CO-Induced Inversion of the Layer Sequence of a Model CoCu Catalyst

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ABSTRACT

Experimental X-ray photoelectron spectroscopy (XPS) and theoretical density functional theory (DFT) calculations reveal the electronic and structural properties of CoCu catalysts before and after CO adsorption. DFT calculations show that, prior to CO adsorption, CoCu has a high tendency to self-assemble into a Co@Cu core-shell structure, which is in accordance with previous atom probe tomography (APT) results for CoCu based systems and the known mutually low miscibility of Co and Cu. We demonstrate that Co and Cu are *electronically* immiscible using a density of states (DOS) analysis wherein neither metal's electronic structure is greatly perturbed by the other in "mixed" CoCu. However, CO adsorption on Co is in fact weakened in CoCu compared to CO adsorption on pure Co despite being electronically unchanged in the alloy. Differential charge density analysis suggests that this is likely due to a lower electron

density made available to Co by Cu. CO adsorption at coverages up to 1.00 ML are then investigated on a Cu/Co(0001) model slab to demonstrate CO-induced segregation effects in CoCu. Accordingly, a large driving force for a Co surface enrichment is found. At high coverages, CO can completely invert the layer sequence of Co and Cu. This result is echoed by XPS evidence, which shows that the surface Co/Cu ratio of CoCu is much larger in the presence of CO than in H₂.

1. Introduction

Industrial research with syngas (CO/H₂) at the *Institut Français du Pétrole* (IFP) in the 1970's [1-3] resulted in the formation of short-chain alcohols (up to C₆). A number of catalyst formulations were developed on the basis of CoCu and others. The incentive for choosing these two materials was to design a modified methanol catalyst based on Cu by taking advantage of the chain lengthening properties of Co metal. The authors claimed that the homogeneity of catalyst precursors during the preparation is essential for the final catalyst performance. A modification of the metallic cobalt by alloying was also envisaged even though both metals show low solubility with respect to each other (9% at the most according to the thermodynamic phase diagrams [4]).

Recent studies in our group demonstrated ternary CoCuMn catalysts, prepared by oxalate coprecipitation, to exhibit core@shell structured nanoparticles [5]. In studies with Atom Probe Tomography (APT) Co atoms were shown to form the core in these nanoparticles while all three elements were present in an otherwise Cu dominated shell. Assuming a similar Co@Cu core-shell structure applies to binary CoCu catalysts, pronounced reconstruction was observed in combined TEM/XPS studies (Transmission

Electron Microscopy/X-ray Photoelectron Spectroscopy). The surface composition of such catalyst was found to be strongly dependent on the activation procedure and the composition of the activating gas [6]. From a theoretical point of view, Ruban et al. and later Nilekar et al. produced density functional theory (DFT) evidence that Cu atom impurities in a cobalt host have a moderate-to-high segregation energy potential [7, 8]. This finding is in agreement with thermodynamic predictions; so Cu atoms would be expected to segregate away from Co in a CoCu catalyst.

The oxalate route to mixed-metal catalysts allows core@shell structured CoCu particles to self-assemble by stripping CO₂ molecules off the common oxalate framework [5, 6]. On the other hand, inverse Cu@Co structured particles can be produced on purpose using suitable experimental techniques [9, 10]. The Somorjai group has recently studied such catalysts in detail [9, 10]. While XPS data under vacuum conditions clearly indicated a Cu@Co core-shell structure, treatment under oxygen made Cu to segregate to the surface. This oxygen-induced segregation was shown to be irreversible: upon reexposure to H₂, the Cu remained on the surface rather than returning subsurface. The Somorjai group argued based on relative oxide formation energies that since CuO is less favorably formed over that of CoO then the driving force to create an oxide in the presence of O₂ could not account for the driving force to segregate Cu to the surface. Instead, they posited that kinetics or strain effects would have to be responsible for Cu segregation and that the permanency of its segregation is due to Cu's lower surface free energy, which itself stems from a lower bulk cohesive energy as compared to Co. Most recent work by the Somorjai group showed some Cu re-segregation was in fact possible

upon reexposure to H₂, but more Cu remained at the surface than was initially present in as-prepared inverse Cu@Co [9, 10].

Co/Cu based catalysts have also recently received attention from the experimental groups of Spivey and Salmeron [11-13]. The structure of on-purpose Cu@Co₃O₄ catalysts was elaborated upon by Subramanian et al. who, similar to the Somorjai group, showed that the Cu:Co surface ratio increased 5 times after high temperature oxidation [12]. Carenco et al. later showed that syngas exposure – but not H₂ or CO by themselves – actually depletes Cu@Co core-shell nanoparticles of Co, leading to hollow Cu-rich nanoparticles [13]. Both of these studies illustrate that the Cu@Co core-shell structure is very sensitive to adsorbates and thermal pretreatment, but why this is the case and the degree to which this can be expected is still unknown, and theoretical insights are necessary to further our understanding of CoCu-based catalysts.

Theoretical studies on bimetallic CoCu beyond the single atom Cu impurity work in a Co(0001) host [7, 8] are sparse. Most recent investigations of CoCu [14] assumed a CoCu structure with no justification for how the cobalt came to be on the surface, or, equivalently, how it came to be subsurface. To remedy this state of affairs, the present paper uses both experimental techniques and theoretical DFT calculations to elucidate the CoCu segregation behavior. This is done experimentally by examining CoCu-oxalate decomposed in H₂ and CO via XPS, and theoretically by examining the adsorption of a monolayer of Cu on a Co(0001) surface via DFT. We first provide experimental XPS evidence of Co segregation to the surface upon CO adsorption. Our theoretical analysis shows that Cu has a large thermodynamic tendency to segregate to the surface of Cu/Co(0001) leading to Cu surface termination in the absence of CO. Further theoretical

analyses reveals how the surface termination of Cu/Co(0001) ultimately alters the adsorption strength of CO compared to pure Co(0001). We follow this with a clear, theoretically explored demonstration of the reversal of Cu/Co(0001) surface termination by CO adsorption via DFT calculations, which are used to elucidate the experimental results. This CO-induced reversal ultimately results in the inversion of the layer sequence of the CoCu bimetallic system. We conclude with a discussion of the implications of these results with regard to CO hydrogenation using bimetallic CoCu-based catalysts and an outlook to future work.

2. Methodology

2.1 Experimental

CoCu samples were prepared using the oxalate route of co-precipitation. Details of the preparation method were provided earlier [5]. Catalysts with a Co/Cu ratio of 2/1 and 3/1 were selected for characterization by X-ray Photoelectron Spectroscopy (XPS). CoCu mixed oxalate samples were activated by heating *in situ* to 400°C under atmospheric pressure in a flow of 30 mL min⁻¹ of either hydrogen or carbon monoxide in a high-pressure reactor (base pressure 2x10⁻¹⁰ mbar) attached to the analytical XPS chamber via a fast sample transfer system. Samples were first pelletized and attached to a sample holder so as to allow resistive heating and temperature control with a thermal couple. Samples could be placed at choice in the reaction chamber or analytical system using a transfer rod and a rotary distribution chamber. Samples were heated resistively while exposing them to hydrogen and carbon monoxide. Samples were transferred into the analysis chamber (residual pressure 5 x 10⁻¹¹ mbar) after cooling to room temperature

and pumping off the gases. Details of the set-up were communicated earlier [15]. The X-ray source was operated with an acceleration voltage of 13 kV and an emission current of 10 mA. Non-monochromatized Mg K α and Al K α radiation were used for the analyses. High resolution scans were made for Co 2*p*, Cu 2*p*, C 1*s*, O 1*s* and Cu LMM employing a pass energy of 50 eV with a dwell time of 0.1 seconds and a step size of 0.05 eV. After subtraction of the Shirley-type background, the core-level spectra were decomposed into components with mixed Gaussian–Lorentzian (G/L) lines using a non-linear least-squares curve-fitting procedure. The C 1*s* peak at 284.4 eV was used as reference energy for charge correction.

2.2 Theoretical

2.2.1 Computational Details

Ab-initio Density Functional Theory (DFT) calculations with periodic boundary conditions were performed using the Vienna Ab-initio Simulation Package (VASP) [16]. To accurately account for magnetic contributions, any systems containing Co were spin polarized, leaving Cu(111) the only system left in the closed shell approximation. The Perdew-Burke-Ernzerhof (PBE) Generalized Gradient Approximation (GGA) [17] was used to describe the electron exchange and correlation functionals with core electrons accounted for by using Projector Augmented Wave (PAW) pseudopotentials [18] to solve the Kohn-Sham Equations [19]. The Brillouin Zone was sampled using a $5 \times 5 \times 1$ Monkhorst-Pack k-point mesh, and plane waves were expanded to an energy cutoff of 400 eV. We used an electronic energy difference of 1.0×10^{-4} eV/atom and force tolerance of 3.0×10^{-2} eV/Å to establish self consistent field (SCF) and geometric optimization convergence criteria.

With the one exception of pure Cu, all systems in this study were hcp(0001) facets modeled using a four layer $p(2 \times 2)$ supercell with a ~ 15 Å vacuum layer. If the model catalyst was pure Cu, then a four layer $p(2 \times 2)$ fcc(111) facet with a ~ 15 Å vacuum layer was used instead. In all models, the bottom two layers were fixed in their bulk positions (with an optimized lattice constant of 2.498 Å) while the top two layers and any adsorbates, if present, were allowed to relax in all directions.

We calculated the adsorption energy according to:

$$E_{ads} = \frac{E^{adsorbates+surface} - E^{surface} - E^{adsorbate}}{N_{CO}} \quad (1)$$

for CO on Cu(111) and Co(0001) and compared the results with those where the Brillouin Zone was sampled using a $6 \times 6 \times 1$ Monkhorst-Pack k-point mesh and the energy cutoff was increased to 450 eV. As can be seen from Table 1, the adsorption energies varied by only 0.05 eV at most.

Table 1. Comparison of DFT calculated adsorption energies with those reported in the literature. The Gajdoš et al. [20] DFT reference energies were calculated in VASP – as are those calculated here – and the Wellendorff et al. [21] DFT reference energies were calculated in Quantum ESPRESSO. For future reference in this paper, the CO adsorption energies on Cu and Co in perfectly segregated Cu/Co(0001) and 0.25 ML surface Co enriched Cu/Co(0001), respectively, are also shown.

Site	System/Set-up	PW-91	PBE	Ref. (PW-91) ^a	Ref. (PBE) ^b
top	CO/Co(0001) 400 eV, (5×5×1)	-1.73 eV	-1.68 eV	-1.65 eV	-1.53 eV
top	CO/Co(0001) 450 eV, (6×6×1)	-1.70 eV	-1.65 eV		
fcc	CO/Cu(111) 400 eV, (5×5×1)	-0.88 eV	-0.87 eV	-0.75 eV (top site)	-0.76 eV (top site)
fcc	CO/Cu(111) 450 eV, (6×6×1)	-0.92 eV	-0.91 eV		
top	CO/Cu(111) 400 eV, (5×5×1)	-0.73 eV	-0.72 eV		
top	CO/Cu(111) 450 eV, (6×6×1)	-0.78 eV	-0.76 eV		
Cu-fcc	CO/Cu/Co(0001) Fully Segregated 400 eV, (5×5×1)	-0.87 eV	-0.84 eV	-	-

Co-top	CO/Cu/Co(0001) 0.25 ML Surface Co 400 eV, (5×5×1)	-1.31 eV	-1.26 eV	-	-
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^a Gajdoš et al. [20]

^b Wellendorff et al. [21]

Several previous studies have examined the adsorption of CO on Cu(111) and Co(0001) and as can be seen from Table 1, we get an agreement to within 0.05 eV when comparing our values with those obtained when using a similar computational setup to that of Gajdoš et al [20]. Recently, the adsorption energies for these systems were also provided by Wellendorff et al. [21] which show that DFT functionals provide calculated adsorption energies that are in error when compared against experimental values. Some of these errors are significant, but the PBE functional used in this study is one of the most accurate functionals. As a point of reference, our DFT calculated CO adsorption energies on pure Cu(111) and Co(0001) are also compared in Table 1 to the DFT calculated adsorption energies used in the Wellendorf et al. PBE calculations.

For the Cu/Co(0001) systems in Table 1, the adsorption energy was calculated according to:

$$E_{ads} = \frac{E^{total}(x, y) - E^{total}(x = 0, 0) - N_{CO}E_{CO}}{N_{CO}} \quad (2)$$

where $E^{total}(x, y)$ is the total DFT energy per supercell of a surface that has x ML equivalents of Co terminating the surface (henceforth, “ x ML Co enrichment”) and y ML CO coverage. Further, N_{CO} is the number of CO molecules per unit cell and E_{CO} is the total DFT energy of a gas phase CO molecule.

To model Cu/Co(0001) systems, 4 of the top 8 atoms that make up the top two layers of a pure Co(0001) system were replaced with Cu. During permutations of the surface atoms, the Cu atoms were constrained to these top two layers; preliminary calculations

showed no significant change in energy if Cu were placed in the third layer as opposed to the second. We note here that since the bottom two layers are meant to electronically represent the semi-infinite Co bulk, the resulting Cu/Co ratio of 1/3 is not reflective of any particular Cu/Co ratio used in experiments.

Cu/Co(0001) atoms were permuted in every way possible so as to guarantee that all unique configurations of Cu and Co in the top two layers of the $p(2 \times 2)$ supercell were included in our calculations. This method ensured that the reported energy configurations correspond to minima.

2.2.2 Segregation Energy

Segregation energies ($E_{seg}(x, y)$) were defined similarly to Ma and Balbuena, [22] but always in reference to the total energy of the completely segregated Cu/Co(0001) surface having the same adsorbate (CO in this paper) coverage as the (anti)segregated surface. This can be written as:

$$E_{seg}(x, y) = \frac{E^{total}(x, y) - E^{total}(x = 0, y)}{N_{Co}} \quad (3)$$

Where $E^{total}(x, y)$ is the same as defined previously, and N_{Co} is the number of Co atoms per supercell brought to the surface layer, which is equivalent to the number of Co-Cu “swaps” made per supercell. A negative E_{seg} implies that anti-segregating Cu (or equivalently, creating a surface alloy) is energetically favorable, while a positive E_{seg} implies that Cu segregation is more favorable. By comparing each

system to an adsorbate coverage-equivalent surface (y) the energy lowering effect of adsorption is removed from the value and only segregation effects are left. As such, we interpret these segregation energies as effective driving forces for (anti)segregation.

2.2.3 Surface Energy Change

We calculate the surface energy for the case of CO on CoCu as:

$$\gamma(x, y) = \frac{E^{total}(x, y) - N_{Cu}E_{bulk}^{Cu} + N_{Co}E_{bulk}^{Co} - N_{CO}E_{CO}}{2A_{Cu/Co(0001)}} \quad (4)$$

where $E^{total}(x, y)$ is as defined above, E_{bulk}^{Cu} and E_{bulk}^{Co} are the total energy of the pure bulk Cu and Co, respectively, and E_{CO} is again the gas-phase energy of a single CO. N_{Cu} , N_{Co} , and N_{CO} are the number of Cu atoms, Co atoms, and CO molecules present per supercell, respectively, while $A_{Cu/Co(0001)}$ is the surface area of a single exposed surface in the supercell – there are two per supercell, hence the multiplication by 2.

We concern ourselves here only with the *change* in surface energy per CO as compared to the clean, perfectly segregated Cu/Co(0001) system and effectively subtract off the bulk terms:

$$\Delta\gamma(x,y) = \frac{\gamma(x,y) - \gamma(0,0)}{N_{Co}} = \frac{E_y^x - E_0^0 - N_{Co}E_{Co}}{2AN_{Co}} \quad (5)$$

Division by N_{Co} in Eq. (5) ensures that systems with different surface coverages can be fairly compared. N_{Co} is omitted if no CO is present. We also change to a shorthand notation for surface and total energies of systems with x ML Co enrichment and y ML CO coverage. A negative value of $\Delta\gamma$ is associated with a lowering of surface energy and thus an increase in thermodynamic stability.

3. Results

3.1 XPS Surface Analysis

We start by determining the relative Co/Cu surface amounts of samples prepared by oxalate co-precipitation. The considerations will be limited to samples with 2/1 and 3/1 nominal Co/Cu ratios. The XPS analysis was performed after *in-situ* decomposition of the oxalate precursors under hydrogen (H_2) or carbon monoxide (CO) at 400 °C. Since we aim at determining the relative Co/Cu surface amounts we shall focus on an analysis of the Cu 2*p* and Co 2*p* spectra. According to Figure 1, the Cu 2*p* profiles for samples heated in either H_2 or CO are dominated by the metallic Cu^0 state (only minor amounts of Cu^{2+} appear on the high binding energy side). More specifically, the spectra are characterized by a doublet spin split of 19.8 ± 0.1 eV typical of metallic copper. Note that the main peak (932.3 ± 0.06 eV) is assigned to either Cu^0 or Cu^+ . This is because both states have statistically similar binding energies and, therefore, the Auger LMM spectra

of copper have been used in a qualitative manner to differentiate between the two. As shown elsewhere [6], these Auger spectra demonstrate all samples to contain Cu in the metallic state, including the one treated in the presence of CO, which also shows the presence of some oxidized Cu. As for $\text{Co}_2\text{Cu}_1[\text{CO}]$, a *negative* binding energy shift is observed for Cu^+ , with $\text{Cu } 2p_{3/2}$ and $\text{Cu } 2p_{1/2}$ binding energies located at 930.7 eV and 950.6 eV, respectively. This anomalous negative binding energy shift has been attributed to tetrahedral Cu^+ species in cubic spinel oxides [23].

Figure 1. Cu 2p and Co 2p XPS spectra of CoCu catalysts activated in-situ in H_2 and CO gas, respectively. (a) and (b): Cu and Co 2p spectra for Co_2Cu_1 catalyst; (c) and (d) Cu and Co 2p spectra for Co_3Cu_1 catalyst.

The presence of both Co^{2+} and Co^{3+} in the corresponding Co 2p spectra confirms the possible presence of Co_3O_4 spinel phase, at least at the surface. All of the Co 2p spectra

251 contain Co^0 , Co^{2+} and Co^{3+} ; however, the relative intensities are varying. It is clear that
252 the deconvolution of the Co $2p_{1/2}$, $2p_{3/2}$ excitations is rather involved due to the
253 occurrence of satellite structures. While Co^0 dominates the surface of $\text{Co}_2\text{Cu}_1[\text{H}_2]$, it is
254 the Co^{2+} species which dominates both CO-treated samples. As discussed elsewhere (by
255 including an analysis of the C1s and O1s spectra) [6], Co-carbide formation in the CO-
256 treated samples may be responsible for the occurrence of higher oxidation states of Co
257 (and Cu) metal.

258 An important observation in relation with our XPS studies addresses the relative surface
259 ratio of Co to Cu. The Co/Cu surface ratios (0.86 and 0.68 for Co_2Cu_1 and Co_3Cu_1) are
260 clearly much lower than the bulk nominal ratios for the two samples. Similar Co/Cu
261 surface ratios were also found for the samples activated under He. This is in qualitative
262 accordance with the occurrence of a Co@Cu core-shell structure. Indeed, it has been
263 shown that a Co rich core phase is encompassed by a Cu rich shell phase once the CoCu
264 mixed oxalate has decomposed [5, 6]. While the Co/Cu ratio is lowest for activation in H_2
265 (or He), for activation in CO, it increases to values of 1.7 and 4.7 for Co_2Cu and Co_3Cu ,
266 respectively. Thus, considerable Co segregation takes place under the influence of a CO
267 gas phase. This chemical pumping is intensified because Cu enriched surface phases as
268 present in Co@Cu core shell structures bind CO relatively weakly. Once the restructuring
269 is consolidated, Co-rich surface phases can decompose adsorbed CO and possibly
270 accumulate surface carbon.

272 *3.2 Clean Surface CoCu Segregation*

Previous atom probe tomography (APT) results have shown that a CoCu-based catalyst self-assembles into a core-shell structure wherein Cu predominates in the shell and Co predominates in the core [5]. To test the hypothesis that this is the result of a large thermodynamic segregation tendency for Cu in Co, the atoms of the top two layers of the model Cu/Co(0001) system were permuted and then segregation energies and surface energy changes were calculated. The resulting permutations create non-equivalent configurations for many of the enrichment levels, examples for which can be found in the SI in figure S1. As can be seen in Figure 2, enriching the surface with Co causes the segregation energy to increase. This indicates that a mixed surface alloy has a very large driving force to segregate completely into a core-shell structure (movement from right to left in Figure 2(a)). This driving force is largest at 0.50 ML surface Co enrichment but is also large and positive for all surface Co concentrations. Figure 2(b) shows the corresponding values of $\Delta\gamma$ for the clean surface as the surface is enriched with Co. As can be seen, $\Delta\gamma$ steadily increases as more Co is brought to the surface (and likewise, Cu is pushed sub-surface). Thus, any degree of CoCu alloying would always favor perfect segregation. This phenomenon provides a convincing account of the CoCu core-shell structure found in our experimental APT results.

3.3 Electronic Properties of CoCu and CO Adsorption

The CoCu core-shell structure was further studied through calculations of the projected density of states (pDOS) for Co and Cu in the top and second layers of Co(0001), Cu(111), perfectly segregated Cu/Co(0001), and Cu/Co(0001) at 0.25 ML surface Co enrichment (henceforth “Cu_{0.75}Co_{0.25}/Co(0001)”). The

Figure 2. (a) Segregation energies, and (b) surface energy changes for Cu/Co(0001) in the absence of CO. Data points correspond to the different configurations that can be achieved through permutation of the Co and Cu in the top two layers of the surface. The solid lines connect the minimum energy configurations, for which top and side views are shown inset. The orange spheres are Cu atoms and the blue spheres are Co atoms.

results can be seen in Figure 3 where the unique energetic behavior of each metal is represented. As usual, the features are dominated by the d-band since the sp-band is too diffuse. To place all systems on equal energetic footing, each system is referenced to its vacuum energy. Fermi levels are indicated with vertical lines.

Comparing the pDOS between the pure metals and the two configurations of the CoCu alloy, the most striking feature of the plots in Figure 3 is the overall *lack* of change

Figure 3. Projected density of states (pDOS) for Co(0001), Cu(111), Cu/Co(0001), and Cu_{0.75}Co_{0.25}/Co(0001). The energies are referenced with respect to the vacuum energy. Solid black lines correspond to the pure metals, green dotted lines correspond to the perfectly segregated surface, and red dashed lines correspond to Cu_{0.75}Co_{0.25}/Co(0001). Vertical solid and dashed lines indicate the Fermi levels for pure Co(0001) or Cu(111), and both alloyed CoCu systems, respectively. Fermi level shifts were too small to visually distinguish between the perfectly segregated and 0.25 ML surface Co enriched systems and therefore are represented by a single vertical dashed line.

as Co and Cu systems are “alloyed”. There is some noticeable change in the shape of each band, indicating rehybridization occurs, but the energetic placement of most of the Cu and Co d-states is the same before and after alloying. The d-states of Co change the least, while the Cu d-states show a moderate shift in energy; the surface Cu d-band center moves toward the Fermi level by ~0.6 eV.

Table 2. Calculated d-band centers (in reference to the metal Fermi level) and work functions, $\epsilon_d - \epsilon_F$ and ϕ , respectively, for Co and Cu in Co(0001), Cu(111), Cu/Co(0001), and Cu_{0.75}Co_{0.25}/Co(0001). The table is set up to allow easy comparison between the pure metals and the Cu/Co(0001) surfaces, where deviations from the pure metal values can be viewed as deviation from electronic properties of the pure metal. ϵ_d^{surf} denotes the d-band center of the d-band projected onto a surface atom, while $\epsilon_d^{\text{subsurf}}$ is d-band center projected similarly for those atoms in the subsurface (the second layer). The values in this table correspond to the pDOS shown in Figure 3.

	Φ	$\varepsilon_d^{surf} - \varepsilon_f$	$\varepsilon_d^{subsurf} - \varepsilon_f$
Cu in Cu(111)	4.66 eV	-3.11 eV	-3.60 eV
Cu in Cu/Co(0001)	4.90 eV	-2.50 eV	no subsurface Cu
Cu in Cu _{0.75} Co _{0.25} /Co(0001)	4.99 eV	-2.49 eV	-3.05 eV
Co in Co(0001)	4.95 eV	-1.99 eV	-1.99 eV
Co in Cu/Co(0001)	4.90 eV	no surface Co	-2.07 eV
Co in Cu _{0.75} Co _{0.25} /Co(0001)	4.99 eV	-1.98 eV	-2.17 eV

322

323 In likewise fashion, the Fermi levels of both metals barely move at all in relation to
324 the vacuum energy. The Fermi level of Co moves insignificantly (the shift is ± 0.04 eV),
325 while that of the Cu shifts down by ~ 0.3 eV. From these shifts we can infer that Co is
326 practically unaffected by the presence of Cu, while Cu is slightly activated by Co.
327 However, in no case does the d-band of Cu become partially vacant; the Fermi level is
328 always above the top edge of the d-band. The implication here is that these changes in the
329 electronic structure of Cu are not enough to change Cu's nobility.

330 These aforementioned energetic changes are represented by d-band centers, $\varepsilon_d - \varepsilon_F$, and
331 work functions, ϕ , and are shown in Table 2. Based on the previous qualitative analysis
332 and the results presented in this table, we conclude that the Cu and Co in Cu/Co(0001)
333 are electronically unaffected by their respective alloying. To examine the effect of Cu on
334 Co further, we compare the adsorption energies for CO on a Cu(111) surface, a Co(0001)
335 surface, a Cu/Co(0001) surface, and a Cu_{0.75}Co_{0.25}/Co(0001) surface, which is given in
336 Table 1. CO adsorption on Cu in the fully segregated Cu/Co(0001) is very similar to CO
337 adsorption in a fcc hollow site of pure Cu(111) (-0.84 eV vs. -0.87 eV), which reflects
338 Cu's persistent nobility even when in contact with Co. In contrast to CO adsorption on

339 Cu, CO adsorption on Co in
 340 $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ is
 341 markedly different than on Co
 342 in $\text{Co}(0001)$ (-1.26 eV vs. -1.68
 343 eV). Even though Co in
 344 $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ is
 345 electronically very similar to
 346 Co in pure $\text{Co}(0001)$, CO
 347 adsorbs on the surface Co in
 348 $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ much

Figure 4. Top and side views of the differential charge density of CO adsorption on Cu's in (a) Cu(111) and (b) the fully segregated Cu/Co(0001). Blue shading indicates charge loss while yellow shading corresponds to charge gain. The lack of any significant change in the differential charge density between the two systems is posited to be responsible for the similarity of CO adsorption strength between the two systems. The color legend for the spheres is the same as Figure 1, except the red spheres are the oxygen atoms and the brown spheres are the carbon atoms. The isosurface level is set at 0.003 electrons/Bohr³.

352 rearrangement is occurring beyond that explicitly invoked in the model. These results are
 353 correlated to the surrounding environment of the Co within the first layer: the surface Co
 354 in $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ is surrounded by Cu, while in pure $\text{Co}(0001)$, it is surrounded by
 355 Co. In particular, the electron density in the surface Cu of Cu/Co(0001) shows less
 356 change than the electron density of the surface Co of pure $\text{Co}(0001)$. We see this in
 357 Figure 4, the differential charge density of CO adsorption in the fcc hollow sites of Cu in
 358 Cu(111) and the fully segregated Cu/Co(0001); and Figure 5, the differential charge
 359 density of CO adsorption on the top sites of Co in $\text{Co}(0001)$ and $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$.
 360 In Figure 4, the atoms that surround the three Cu atoms involved in CO adsorption show
 361 equivalent change in charge density whether the surface is pure Cu(111) (Figure 4(a)) or

fully segregated Cu/Co(0001) (Figure 4(b)). The differential charge elsewhere is practically equivalent, as well. This reflects very well the minor change in CO adsorption energy seen in Table 1. Conversely, the differential charge density for CO adsorption on Co(0001) seen in Figure 5 shows a distinct loss around the surrounding Co atoms (Figure 5(b)), which is not present around the surrounding Cu atoms in the $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ system (Figure 5(a)). The only region of charge density gain is around the CO adsorption site for the partially segregated $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ system. We therefore posit that the Cu in $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ cannot similarly contribute to the chemisorption of CO and that this might account for the lowering of the CO adsorption energy seen in Table 1.

To examine this further, we also examined the differential charge density of the clean metal surfaces of Co(0001) and $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$, in which each surface Co is

Figure 5. Top and side views of the differential charge density for CO adsorption on Co in (a) $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$, in which the surface Co adsorbent atom is surrounded by Cu, and (b) the pure Co(0001) system, where the adsorbent atom is surrounded by Co in the first layer. The charge density loss evident on the surrounding Co in the pure Co(0001) system that is not present in the Cu/Co(0001) system is posited to be the source of the higher adsorption energy of CO on Co in pure Co(0001) over that on Co in Cu/Co(0001). The isosurface level is set at 0.003 electrons/Bohr³.

completely surrounded at the surface by either Co or Cu as shown in Figure 6. The metal atoms in Figure 6 are kept in the same position as those of the CO adsorption systems in Figure 5 and then the surface Co atom – which is eventually the Co adsorbent atom – is removed to create a vacancy in the surface. The charge densities of these defective systems ($\rho_{\text{surface with vacancy}}$) and that of the lone Co atom ($\rho_{\text{Co atom}}$) that is removed are then calculated and compared to the charge densities of the metals without the vacancy ($\rho_{\text{full surface}}$), which are the systems in Figure 4 in which the CO has been removed. The forgoing explanation can be written in equation form as:

Figure 6. Top and side views of the differential charge density of Co adsorbent atoms in (a) the pure Co(0001) system and (b) the Cu_{0.75}Co_{0.25}/Co(0001) system. The blue and yellow shaded regions represent charge loss and charge gain, respectively. There is clearly more charge transfer between surface metal atoms in the Co(0001) system than in the Cu/Co(0001) system. This is posited to contribute to the ~0.4 eV discrepancy in CO adsorption energy between the two systems. The Co atoms in the top layer are blue spheres, the Co atoms in the second layer are purple spheres, and the Cu atoms are orange spheres. The isosurface level is set at 0.007 electrons/Bohr³.

$$\Delta\rho = \rho_{full\ surface} - \rho_{surface\ with\ vacancy} - \rho_{Co\ atom}$$

This differential charge density qualitatively shows the amount of charge that is being shared with the surface Co atom by the surrounding atoms. Thus, in effect, Figure 6 shows the extent to which the metal atoms surrounding the surface Co atom are able to provide/remove charge to/from the surface Co atom. What we see in Figure 6 is a much smaller amount of charge transfer between the surface Co atom and its surrounding surface Cu atoms (Figure 6(b)) than between the surface Co atom and its surrounding surface Co atoms (Figure 6(a)). This shows that Cu makes its electrons much less

available to the surface Co atom than does other Co. This is further highlighted when looking at the interactions between the first and the second layer in the $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$ system shown in Figure 6(b): there is larger amount of charge transfer between the Co atom in the first layer and the Co atoms in the second layer as compared to the corresponding charge transfer between the Co atom in the first layer and the surrounding Cu atoms in the first layer. Therefore, even before CO adsorbs onto these systems there is already a discrepancy between the amount of charge made available by pure Co(0001) and the amount of charge made available by $\text{Cu}_{0.75}\text{Co}_{0.25}/\text{Co}(0001)$. Such observations help explain the 0.42 eV drop in adsorption energy when the adsorbent Co is surrounded by Cu instead of other Co. Therefore, we speculate that the adsorption energy would be further lowered by the presence of even more adjacent Cu and would expect to see a similar dependence on systems containing noble metals due to their propensity to remain close shelled.

3.4 CO-Induced Co Antisegregation

X-ray photoelectron spectroscopy (XPS) experiments with CoCu catalysts have demonstrated a CO-induced increase in their surface Co/Cu ratios. This is strongly indicative of a surface restructuring during which Co is chemically “pumped” to the surface. To further support this experimental evidence the Cu/Co(0001) model surface was again subjected to surface permutations, but this time at various CO coverages. The $p(2 \times 2)$ supercell allows for four CO coverages: 0.25 ML, 0.50 ML, 0.75 ML, and 1.00 ML. With each degree of coverage present, the surface was permuted once more,

similarly to those performed for the clean catalyst. However, with CO adsorbed on the surface, much of the degeneracy present for a clean catalyst is removed, and many more Cu/Co(0001) configurations exist (for an example of non-equivalent configurations at the same CO coverage and Co enrichment level, see Fig. S2 in the SI). The segregation and surface energies for each configuration were calculated and the results are presented in Figure 7. Minimum energy configurations are shown as insets for each CO coverage.

An evident feature of these graphs concerns the segregation energies. A precipitous drop in segregation energy is associated with the first increase in surface Co concentration. This means that any amount of adsorbed CO strongly induces Co pumping where adsorbing CO provides a large driving force to reverse the segregation tendency of the clean CoCu surface.

As can be seen in Figure 7(a), at 0.25 ML CO coverage this initial drop (-0.42 eV/Co), which is associated with 0.25 ML surface Co enrichment, is the only negative value of segregation energy; increasing surface Co concentration beyond this would require an input of energy. $\Delta\gamma$ mirrors this result, and in this case the value of $\Delta\gamma$ increases steadily as surface Co is enriched beyond 0.25 ML. At low CO coverages, only low surface Co concentrations are thermodynamically favorable.

Figure 7. Segregation energies and surface energy changes for CO adsorbed on Cu/Co(0001) at (a) 0.25 ML CO, (b) 0.50 ML CO, (c) 0.75 ML CO, and (d) 1 ML monolayer of CO. For each CO coverage and Co enrichment, there are many possible configurations, which are represented by data points in each plot. Lines connect the minimum energy configurations for each CO coverage/Co enrichment system and their corresponding structures are shown as insets. Each abscissa range is set so as to best show the effect of enriching the surface with Co for that coverage and as such does not give a direct impression of the differences between coverages. These graphs are combined in Figure 4 to provide a full comparison of Co enrichment at each degree of CO coverage. The color legend for the spheres is the same as in Figure 3.

428 At 0.50 ML CO coverage – Figure 7(b) – there is a similar large drop (-1.15 eV/Co)
 429 in segregation energy upon surface Co enrichment to 0.25 ML, but further enriching the

surface with Co does not result in positive segregation energies like in the 0.25 ML CO coverage case. However, although Co concentrations past 0.25 ML have negative segregation energies, the values of $\Delta\gamma$ reveal that 0.25 ML and 0.50 ML surface Co enrichment is overall more thermodynamically favorable than higher surface Co concentrations. Therefore, we conclude that once again only low surface Co concentrations are attainable.

A 0.75 ML CO coverage results in a similar segregation energy behavior as the 0.50 ML CO coverage, but the behavior of $\Delta\gamma$ is quite different as surface Co enrichment is increased. We again have a large decrease (-1.40 eV/Co) in segregation energy at 0.25 ML surface Co enrichment, which is followed by much smaller, yet negative, segregation energies. Conversely, the plot of $\Delta\gamma$ shows a local minimum at 0.25 ML Co enrichment, but an absolute minimum at 1.00 ML Co enrichment. Thus, the presence of a 0.75 ML CO coverage on Cu/Co(0001) will ultimately result in a complete *inversion* of the CoCu layer sequence; the topmost layer of the catalyst can become 1.00 ML enriched with surface Co.

The largest driving force (-1.82 eV/Co) for 0.25 ML Co enrichment in the surface is obtained at 1.00 ML CO coverage. However, by looking at the value of $\Delta\gamma$ for this system, we can see that this is mostly due to the fact that a monolayer of adsorbed CO on a completely segregated Cu/Co(0001) surface is unstable (positive $\Delta\gamma$ of +1.16 eV/nm²/CO), and not due to any particularly high stability of the resulting Cu_{0.75}Co_{0.25}/Co(0001) system, which actually has a positive $\Delta\gamma$ value of 0.11 eV/nm²/CO. Even still, this full monolayer of CO does become more and more stable as Co is brought to the surface, and this progression results in a minimum energy configuration at 1.00 ML

453 Co enrichment. This is something of a
 454 moot point, however, since 0.75 ML
 455 CO coverage is enough to induce the
 456 inversion of Cu and Co layers and
 457 since the values of $\Delta\gamma$ at 1.00 ML CO
 458 coverage are never lower than the
 459 values of $\Delta\gamma$ at 0.75 ML CO coverage
 460 regardless of the surface enrichment of
 461 Co, as can be seen by examining
 462 Figure 8.

463 By plotting all the segregation
 464 energies and $\Delta\gamma$ data presented so far
 465 in Figure 8, we can see that

466 segregation energies are highest for the
 467 clean surface and lowest for the 1.00

468 ML CO coverage system with a monotonic change as the CO coverage increases or
 469 decreases (movement up and down the plot instead of left and right). That is, with
 470 increasing CO coverage, the driving force for segregation of Co and Cu is gradually
 471 altered from favoring a Cu terminated surface to a Co terminated one.

472 Conversely, there is no monotonicity in the plot of $\Delta\gamma$ as the CO coverage is
 473 increased up to 1.00 ML. In order to fully understand the implications of this plot, we
 474 must break down the trends for each CO coverage, and in this vain, we make the
 475 following observations:

Figure 8. Summary plots of the energetic effects of each CO coverage on the surface Co enrichment of Cu/Co(0001). The data points and lines used are consistent with those presented in **Figure 2** and **Figure 7**. It should be noted that for the clean surface, $\Delta\gamma$ has units of eV/nm² and not eV/nm²/CO.

- The lowest value of $\Delta\gamma$, and thus the most favorable configuration overall, is achieved at a 0.25 ML surface Co enrichment and a CO coverage of 0.25 ML. Thus, at even low CO coverages Co enrichment at the surface is thermodynamically favorable.
- The lowest overall values of $\Delta\gamma$ at the remaining degrees of Co enrichment (0.50 ML -1.00 ML) are all achieved at a CO coverage of 0.50 ML. However, the highest concentrations of surface Co do not correspond to the absolute minimum energy configuration of 0.50 ML CO, which is achieved at 0.50 ML Co enrichment, and which is very closely followed in favorability by a 0.25 ML Co enrichment – a mere 0.02 eV/nm²/CO higher than the value of $\Delta\gamma$ at 0.50 ML enrichment, which is well within the error of DFT. The 0.50 ML CO coverage results in a slight increase in likelihood of pumping Co to 0.50 ML surface enrichment. It is also worth noting that the 0.75 ML and 1.00 ML surface Co enriched configurations are a mere 0.18 eV/nm²/CO and 0.26 eV/nm²/CO higher than the 0.50 ML Co enriched configuration.
- The next most favorable configuration at the two highest surface cobalt enrichments is achieved by 0.75 ML of CO, and for this coverage, complete surface Co enrichment *is* the absolute minimum energy configuration.
- Figure 8 also confirms what was noted previously, that 1.00 ML CO coverage is always unfavorable compared to lower coverages no matter what amount of Co is pumped to the surface. This is due in part to the large nearest neighbor lateral interaction between the CO molecules, which may play a fundamental role in the underlying Fischer-Tropsch reaction mechanism on such catalysts [24].

499

500 **4. Conclusion**

501

502 We have shown here that Co and Cu have a very strong tendency to segregate into a
503 Cu shell atop a Co core and that CO adsorption on this fully segregated surface is
504 essentially very similar to that on pure Cu (provided that no surface rearrangement
505 occurs). On the other hand, CO adsorption on Co in $\text{Co}_{0.25}\text{Cu}_{0.75}/\text{Co}(0001)$ is markedly
506 weaker than that on pure Co even though Co appears to be electronically unaffected by
507 the presence of Cu according to our density of states analysis.

508 Whilst CoCu appears to exist as a Co@Cu core-shell structure we show that CO
509 adsorption can induce an anti-segregation of Cu and Co in CoCu whereby Co is
510 chemically “pumped” to the surface and is effectively exchanged for surface Cu. We
511 illustrate this using an experimental XPS analysis, which shows a significant increase in
512 the Co/Cu surface ratio upon interaction with CO gas, and using further DFT calculations
513 on the various permutations of the Cu/Co(0001) surface. The DFT calculations show that
514 the CO covered anti-segregated surface is thermodynamically favored over that of a CO
515 covered fully segregated surface. If CO is present at high coverages, the surface can
516 become 1.00 ML enriched in Co; the layer sequence of CoCu can become completely
517 inverted.

518 To put the results of this paper into a more general context, we retain that our
519 combined theoretical-experimental approach clearly demonstrates that major
520 restructuring occurs with segregated Co@Cu core-shell catalyst particles as used for the
521 CO hydrogenation to higher terminal alcohols. The next step will be to include CO

dissociation because we anticipate that surface carbon and oxygen formed during this step are essential in the construction of the catalytically active phase [25]. Based on our density of states results on Co and Cu in Cu/Co(0001) and on the comparison of CO adsorption on pure Co(0001) and on Cu/Co(0001), we suspect CO dissociation to be site selective. With this in mind, Ge and Neurock have previously established that the activation energies for CO dissociation on pure Co flat surfaces are prohibitively high, and that CO dissociation is only feasible on stepped and kinked Co surfaces [26]. We would therefore not expect to see CO dissociation occurring on flat Cu/Co(0001), though facets with this orientation may well play a role in establishing stable particle morphologies. With this, we further conclude that future work into CO dissociation on CoCu will include stepped and kinked surfaces.

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