7.5). Our results suggest that the fault conditions necessary for low effective stress seismicity can occur away from plate interfaces.

Keywords: low effective stress, repeating seismicity, dynamic/static stress triggering, slow slip

1. Introduction

There has been a growing number of reports in the literature that have established links between the timing of seismic events and small stress perturbations, such as tidal oscillations and dynamic stresses from teleseismic earthquakes (e.g. Rubinstein et al., 2007; Peng et al., 2008; Thomas et al., 2009). Whether it be
5 along the deep roots of plate boundaries, as is the case for low-frequency earthquakes (Shelly et al., 2006) and tectonic tremor (Obara, 2002), or in the cryosphere (Peng et al., 2014), the triggering of such seismic events by stresses less than 10 kPa imply that they occur under low effective normal stress. The critically stressed nature of both of these environments is most often explained by high pore fluid pressures, due to

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metamorphic dehydration at plate interfaces (Thomas et al., 2009; Audet et al., 2009; Song et al., 2009) and
10 the presence of water throughout the cryosphere.

Given the low effective stress environment of these seismic events, the rupture cycles and consequently the
event rates of these seismic events are much quicker than classical earthquakes. Though low effective stress
events occur at rapid rates, the term repeating earthquakes is most often used to refer to moderately sized
earthquakes located in the shallower portions of plate interfaces that repeat every few months or years (e.g.
15 Vidale et al., 1994; Nadeau et al., 1995). Based on their spatial distribution and inter-event times, several
studies have used the timing of repeating earthquakes to estimate the slow deformation in creeping patches
along plate interfaces (Nadeau and McEvilly, 1999; Igarashi et al., 2003; Uchida et al., 2003).

We focus on a dense linear seismic network (Caltech, 2007) in Guerrero, Mexico that operated during the
large 2006 slow-slip event that occurred at 45 km depth and produced a dislocation of about 16 cm along
20 the subduction interface with an equivalent magnitude of Mw 7.5 (Kostoglodov et al., 2010; Radiguet et al.,
2011) (see Fig. 1). A recent effort to systematically search within this continuous dataset for repeating
seismicity generated a dense catalog of more than 1.8 million low-frequency earthquakes (Frank et al., 2014).
The detection method consists of two steps, the first of which is an automatic detection algorithm proposed
by Frank and Shapiro (2014), called the beamformed network response, that consists of searching through
25 a dataset for seismic energy that originates from a theoretical source location. Then each of the detected
events are used as an event template in a matched-filter search (Gibbons and Ringdal, 2006), a network-based
search that correlates template waveforms in a sliding window across all stations and components to look
for events whose waveforms are significantly similar to those of the template. With a large enough seismic
network, this powerful detection tool that can even identify events hidden within the ambient noise.

30 The family of multiplets, defined as the events detected using a given template and representing the
seismicity originating from a single source, are more easily characterized than the templates themselves
thanks to increased signal-to-noise ratios after the constructive stacking of the multiplet waveforms. The
event family at any given source represents a time history of the stress and slip regimes at that location
and has been used to reveal small transient slip events (Frank et al., 2015a). Not all of the event families
35 detected in Guerrero, Mexico by Frank et al. (2014), however, were identified as low-frequency earthquakes
located along the plate interface. We study here a group of nine event families comprised of 8121 events that
represent superficial rapidly repeating seismic sources.

2. Results and interpretation

2.1. Repeating seismicity waveforms and recurrence timing

40 The stacked waveforms of these repeater families (Fig. 2 and Fig. S1) do not display any clear body
wave arrivals and are dominated by long-duration surface waves as evidenced by the particle motion of N-S
oriented Rayleigh waves (Fig. 2b), suggesting a superficial source. The arrival time moveout of each of the
nine families are very similar and indicate a common origin towards the south of the seismic network. We

approximate the velocity of the surface waves with the regional shear wave speed (Iglesias et al., 2010) and
 45 locate each repeater family using the location method of Frank et al. (2014), which maximizes the aligned
 and stacked seismic energy over a 3-D grid of possible regional locations. Given the linear nature of the
 seismic network and that we can only locate each event family with one seismic phase, the resulting locations
 are not well constrained. Taking advantage of their similar moveouts, we instead determine a general
 location for all nine repeater families by stacking their location likelihoods. The maximum of the stacked
 50 location likelihood distribution shown in Fig. 1 is close to the surface in a minimally populated region of
 heavy mining activity in Guerrero.

Looking at the occurrence of the repeating seismicity, we frequently observe the events with recurrence
 intervals, or inter-event times, measured in multiples of a day (Fig. 3 and Fig. S1), where the first event of
 the day is closely followed in a matter of seconds to hours by a number of multiplets from the same source.
 55 These bursts are consistently observed at around 4 PM local time every day of the week except Sunday.
 Histograms of the repeater seismicity as a function of hour of day and weekday (Fig. 3b and c) show that
 the large majority of seismicity occurs between 2 and 7 PM (local Central Standard Time) and that there is
 about 80% less chance of observing an event on Sunday during the afternoon compared to any other day of
 the week. We finally compare the observed activity pattern with that of the previously reported repeating
 60 low-frequency earthquakes (Frank et al., 2014) in Fig. S2 and find that hour of day and weekday occurrence
 of the low-frequency earthquakes is clearly different. We therefore suggest that these nine repeating families
 are a distinct event type that originates from a shallow source region and not from the subduction interface
 at 40 km depth.

We acknowledge that there is the possibility that the repeating seismicity we report here is not triggered
 65 seismicity within the crust but actually controlled blasts that originate from the mine. Discriminating between
 the two possibilities is difficult as both mining blasts and earthquakes can generate the distinct low-frequency
 stacked repeater family waveforms (see Fig. 2 and Fig. S3) at the distances that we observe (~ 100 km to
 AMAC) (pers. comm. Joan Gomberg, Jul 27 2015). We suggest, however, that the repeaters reported here
 are more likely to be crustal seismicity because mining blasts are usually programmed on a strict schedule
 70 between shifts (typically between 3 and 6 PM) and are not set at night (pers. comm. Gerrit Olivier, Nov 20
 2015), making it difficult to explain the *rest-of-day* seismicity as controlled blasts.

2.2. Triggering by anthropogenic activity

Taking into account these different observations, we suggest that the observed repeaters are seismic events
 modulated by local mining activity. A recent study of the local seismicity near Beijing, China observed a
 75 similar apparent link between mining-related activities and triggered seismic events (Wang et al., 2015). To
 separate the cataloged repeater seismicity into groups based on whether the events are potentially dynamically
 triggered by human activity, we divide the events for each of the nine families into two populations based
 on the hour they were detected (see Fig. 3b): (1) *afternoon* events that were observed between 2 and 7 PM

local time and are most likely triggered by controlled mining blasts; (2) *rest-of-day* events that were detected outside of that time period.

We first compare the stacked waveforms of the two different event populations for each family, an example of which is shown in Fig. S4. The stacked waveforms are extremely similar with the same onset times and phases throughout the wave train being reproduced in both populations across the entire network. This waveform similarity implies that the two different population of events originate from the same seismic source. We note that only five of the ten stations were used for the matched-filter search to reduce the computational cost. The high signal-to-noise ratios for both event populations on PLAT, XALI, and TONA that were not used for the detection of the repeater event family in Fig. S4 also ensures that the majority of *rest-of-day* events are not false detections.

We suggest therefore that the *afternoon* population represents the events triggered by mining blasts, while the *rest-of-day* events represent the ambient seismicity not directly induced by blasts. We note as a caveat that the proximity of the repeater families to a large open-pit mine is likely not a coincidence: long term changes to the local stress field caused by mining activity as well as the potential for delayed triggering by controlled blasts are likely contributing factors in facilitating the *rest-of-day* seismicity (McGarr et al., 2002). To refine the repeating seismicity’s location, we stacked the waveforms at MEIG, a broadband seismic station maintained by the Servicio Sismológico Nacional and collocated at the MEZC cGPS station, close to the largest local mine, the Los Filos gold mine (see Fig. 1). Using the event times detected with the MASE network (Caltech, 2007), the stacked waveforms for all nine families at MEIG are observed before XALI, the first arrival MASE station (see Fig. S1). We then rotated the horizontal components at MEIG 40° clockwise from North to the radial and transverse components with respect to the source-receiver azimuth, using the Los Filos mine as the source location. Such a rotation suppressed the first arrival phase on the transverse component, suggesting that it is a P-wave. We show an example in Fig. S5 with time delays between the picked P, S, and Rayleigh phases that correspond to a source less than 10 km from MEIG, which, along with the 40° azimuth, support our interpretation that this superficial repeating seismicity originates close to the Los Filos mine.

To evaluate whether the *afternoon* population is triggered, we picked the maximum amplitude for every event within the frequency band of 1–2 Hz that the events were detected in. We find that the amplitudes of the *afternoon* population for each family are systematically two to five times larger than the *rest-of-day* population (Fig. S6). This is similar to observations of triggered tectonic tremor (Rubinstein et al., 2007; Peng et al., 2008) that have amplitudes ten times larger than ambient tremor and supports our idea that the *afternoon* population represents triggered seismicity. Despite the low signal-to-noise ratio (Fig. S6), we still observe a relatively fast seismicity rate of more than 100 *rest-of-day* events per year per source, which along with a strong sensitivity to dynamic stress perturbations during the afternoon suggests the repeater sources are in a critically stressed state.

2.3. Long-term evolution of repeater seismicity

115 To investigate the long-term rate evolution of the repeater seismicity during the 2006 slow slip event, we perform an analysis used recently to investigate fault conditions along the Guerrero subduction interface (Frank et al., 2014, 2015b). We first calculate the cumulative event count for the entire 2.5 year duration of the dataset and then remove the linear trend that explains the nearly constant activity observed before April 2006, the start of the 2006 slow slip event. This detrended cumulative event count is computed for 120 each of the nine repeater families and is shown alongside the detrended surface displacement measured by two local cGPS are shown in Fig. 3e. Over a period of six months in 2006, we observe a significant increase of repeater activity that starts synchronously with the GPS displacement that is associated with the slow slip event. The repeater seismicity and the GPS then return to pre-slow slip rates at the same time. We note that we are, however, unable to categorically reject the possibility that the increased repeater seismicity 125 is due to an unrelated but coincident increase of the rate of mining activity given that we were not able to obtain the mining blast record.

One possible interpretation of this transient increase of superficial seismicity is that it is the response to a temporary change of the local stress field near the surface resulting from the extension of the continental crust during the slow slip. Because the increased rate of repeater seismicity returns to previous levels after the slow 130 slip event, the transient stress change is correlated with the rate of seismicity and, consequently, the strain rate of the slow slip event, similar to what was observed for crustal seismic velocity changes (Rivet et al., 2011, 2014). This correlation between stress and strain rate implies a crustal rheology that is at least partially viscous. We use the time history of the inverted static strain within the continental crust that was constrained from cGPS observations to estimate the resulting elastic stress perturbation (Radiguet et al., 135 2011). The maximum transient dilatancy increment less than 10 km from the surface is about 10^{-7} . We apply the volumetric stress-strain relationship of $\sigma = K\epsilon$, where σ is the stress, K is the bulk modulus, and ϵ is the dilatancy or volumetric strain. If we multiply the estimated transient dilatancy by a typical crustal bulk modulus of 40 GPa, the predicted stress perturbation at the surface during a large slow slip event is on the order of 4 kPa. This stress perturbation is on the same order of magnitude as the stresses 140 induced by passing teleseismic waves and tidal loading that have been seen to trigger other low effective stress phenomena, such as tectonic tremor and icequakes (Thomas et al., 2009; Peng et al., 2014; Gomberg et al., 2008; Nakata et al., 2008; Zigone et al., 2012).

2.4. Modulation by earth and ocean load tides

If the repeater events are susceptible to transient stresses as small as several kPa, one would expect them 145 to also be sensitive to tidally induced stresses. The strong 24 hour periodicity induced by the daily mining activity, however, makes it difficult to determine through standard tidal analyses (e.g. Thomas et al., 2009) whether the *rest-of-day* seismicity is correlated with the tides. We therefore develop a new method to analyze the potential tidal modulation of the repeated seismicity.

We first model the strains due to the ocean load and the solid Earth body tides at the surface at the
 150 MEZC cGPS station for every hour during the analyzed 2.5 year dataset using the SPOTL program (Agnew,
 2012). Given the shallow source depth, we assume plane strain and model the 2-D horizontal strain tensor
 every hour. This therefore provides us with the set of strain tensors during the analyzed dataset, $\epsilon_{ij}(t)$, where
 t is time discretely sampled every hour and i and j are the tensor components and respectively represent
 east-west and north-south strain. We can then convert each strain tensor to its equivalent stress tensor,
 155 assuming linear elasticity and a typical shear modulus of 35 GPa. The resulting stress tensor $\sigma_{ij}(t)$ has three
 independent components: σ_{ii} , σ_{jj} , and $\sigma_{ij} = \sigma_{ji}$. To reduce the number of independent quantities from three
 to two, we represent the stress tensor at each time t by its: (1) average principal extensional stress, $\sigma^e(t)$
 and (2) maximum shear stress, $\sigma^s(t)$.

To avoid the strong daily periodicity of the *afternoon* events, we first resample $\sigma^e(t)$ and $\sigma^s(t)$ to remove
 160 the time periods that correspond to the afternoon (2 - 7 PM), giving us $\sigma^e(t_r)$ and $\sigma^s(t_r)$ where t_r represents
 all hours during the 2.5 year dataset outside the afternoon hours. These two quantities, $\sigma^e(t_r)$ and $\sigma^s(t_r)$,
 therefore represent the modeled distribution of stresses expected for a Poisson occurrence of events for the
rest-of-day hours. To compare this modeled distribution to the observed distribution of stresses during the
rest-of-day events, we resample t_r to the set of *rest-of-day* event times τ_r (rounded to the nearest hour).
 165 The observed distribution of principal and maximum shear stresses during the *rest-of-day* events is therefore
 represented as $\sigma^e(\tau_r)$ and $\sigma^s(\tau_r)$.

Now that we can directly compare the observed distribution of the principal and maximum shear stresses
 to the modeled distributions, we compute the histogram of both stresses, σ^e and σ^s , for the observed (O)
 distribution hours τ_r and the modeled (M) distribution hours t_r using 50 bins and then apply a 10-bin width
 170 smoothing filter (see Fig. 4a). We then calculate the normalized difference between the two stress histograms
 as $(O - M)/M$. A positive difference at a given stress indicates more events are observed than expected if
 the event timing was completely uncorrelated with the tidally induced stress.

As shown in Fig. 4, we find that there is a significant difference between the modeled and observed distri-
 butions of the average principal extensional stress with more than a 10% event excess during extension. This
 175 observation is corroborated by the distribution of average principal stress rates shown in Fig. 4c, computed as
 described above and then taking the hourly derivative of the average principal stress. More repeater events
 occur than expected when stress rates are close to zero and associated with stress maxima and minima. That
 there are less observed events during periods of rising stress and more events than expected during periods of
 falling stress suggest that the repeating seismicity occurs after the stress reaches a maximum and therefore
 180 not at stress minima. Comparing the modeled and observed maximum shear stress, we do not observe any
 systematic differences. We therefore suggest that the repeater events are modulated by tidal stresses and are
 most likely to occur during peak periods of extension.

3. Discussion and conclusions

Following our observations of mining blast triggering and modulation by tides and slow slip, we propose that the repeater sources are in a low effective normal stress environment. This is in contrast to the larger repeating earthquakes observed along the San Andreas fault and in Japan (Nadeau and McEvilly, 1999; Igarashi et al., 2003; Uchida et al., 2003) that do not exhibit any tidal modulation (Thomas et al., 2009). Along with indirect evidence of a volumetric deformation (Rivet et al., 2011, 2014), we suggest that a non-linear transient extension within the crustal column is correlated with the slip rate of the large 2006 slow slip event and this transient extension further reduces the effective normal stress in the repeater source region and increases the probability that an event will be triggered. The critically stressed environment of these repeaters, as evidenced by the correlation of their activity with stress perturbations of several kPa due to tides and slow slip, is likely linked to the presence of fluids (Jödicke et al., 2006) similar to other low effective normal stress phenomena such as low-frequency earthquakes, tectonic tremors, and icequakes (Thomas et al., 2009; Obara, 2002; Peng et al., 2014; Gombert et al., 2008; Zigone et al., 2012). We note that there are other potential factors that could contribute to a low stress environment, such as a weak host rock that is strongly fractured or a less consolidated lithology that could be expected in the shallow crust.

The repeating seismicity that we report here is distinct from the repeating earthquakes that have been observed in other regions (e.g. Vidale et al., 1994; Nadeau et al., 1995; Igarashi et al., 2003), for two reasons: (1) the repeating sources are not located along a plate boundary, and (2) the seismicity rates we observe are an order of magnitude faster (>300 events per year per source). Our results therefore show that low effective stress repeating seismicity such as low-frequency earthquakes can occur within the shallow crust and the tectonic conditions necessary for low stress phenomena are not limited to plate boundaries.

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Acknowledgments

We thank Mathilde Radiguet, Diane Rivet, and Nathalie Cotte for providing us with the 2006 slow-slip event dilatancy and GPS data as well as Caltech for making the analyzed Meso-America Subduction
290 Experiment dataset available. We also thank Gregor Hillers, Gerrit Olivier, Zhigang Peng, Amanda Thomas, Andrey Khokhlov, Duncan Agnew, and Luis Rivera for discussions that improved this manuscript. SSN data was obtained by the Servicio Sismológico Nacional (México) and acquisition and distribution was thanks to its personnel. This work was supported by the Agence Nationale de la Recherche (France) under the contract RA0000CO69 (G-GAP) and the DataScale project, by the European Research Council under the contract
295 FP7 ERC Advanced grant 227507 (WHISPER), by PAPIIT IN110514 and CONACYT 178058 (Mexico), by the Russian Science Foundation (grant 14-47-00002), and by the National Science Foundation (NSF) grant EAR-PF 1452375.

Figure 1: Rapid superficial repeaters in Guerrero, Mexico. The blue, inverted triangles represent the analyzed seismic network (stations from north to south: AMAC, CASA, BUCU, CIEN, TOMA, ZACA, SATA, TONA, XALI, and PLAT) and the green triangles represent two local GPS stations. The yellow star represents the location of the Los Filos gold mine, shown in the inset (© Google Earth). The distribution of the summed beamformed network response (Frank and Shapiro, 2014) of the nine event families between 90% and 100% of the maximum likelihood is shown in both panels. The geometry of the top of the subducting Cocos slab is shown by the contours in a and the solid black line in b (Kim et al., 2013). (a.) The yellow patch shows the area of the subduction interface that slipped more than 10 and 15 cm (dashed contours) during the 2006 slow slip event (Cavalié et al., 2013). (b.) The portion of the subduction interface that slipped more than 15 cm during the 2006 slow slip event is indicated by the yellow patch (Cavalié et al., 2013). The dotted inverted triangle indicates a patch of high conductivity, evidenced by a magneto-telluric study (Jödicke et al., 2006).

Figure 2: Rapid superficial seismic repeater waveforms and particle motions. The stacked waveforms of the repeater families (a) are dominated by long duration surface waves (filtered here in the analyzed 1–2 Hz frequency band), whose partial motion (b) suggest a N-S oriented Rayleigh wave. Both *afternoon* (in red) and *rest-of-day* (in dark grey) event populations produce the same stacked waveforms, confirming that the observed repeater events originate from the same source regardless of the hour of day.

Figure 3: Modulation of low effective stress repeating seismicity. **a.** The recurrence intervals for each of the nine families, containing a total of 8121 multiplets, plotted on top of one another. The two horizontal red lines, plotted at recurrence intervals of one and two days, highlight the observed characteristic recurrence activity of the repeaters. The repeater events are divided into two populations based on the hour of day that they occur (b): the majority which occurs during the afternoon between 2 and 7 PM (red); and the *rest-of-day* events (dark gray). The weekday distribution (c) of the *afternoon* population shows an ~80% less chance to observe events on Sunday, suggesting an anthropogenic influence, compared with the *rest-of-day* events that are equally distributed throughout the week. **d.** This is confirmed visually by arbitrarily plotting dotted lines at 4 PM every day of the week (except Sundays, shown as red patches). **e.** The mean detrended cumulative count of the nine repeater families (black) represents the long-term activity of the repeater families; the individual activity trends of the nine families are plotted in grey. There is a significant increase of repeater activity that is synchronous with the large 2006 slow slip event, as evidenced by the surface displacement at the closest cGPS station MEZC (plotted in solid purple for south-north and dashed purple for west-east).

Figure 4: Evaluating the modulation of the repeater seismicity by tidally induced principal stresses. Due to the strong daily periodicity of the *afternoon* events, we only consider the *rest-of-day* events and time period. The modeled (dashed line) and observed (solid red) normalized histograms, or probability distributions, of the average principal stress in (a) are significantly different at positive stress, representing extension. This is further emphasized in (b), where an observed event excess at high positive stresses implies a tidal modulation of the repeater events during extension. The difference between the modeled (dashed line) and observed (solid red) normalized probability distributions of the average principal stress rate in (c) show that significantly more repeater events occur at peak stress (when the stress rate = 0).

Figure 1

Figure 2

Figure 3

Figure 4