CYCLIC ADAMS OPERATIONS

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ABSTRACT. Let Q be a commutative, Noetherian ring and $Z \subseteq \operatorname{Spec}(Q)$ a closed subset. Define $K_0^Z(Q)$ to be the Grothendieck group of those bounded complexes of finitely generated projective Q -modules that have homology supported on Z . We develop “cyclic” Adams operations on $K_0^Z(Q)$ and we prove these operations satisfy the four axioms used by Gillet and Soulé in [GS87]. From this we recover a shorter proof of Serre’s Vanishing Conjecture. We also show our cyclic Adams operations agree with the Adams operations defined by Gillet and Soulé in certain cases.

Our definition of the cyclic Adams operators is inspired by a formula due to Atiyah [Ati66]. They have also been introduced and studied before by Houton [Hau09].

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1. INTRODUCTION

In 1987, Gillet and Soulé [GS87] developed a theory of Adams operations on the Grothendieck group of chain complexes of locally free coherent sheaves on a scheme that satisfy a support condition, and they proved these operations satisfy the four key axioms (A1)–(A4) listed below. As a major application of this theory, Gillet and Soulé proved Serre’s Vanishing Conjecture in full generality. (Serre [Ser65] proved this in many cases, and Roberts [Rob85] also proved the general case, independently and at about the same time as Gillet and Soulé.) The goal of this paper is to develop an alternative, simpler notion of Adams operations on such Grothendieck groups, in certain important cases, one which is based on an idea due to Atiyah [Ati66]. As a consequence, we arrive at a proof of the full case of Serre’s Vanishing Conjecture that is considerably shorter than the proofs of Gillet-Soulé or Roberts.

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In more detail, suppose X is a separated, Noetherian scheme and $Z \subseteq X$ is a closed subset; define $K_0^Z(X)$ to be the Grothendieck group of bounded complexes of locally free coherent sheaves on X whose homology is supported on Z . This is the abelian group generated by the isomorphism classes of such complexes, modulo relations coming from short exact sequences and quasi-isomorphisms. For each integer $k \geq 1$, Gillet and Soulé define an operator

$$\psi_{GS}^k : K_0^Z(X) \rightarrow K_0^Z(X)$$

and they prove [GS87, Prop 4.12] that this operator satisfies the following axioms:

- (A1) ψ_{GS}^k is a homomorphism of abelian groups for all X and Z ;
- (A2) ψ_{GS}^k is multiplicative: if Z, W are closed subsets of X , $\alpha \in K_0^Z(X)$, and $\beta \in K_0^W(X)$, then

$$\psi_{GS}^k(\alpha \cup \beta) = \psi_{GS}^k(\alpha) \cup \psi_{GS}^k(\beta) \in K_0^{Z \cap W}(X),$$

where $- \cup -$ is the pairing induced by tensor product of complexes;

- (A3) ψ_{GS}^k is functorial, in the sense that, given a morphism $\phi : Y \rightarrow X$ and closed subsets $W \subseteq Y$ and $Z \subseteq X$ such that $\phi^{-1}(Z) \subseteq W$, we have an equality

$$\psi_{GS}^k \circ \phi^* = \phi^* \circ \psi_{GS}^k$$

of maps $K_0^Z(X) \rightarrow K_0^W(Y)$; and

- (A4) if Q is a commutative Noetherian ring with unit and $a \in Q$ is a non-zero-divisor, then

$$\psi_{GS}^k([K(a)]) = k[K(a)] \in K_0^{V(a)}(\text{Spec } Q),$$

where $K(a) := (\cdots \rightarrow 0 \rightarrow Q \xrightarrow{a} Q \rightarrow 0 \rightarrow \cdots)$ is the Koszul complex on a .

Serre's Vanishing Conjecture follows from the existence of such an operator for any one value of $k \geq 2$; see [GS87, §5].

Gillet and Soulé's construction of the operator ψ_{GS}^k involves first establishing λ -operations, λ^k for all $k \geq 1$, on $K_0^Z(X)$. These are defined using the Dold-Puppe construction [Dol58, DP58] (see also [Kan58]) of exterior powers of chain complexes concentrated in non-negative degrees. In detail, if $\mathcal{E}$ is a bounded complex of locally free coherent sheaves on X that is supported on Z and concentrated in non-negative degrees (i.e., $\mathcal{E}_i = 0$ for $i < 0$), we let $K(\mathcal{E})$ be the associated simplicial sheaf given by the Dold-Puppe functor K . Write $\Lambda_{\mathcal{O}_X}^k K(\mathcal{E})$ for the simplicial sheaf obtained by applying $\Lambda_{\mathcal{O}_X}^k(-)$ degree-wise to $K(\mathcal{E})$. Let $N(\Lambda_{\mathcal{O}_X}^k K(\mathcal{E}))$ be the chain complex given by applying the normalized chain complex functor N . Gillet-Soulé [GS87, §4] prove that, for all closed subsets Z of X and all integers $k \geq 0$, there is a function

$$\lambda_{GS}^k : K_0^Z(X) \rightarrow K_0^Z(X)$$

such that, if $\mathcal{E}$ is concentrated in non-negative degrees, then

$$\lambda_{GS}^k([\mathcal{E}]) = [N(\Lambda_{\mathcal{O}_X}^k K(\mathcal{E}))].$$

Moreover, they prove that the operations λ_{GS}^k , $k \geq 1$, make $\bigoplus_Z K_0^Z(X)$ into a (special) lambda ring. The operator ψ_{GS}^k is then defined, as is customary, to be $Q_k(\lambda^1, \dots, \lambda^k)$ where Q_k is the k -th Newton polynomial.

In this paper, we build operations that satisfy the four axioms (A1)–(A4) using a simpler construction, albeit one that exists only in a somewhat restricted setting. In detail, we fix a prime p and assume $X = \text{Spec}(Q)$, for a commutative Noetherian

ring Q such that Q contains $\frac{1}{p}$ and all the p -th roots of unity. For any closed subset Z of $\mathrm{Spec}(Q)$, we construct the p -th cyclic Adams operator, which is a function

$$\psi_{\mathrm{cyc}}^p : K_0^Z(Q) \rightarrow K_0^Z(Q),$$

characterized by the following property: if F is a bounded complex of finitely generated projective Q -modules, then

$$\psi_{\mathrm{cyc}}^p([F]) = [T^p(F)^{(1)}] - [T^p(F)^{(\zeta)}].$$

Here, $T^p(F)$ denotes the p -th tensor power of the complex F , equipped with the canonical, signed left action of the symmetric group Σ_p , and the superscript (w) denotes the eigenspace of eigenvalue w for the action of the p -cycle $(1\ 2\ \dots\ p) \in \Sigma_p$. In particular, the definition of ψ_{cyc}^p bypasses entirely the construction of λ -operations. The idea for the definition of ψ_{cyc}^p goes back to Atiyah [Ati66] (see also work of Benson [Ben84], End [End70], and Kock [Koc97] for similar constructions).

One of our main results is:

Theorem 1. *(See Theorem 3.7 for the precise statement.) For any prime p , the p -th cyclic Adams operation ψ_{cyc}^p satisfies the four Gillet-Soul axioms (A1)–(A4) on the category of affine schemes $\mathrm{Spec}(Q)$ with the property that Q contains $\frac{1}{p}$ and all p -th roots of unity.*

The hypotheses involving the prime p are not significant restrictions for many purposes. Note that if Q is local, then p is invertible in Q for all primes other than the residue characteristic. Moreover, the requirement that Q contain the p -th roots of unity is a mild one, since adjoining such roots gives an tale extension of Q . In particular, Serre’s Vanishing Conjecture is a direct consequence of the above Theorem, via the same argument used by Gillet and Soul; see Corollary 3.13.

Since the first version of this paper was made publicly available, we have learned that the operator ψ_{cyc}^p has also been defined previously by Haulion in his thesis [Hau09]. Haulion works more generally over schemes, but just for schemes defined over a ground field k not of characteristic p . (We do believe, however, that most of his proofs go through under the more general context of schemes over $\mathbb{Z}[\frac{1}{p}, e^{\frac{2\pi i}{p}}]$.) In his thesis, Haulion establishes the existence, naturality, additivity and multiplicativity of these operators — i.e., he establishes axioms (A1)–(A3) in the above list. He does not establish (A4), and his approach is different in that he bypasses introducing the power operations that we develop in Section 2. These power operations seem to be necessary for the proof of (A4), and also for our proof of the commutativity of the cyclic Adams operations in Section 4. For these reasons, we have kept this paper mostly unchanged from the original version, but we have added careful indications of which results presented here can also be found in [Hau09].

In addition to the results described above, we also address the issue of whether the operator ψ_{cyc}^p agrees with the p -th Adams operation of Gillet-Soul. We believe that they coincide whenever both are defined, but are only able to prove it in the case that $p!$ is invertible in Q ; see Corollary 6.14.

In developing the proof of Corollary 6.14, we also show that if $k!$ is invertible in Q , then Gillet and Soul’s operation λ^k may be defined by taking “naive” exterior powers of complexes; see Theorem 5.10. This fact is a “folklore” result (see the discussion at the beginning of 5), but we provide a careful proof here.

In addition to their simplicity, another advantage the operators ψ_{cyc}^p have over the operators defined by Gillet-Soul is that their definition ports well to other

contexts where the Dold-Puppe functors are unavailable. In a forthcoming paper we establish the existence of analogously defined operators ψ_{cyc}^p on the K -theory of matrix factorizations, and we prove that the analogues of the four Gillet-Soulé axioms hold. Using these properties, we prove a conjecture of Dao and Kurano [DK14, 3.1 (2)] concerning the vanishing of the θ -invariant.

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2. TENSOR POWER OPERATIONS

Let Q be a Noetherian, commutative ring, $Z \subseteq \text{Spec}(Q)$ a closed subset, and G a finite group. Let $\mathcal{P}^Z(Q; G)$ denote the category of bounded complexes of finitely generated projective Q -modules with homology supported on Z and equipped with a left G -action (with G acting via chain maps). Morphisms are G -equivariant chain maps. Equivalently, $\mathcal{P}^Z(Q; G)$ consists of bounded complexes of left $Q[G]$ -modules which, upon restricting scalars along $Q \subseteq Q[G]$, are complexes of finitely generated projective Q -modules supported on Z .

Let $K_0^Z(Q; G)$ denote the Grothendieck group of $\mathcal{P}^Z(Q; G)$, defined to be the group generated by isomorphism classes of objects modulo the relations

$$[X] = [X'] + [X'']$$

if there exists an (equivariant) short exact sequence $0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$ and

$$[X] = [Y]$$

if there exists an (equivariant) quasi-isomorphism joining X and Y . Observe that the group operation is realized by direct sum of complexes: $[X] + [Y] = [X \oplus Y]$.

We write $\mathcal{P}^Z(Q)$ and $K_0^Z(Q)$ when G is the trivial group.

Remark 2.1. $K_0^Z(Q; G)$ can equivalently be described as the abelian monoid of isomorphism classes of objects of $\mathcal{P}^Z(Q; G)$, under the operation of direct sum, modulo the two relations above. For observe that for any $X \in \mathcal{P}^Z(Q; G)$, we have the short exact sequence $0 \rightarrow X \rightarrow \text{cone}(X \xrightarrow{\text{id}} X) \rightarrow \Sigma(X) \rightarrow 0$, where $\Sigma(X)$ denotes the suspension of X . It follows that $[X] + [\Sigma(X)] = 0$, and hence that this monoid is an abelian group.

In particular, $K_0^Z(Q; G)$ has the following universal mapping property: given an abelian monoid M and an assignment of an element $(X) \in M$ to each object X of $\mathcal{P}^Z(Q; G)$ such that $(0) = 0$, $(X) = (X') + (X'')$ if there exists a short exact sequence $0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$, and $(X) = (Y)$ if X and Y are quasi-isomorphic, then there exists a unique group homomorphism $K_0^Z(Q; G) \rightarrow U(M)$ sending $[X]$ to (X) , where $U(M)$ denotes the group of units of M .

Tensor product over Q , with the group action given by the diagonal action, induces a pairing on $K_0^Z(Q; G)$ making it into a non-unital ring. If $Z = \text{Spec}(Q)$, then $K_0^{\text{Spec } Q}(Q; G)$ is a unital ring, with $1 = [Q]$, and there is a ring isomorphism

$$R_Q(G) \xrightarrow{\cong} K_0^{\text{Spec } Q}(Q; G),$$

where $R_Q(G)$ denotes the representation ring of G with Q coefficients: By definition, $R_Q(G)$ is the abelian group generated by isomorphism classes of projective

Q -modules equipped with a G -action, modulo relations coming from short exact sequences. The isomorphism sends the class of a representation $\rho : G \rightarrow \text{Aut}_Q(P)$ to the class of the evident complex concentrated in degree 0. The inverse map sends the class of a complex to the alternating sum of the classes of its components. As a special case of this, we have $K_0(Q) \cong K_0^{\text{Spec } Q}(Q)$.

For any $n \geq 1$, let Σ_n denote the group of permutations of the set $\{1, \dots, n\}$. For $n \geq 1$ and $X \in \mathcal{P}^Z(Q; G)$, let $T^n(X) \in \mathcal{P}^Z(Q; \Sigma_n \times G)$ be the complex $\overbrace{X \otimes_Q \cdots \otimes_Q X}^n$ equipped with the diagonal G -action,

$$g(x_1 \otimes \cdots \otimes x_n) = g(x_1) \otimes \cdots \otimes g(x_n),$$

and equipped with a Σ_n -action given by

$$\sigma(x_1 \otimes \cdots \otimes x_n) = \pm x_{\sigma^{-1}(1)} \otimes \cdots \otimes x_{\sigma^{-1}(n)},$$

where the sign is uniquely determined by the following rule: if σ is the adjacent transposition $(i \ i+1)$ for some $1 \leq i \leq n-1$, then

$$\sigma(x_1 \otimes \cdots \otimes x_n) = (-1)^{|x_i||x_{i+1}|} x_1 \otimes \cdots \otimes x_{i-1} \otimes x_{i+1} \otimes x_i \otimes x_{i+2} \otimes \cdots \otimes x_n.$$

As a convention, we define $T^0(X)$, for any X , to be the complex whose only non-zero term is Q , located in degree 0.

For $0 \leq i \leq n$, let $\Sigma_{i,n-i}$ denote the subgroup of Σ_n consisting of permutations that stabilize the subsets $\{1, 2, \dots, i\}$ and $\{i+1, i+2, \dots, n\}$. We identify $\Sigma_{i,n-i}$ with $\Sigma_i \times \Sigma_{n-i}$ in the obvious way. If $X \in \mathcal{P}^Z(Q; \Sigma_{i,n-i} \times G)$ then $Q[\Sigma_n] \otimes_{Q[\Sigma_{i,n-i}]} X$ is in $\mathcal{P}^Z(Q; \Sigma_n \times G)$.

Theorem 2.2. *For any Q , Z , G , and $n \geq 1$ as above, there is a function*

$$t_\Sigma^n : K_0^Z(Q; G) \rightarrow K_0^Z(Q; \Sigma_n \times G)$$

such that

$$t_\Sigma^n([X]) = [T^n(X)]$$

for any object X of $\mathcal{P}^Z(Q; G)$. Moreover, if $0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$ is a short exact sequence of objects of $\mathcal{P}^Z(Q; G)$, then

$$t_\Sigma^n([X]) = \sum_{i=0}^n [Q[\Sigma_n] \otimes_{Q[\Sigma_{i,n-i}]} T^i(X') \otimes_Q T^{n-i}(X'')].$$

Remark 2.3. See [Hau09, II.3.4 and II.3.8] for a similar result involving direct sums of complexes and for a method of reducing the case of a short exact sequence to a direct sum.

The proof of the Theorem occupies the remainder of this section.

Lemma 2.4. *The bi-functor*

$$\mathcal{P}^Z(Q; \Sigma_i \times G) \times \mathcal{P}^Z(Q; \Sigma_j \times G) \rightarrow \mathcal{P}^Z(Q; \Sigma_{i+j} \times G)$$

sending (X, Y) to $Q[\Sigma_{i+j}] \otimes_{Q[\Sigma_{i,j}]} X \otimes_Q Y$, equipped with the diagonal G -action, induces a bilinear pairing

$$\star = \star_{i,j} : K_0^Z(Q; \Sigma_i \times G) \times K_0^Z(Q; \Sigma_j \times G) \rightarrow K_0^Z(Q; \Sigma_{i+j} \times G).$$

The pairing is associative and commutative, in the sense that

$$(a \star_{i,j} b) \star_{i+j,k} c = a \star_{i,j+k} (b \star_{j,k} c)$$

and

$$a \star_{i,j} b = b \star_{j,i} a.$$

Remark 2.5. This “star pairing” is related to pairings considered by Atiyah [Ati66, §1] and Knutson [Knu73, p. 127]. See the discussion in §6.

Proof of Lemma 2.4. Note that $Q[\Sigma_{i+j}]$ is a flat $Q[\Sigma_{i,j}]$ -module, and hence this functor preserves short exact sequences and quasi-isomorphisms in each argument. It thus induces a bilinear pairing on Grothendieck groups as indicated.

Associativity holds since there is an isomorphism in $\mathcal{P}^Z(Q; \Sigma_{i+j+k} \times G)$ from

$$Q[\Sigma_{i+j+k}] \otimes_{Q[\Sigma_{i+j,k}]} (Q[\Sigma_{i+j}] \otimes_{Q[\Sigma_{i,j}]} X \otimes_Q Y) \otimes_Q Z$$

to

$$Q[\Sigma_{i+j+k}] \otimes_{Q[\Sigma_{i+j,k}]} X \otimes_Q (Q[\Sigma_{j+k}] \otimes_{Q[\Sigma_{j,k}]} Y \otimes_Q Z)$$

given by

$$\sigma \otimes \omega \otimes x \otimes y \otimes z \mapsto \sigma \omega \otimes x \otimes 1 \otimes y \otimes z.$$

As for commutativity, let $\tau := (1\ 2 \cdots i+j)^j \in \Sigma_{i+j}$, and let h denote the automorphism of Σ_{i+j} given by $\sigma \mapsto \tau \sigma \tau^{-1}$. Notice that h restricts to an isomorphism

$$\Sigma_{i,j} \xrightarrow{\cong} \Sigma_{j,i},$$

and, moreover, this isomorphism coincides with the map given by the composition of evident isomorphisms

$$\Sigma_{i,j} \xrightarrow{\cong} \Sigma_i \times \Sigma_j \xrightarrow{\cong} \Sigma_j \times \Sigma_i \xrightarrow{\cong} \Sigma_{j,i}.$$

It follows that one has an isomorphism in $\mathcal{P}^Z(Q; \Sigma_{i+j} \times G)$

$$Q[\Sigma_{i+j}] \otimes_{Q[\Sigma_{i,j}]} X \otimes_Q Y \xrightarrow{\cong} Q[\Sigma_{i+j}] \otimes_{Q[\Sigma_{j,i}]} Y \otimes_Q X$$

that sends elements of the form $\sigma \otimes x \otimes y$, where $\sigma \in \Sigma_{i+j}$, to $\sigma \tau^{-1} \otimes y \otimes x$. $\square$

Lemma 2.6. *Given a short exact sequence $0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$ in $\mathcal{P}^Z(Q; G)$, for any $n \geq 1$ there is a filtration*

$$0 = F_{-1} \subseteq F_0 \subseteq F_1 \subseteq \cdots \subseteq F_n = T^n(X)$$

in $\mathcal{P}^Z(Q; \Sigma_n \times G)$ such that

$$F_i/F_{i-1} \cong Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X'').$$

Consequently, in $K_0^Z(Q; \Sigma_p \times G)$ we have

$$[T^n(X)] = \sum_i [T^{n-i}(X')] \star_{n-i,i} [T^i(X'')].$$

Proof. We identify X' as a subcomplex of X . Define F_i as the image of

$$\alpha_i : Q[\Sigma_n] \otimes_Q T^{n-i}(X') \otimes_Q T^i(X) \rightarrow T^n(X)$$

sending $\sigma \otimes x_1 \otimes \cdots \otimes x_n$ to $\sigma(x_1 \otimes \cdots \otimes x_n)$, where $x_1, \dots, x_{n-i} \in X'$. In other words, F_i is the closure under the action of Σ_n of the image of the canonical map $T^{n-i}(X') \otimes_Q T^i(X) \rightarrow T^n(X)$.

The map α_i factors as

$$Q[\Sigma_n] \otimes_Q T^{n-i}(X') \otimes_Q T^i(X) \twoheadrightarrow Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X) \xrightarrow{\bar{\alpha}_i} T^n(X).$$

Also, the restriction of α_i to the subcomplex $Q[\Sigma_n] \otimes_Q T^{n-i+1}(X') \otimes_Q T^{i-1}(X)$ coincides with α_{i-1} . We have a right exact sequence

$$\begin{aligned} Q[\Sigma_n] \otimes_Q T^{n-i+1}(X') \otimes_Q T^{i-1}(X) &\rightarrow Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X) \\ &\rightarrow Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X'') \rightarrow 0. \end{aligned}$$

These facts imply the existence of a surjective map

$$(2.7) \quad Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X'') \twoheadrightarrow F_i/F_{i-1}$$

and it remains to prove it is injective too.

We may assume $\text{Spec}(Q)$ is connected, so that each complex X', X, X'' has well-defined total rank r', r, r'' , respectively, where we define total rank to be the sum of the ranks of all the components of a complex. Moreover, we have $r = r' + r''$. Then the total rank of

$$Q[\Sigma_n] \otimes_{Q[\Sigma_{n-i,i}]} T^{n-i}(X') \otimes_Q T^i(X'')$$

is $((r')^{n-i}(r'')^i) \binom{n}{i}$. Observe that

$$\sum_i ((r')^{n-i}(r'')^i) \binom{n}{i} = (r' + r'')^n = r^n.$$

But the sum of the ranks of the complexes F_i/F_{i-1} is also r^n , since they are the associated graded modules associated to a filtration of $T^n(X)$. It follows that each map (2.7) must be injective too. $\square$

We define a multiplicative abelian monoid M as follows. As a set, M is

$$\{1\} \times \prod_{i=1}^{\infty} K_0^Z(Q; \Sigma_i \times G) z^i,$$

the collection of power series in z of the form $1 + \alpha_1 z + \alpha_2 z^2 + \dots$ with $\alpha_i \in K_0^Z(Q; \Sigma_i \times G)$ for all i . We define a multiplication rule on M using the $\star$ pairings:

$$\left(\sum_i \alpha_i z^i \right) \star \left(\sum_j \beta_j z^j \right) := \sum_l \sum_{i+j=l} (\alpha_i \star_{i,j} \beta_j) z^l,$$

where by convention $\alpha_0 = 1, \beta_0 = 1, \alpha_0 \star \beta_j = \beta_j$, and $\alpha_i \star \beta_0 = \alpha_i$. The associative and commutative properties of $\star$ given in Lemma 2.4 imply that $(M, \star)$ is an abelian monoid.

For $X \in \mathcal{P}^Z(Q; G)$, define $t(X) \in M$ by

$$t(X) = 1 + [X]z + [T^2(X)]z^2 + \dots$$

By Lemma 2.6, $t(X) = t(X') \star t(X'')$ if $0 \rightarrow X' \rightarrow X \rightarrow X'' \rightarrow 0$ is a short exact sequence in $\mathcal{P}^Z(Q; G)$. If $X \xrightarrow{\sim} X'$ is a quasi-isomorphism in $\mathcal{P}^Z(Q; G)$, then the induced map $T^i(X) \rightarrow T^i(X')$ is also a quasi-isomorphism for all i , and hence $t(X) = t(X')$. By Remark 2.1, we get an induced group homomorphism

$$t : K_0^Z(Q; G) \rightarrow U(M)$$

landing in the group of units of M .

The function t_Σ^n is defined to be the composition of t with the function $U(M) \rightarrow K_0^Z(Q; \Sigma_n \times G)$ sending a power series to its z^n coefficient. The first assertion of Theorem 2.2 follows, and the second is a consequence of Lemma 2.6.

3. CYCLIC ADAMS OPERATIONS

We define a “cyclic” Adams operation, ψ_{cyc}^p , on $K_0^Z(Q)$ for each prime p . The definition is motivated by an observation of Atiyah [Ati66, 2.7]; see also Benson [Ben84], End [End70], and Kock [Koc97] for similar constructions. In the case $p = 2$, the operator ψ_{cyc}^2 was defined and developed in unpublished work of P. Roberts [Rob96], who in turn credited the idea to unpublished work of M. Hashimoto and M. Nori. Finally, as mentioned in the introduction, these operators have also been defined and developed by Houton [Hau09] when Q contains a field of characteristic different than p .

Throughout this section, assume p is a prime and Q is an A_p -algebra, where A_p is the subring of $\mathbb{C}$ defined by

$$A_p = \mathbb{Z} \left[\frac{1}{p}, e^{\frac{2\pi i}{p}} \right].$$

Define C_p to be the subgroup of Σ_p generated by the p -cycle $\sigma := (1\ 2\ \cdots\ p)$. For a p -th root of unity ζ (including the case $\zeta = 1$), let Q_ζ denote the $Q[C_p]$ -module Q equipped with the C_p -action $\sigma q = \zeta q$. Since Q is an A_p -algebra, we have $Q[C_p] \cong \bigoplus_\zeta Q_\zeta$ as $Q[C_p]$ -modules.

For $Y \in \mathcal{P}^Z(Q; C_p)$, define

$$Y^{(\zeta)} := \text{Hom}_{Q[C_p]}(Q_\zeta, Y) = \ker(\sigma - \zeta : Y \rightarrow Y).$$

Since Q_ζ is a projective $Q[C_p]$ -module, $Y \mapsto Y^{(\zeta)}$ is an exact functor, and hence it induces a map

$$\phi_\zeta^p : K_0^Z(Q; C_p) \rightarrow K_0^Z(Q).$$

Proposition 3.1. *Assume Q is an A_p -algebra. For each p -th root of unity ζ , there is a function*

$$t_\zeta^p : K_0^Z(Q) \rightarrow K_0^Z(Q)$$

with $t_\zeta^p([X]) = [T^p(X)^{(\zeta)}]$.

Proof. Restriction along the inclusion $C_p \hookrightarrow \Sigma_p$ determines a map

$$K_0^Z(Q; \Sigma_p) \xrightarrow{\text{res}} K_0^Z(Q; C_p).$$

We define t_ζ^p to be the composition of

$$K_0^Z(Q) \xrightarrow{t_\Sigma^p} K_0^Z(Q; \Sigma_p) \xrightarrow{\text{res}} K_0^Z(Q; C_p) \xrightarrow{\phi_\zeta^p} K_0^Z(Q).$$

□

Definition 3.2. For an A_p -algebra Q and closed subset Z of $\text{Spec}(Q)$, define the function

$$\psi_{\text{cyc}}^p : K_0^Z(Q) \rightarrow K_0^Z(Q) \otimes_{\mathbb{Z}} \mathbb{Z}[e^{\frac{2\pi i}{p}}]$$

by

$$\psi_{\text{cyc}}^p := \sum_{\zeta} \zeta t_\zeta^p$$

where the sum is taken over all p -th roots of unity ζ . Thus for $X \in \mathcal{P}^Z(Q)$,

$$\psi_{\text{cyc}}^p([X]) = \sum_{\zeta} \zeta [T^p(X)^{(\zeta)}].$$

In view of the following lemma, the map ψ_{cyc}^p is independent of the generator chosen for C_p . The lemma is proven in [Hau09, II.3.6], but we include the details here.

Lemma 3.3. *Assume Q is an A_p -algebra. If ζ and ζ' are both primitive p -th roots of unity, then*

$$[T^p(X)^{(\zeta)}] = [T^p(X)^{(\zeta')}] \in K_0^Z(Q)$$

for all $X \in \mathcal{P}^Z(Q)$.

Proof. We show $\text{res}(Y)^{(\zeta)}$ and $\text{res}(Y)^{(\zeta')}$ are isomorphic objects of $\mathcal{P}^Z(Q)$ for any $Y \in \mathcal{P}^Z(Q; \Sigma_p)$, where $\text{res} : \mathcal{P}^Z(Q; \Sigma_p) \rightarrow \mathcal{P}^Z(Q; C_p)$ is the restriction functor.

Note that $\zeta' = \zeta^i$ for some $1 \leq i \leq p-1$. Let $\tau \in \Sigma_p$ be a permutation such that $\tau^{-1}\sigma\tau = \sigma^i$. (Recall $\sigma = (1\ 2\ \cdots\ p)$.) Then τ determines an isomorphism from $\text{res}(Y)$ to $\text{res}'(Y)$, where res' is restriction along $C_p \xrightarrow{\sigma \mapsto \sigma^i} C_p \subseteq \Sigma_p$. We have $\text{res}'(Y)^{(\zeta)} = \text{res}(Y)^{(\zeta')}$. $\square$

Remark 3.4. More generally, for any integer $n \geq 1$, $[T^n(X)^{(\zeta)}] = [T^n(X)^{(\zeta')}]$ holds in $K_0^Z(Q)$, as long as ζ and ζ' are n -th roots of unity of the same order.

Since $\sum_{\zeta \neq 1} \zeta = -1$, we deduce from the Lemma:

Corollary 3.5. *Assume Q is an A_p -algebra and let ζ be a primitive p -th root of unity. We have*

$$\psi_{\text{cyc}}^p([X]) = [T^p(X)^{(1)}] - [T^p(X)^{(\zeta)}].$$

The corollary shows, in particular, that ψ_{cyc}^p takes values in $K_0^Z(Q)$ viewed as a subgroup of $K_0^Z(Q) \otimes_{\mathbb{Z}} \mathbb{Z}[e^{\frac{2\pi i}{p}}]$, and we will henceforth view ψ_{cyc}^p as a function of the form

$$(3.6) \quad \psi_{\text{cyc}}^p : K_0^Z(Q) \rightarrow K_0^Z(Q).$$

Theorem 3.7. *Fix a prime p . The operation ψ_{cyc}^p satisfies the Gillet-Soulé axioms of being an “Adams operation of degree p ” on the category of commutative, Noetherian A_p -algebras. That is, letting Q and R be commutative, Noetherian A_p -algebras, we have:*

- (1) ψ_{cyc}^p is a group endomorphism of $K_0^Z(Q)$ for all closed subsets Z of $\text{Spec}(Q)$.
- (2) Given $\alpha \in K_0^Z(Q)$ and $\beta \in K_0^W(Q)$ for closed subsets Z and W of $\text{Spec}(Q)$, we have

$$\psi_{\text{cyc}}^p(\alpha \cup \beta) = \psi_{\text{cyc}}^p(\alpha) \cup \psi_{\text{cyc}}^p(\beta) \in K_0^{Z \cap W}(Q),$$

where $- \cup -$ is the pairing determined by tensor product over Q .

- (3) Given a morphism of affine schemes

$$\phi : \text{Spec}(R) \rightarrow \text{Spec}(Q)$$

over $\text{Spec}(A_p)$ and given closed subsets $W \subseteq \text{Spec}(R)$ and $Z \subseteq \text{Spec}(Q)$ such that $\phi^{-1}(Z) \subseteq W$, we have an equality

$$\psi_{\text{cyc}}^p \circ \phi^* = \phi^* \circ \psi_{\text{cyc}}^p$$

of maps $K_0^Z(Q) \rightarrow K_0^W(R)$.

- (4) If $a = (a_1, \dots, a_n)$ is any sequence of elements in Q and $K(a)$ is the associated Koszul complex, viewed as an object of $\mathcal{P}^{V(a_1, \dots, a_n)}(Q)$, we have

$$\psi_{\text{cyc}}^p([K(a)]) = p^n[K(a)] \in K_0^{V(a_1, \dots, a_n)}(Q).$$

Remark 3.8. The Gillet-Soulé axioms include non-affine schemes too, but we won't require that level of generality. Also, their fourth axiom assumes a is a regular sequence, but the property holds more generally for any such sequence, both for our operators and theirs.

Remark 3.9. Proofs of (1)–(3) of the theorem can be found in Haulion's work under the additional assumption that Q contains a field of characteristic different than p . Specifically [Hau09, II.3.8] proves ψ_{cyc}^p is additive and [Hau09, II.3.10] proves (2) and (3). We believe his proofs apply verbatim to the slightly more general setting of this paper. Nevertheless, for the sake of making this paper self-contained, we will include proofs of (1)–(3).

Proof. By construction, ψ_{cyc}^p factors as

$$K_0^Z(Q) \xrightarrow{t_\Sigma^p} K_0^Z(Q; \Sigma_p) \xrightarrow{\text{res}} K_0^Z(Q; C_p) \xrightarrow{\phi^p} K_0^Z(Q)[\zeta]$$

for a primitive p -th root of unity ζ ; moreover, the composition factors through $K_0^Z(Q)$ by Corollary 3.5. For $Y \in \mathcal{P}^Z(Q; C_p)$, let us say Y is *extended* if $Y \cong Q[C_p] \otimes_Q Y'$ for some $Y' \in \mathcal{P}^Z(Q)$.

The following result may also be found in [Hau09, II.3.7].

Lemma 3.10. *If $Y \in \mathcal{P}^Z(Q; C_p)$ is extended, then $\phi^p([Y]) = 0$.*

Proof. If Y is extended,

$$Y^{(\zeta)} \cong \text{Hom}_{Q[C_p]}(Q_\zeta, Q[C_p] \otimes_Q Y') \cong \text{Hom}_{Q[C_p]}(Q_\zeta, Q[C_p]) \otimes_Q Y' \cong Y'$$

as objects of $\mathcal{P}^Z(Q)$, since $Q[C_p] = \bigoplus_\zeta Q_\zeta$ and $\text{Hom}_{Q[C_p]}(Q_\zeta, Q_{\zeta'})$ is 0 for $\zeta \neq \zeta'$ and Q for $\zeta = \zeta'$. Thus

$$\phi^p([Y]) = \left(\sum_\zeta \zeta \right) [Y'] = 0. \quad \square$$

We claim that for each $1 \leq i \leq p-1$ and $X, Y \in \mathcal{P}^Z(Q)$,

$$Q[\Sigma_p] \otimes_{Q[\Sigma_{i,p-i}]} T^i(X) \otimes_Q T^{p-i}(Y)$$

is an extended complex of $Q[C_p]$ -modules. Granting this claim, by Theorem 2.2

$$t_\Sigma^p([X] + [Y]) = \sum_i [Q[\Sigma_p] \otimes_{Q[\Sigma_{i,p-i}]} T^i(X) \otimes_Q T^{p-i}(Y)],$$

and thus Lemma 3.10 shows that

$$\psi_{\text{cyc}}^p([X] + [Y]) = \psi_{\text{cyc}}^p([X]) + \psi_{\text{cyc}}^p([Y]),$$

and part (1) of the Theorem follows.

To prove the claim, we show more generally that for any $1 \leq i \leq p-1$ and any left $Q[\Sigma_{i,p-i}]$ -module M , the $Q[C_p]$ -module $Q[\Sigma_p] \otimes_{Q[\Sigma_{i,p-i}]} M$ is extended. Let $C_p \tau_1 \Sigma_{i,p-i}, \dots, C_p \tau_m \Sigma_{i,p-i}$ be a set of double coset representatives in Σ_p . Since p is prime, $\tau \Sigma_{i,p-i} \tau^{-1}$ intersects C_p trivially for all $\tau \in \Sigma_p$. It follows that, for each j ,

$$Q[C_p \tau_j \Sigma_{i,p-i}] \cong Q[C_p \tau_j] \otimes_Q Q[\Sigma_{i,p-i}]$$

as $Q[C_p]$ - $Q[\Sigma_{i,p-i}]$ -bimodules. Also, one has an isomorphism

$$Q[\Sigma_p] \cong \bigoplus_j Q[C_p \tau_j \Sigma_{i,p-i}].$$

of $Q[C_p]$ - $Q[\Sigma_{i,p-i}]$ -bimodules. Combining these gives an isomorphism

$$Q[\Sigma_p] \otimes_{Q[\Sigma_{i,p-i}]} M \cong \bigoplus_j (Q[C_p \tau_j] \otimes_Q Q[\Sigma_{i,p-i}]) \otimes_{Q[\Sigma_{i,p-i}]} M$$

of left $Q[C_p]$ -modules. But the latter is isomorphic as a left $Q[C_p]$ -module to

$$\bigoplus_j Q[C_p] \tau_j \otimes_Q M \cong \bigoplus_j Q[C_p] \otimes_Q M,$$

which is extended.

The following Lemma will be useful in proving parts (2) and (4):

Lemma 3.11. *If $X \in \mathcal{P}^Z(Q; C_p)$ and $Y \in \mathcal{P}^W(Q; C_p)$, then $\phi^p([X] \cup [Y]) = \phi^p([X]) \cup \phi^p([Y])$ holds in $K_0^{Z \cap W}(Q)$.*

Proof. We have

$$\begin{aligned} \phi^p([X] \cup [Y]) &= \sum_{\zeta} \zeta \sum_{\zeta', \zeta''; \zeta' \zeta'' = \zeta} [X^{(\zeta')}] \cup [Y^{(\zeta'')}] \\ &= \left(\sum_{\zeta'} \zeta' [X^{(\zeta')}] \right) \cup \left(\sum_{\zeta''} \zeta'' [Y^{(\zeta'')}] \right) \\ &= \phi^p([X]) \cup \phi^p([Y]), \end{aligned}$$

where ζ ranges over all p -th roots of unity and ζ', ζ'' range over all pairs of p -th roots of unity whose product is ζ . $\square$

We now prove (2). Suppose $X \in \mathcal{P}^Z(Q)$ and $Y \in \mathcal{P}^W(Q)$, and recall $[X] \cup [Y] = [X \otimes_Q Y]$. The canonical isomorphism

$$T_Q^p(X \otimes_Q Y) \cong T_Q^p(X) \otimes_Q T_Q^p(Y)$$

of complexes over Q preserves the Σ_p -action (with the action on the right being the diagonal one). It thus follows from Lemma 3.11 that $\psi_{\text{cyc}}^p([X] \cup [Y]) = \psi_{\text{cyc}}^p([X]) \cup \psi_{\text{cyc}}^p([Y])$.

Assertion (3) is clear from the construction of ψ_{cyc}^p .

Using (2) it suffices to prove (4) when $n = 1$. Let $a \in Q$ be any element, and let $K = \text{Kos}_Q(a)$ be the associated two-term Koszul complex. Recall $T^p(K)$ may be identified with the free commutative dg- Q -algebra generated by degree 1 elements $e_1, \dots, e_p$ with differential $d(e_i) = a$. The action of $\sigma \in C_p$ is given by $\sigma(e_i) = e_{i+1}$, for $1 \leq i \leq p-1$ and $\sigma(e_p) = e_1$.

Note that the degree one part of $T^p(K)$ (i.e., the Q -span of $e_1, \dots, e_p$) is the regular representation of C_p ; we prove (4) by using a basis of eigenvectors instead of $e_1, \dots, e_p$. Explicitly, for each p -th root of unity ζ (including $\zeta = 1$), set

$$v_\zeta = \frac{1}{p} \sum_i \zeta^{-i} e_i.$$

Taking a full list of the p -th roots of unity $\zeta_0 = 1, \zeta_1, \dots, \zeta_{p-1}$ and setting $v_i = v_{\zeta_i}$, we see that the $v_0, \dots, v_{p-1}$ form a basis of the degree one part of $T^p(K)$, and hence

we may view it as the exterior algebra on this list of elements. For this new basis, we have

$$\sigma(v_i) = \zeta_i v_i$$

and

$$d(v_0) = a \text{ and } d(v_i) = 0, \text{ if } i \neq 0.$$

Using the structure of the diagonal action of C_p on $T^p(\text{Kos}(a))$, we have

$$T^p(\text{Kos}(a)) = (Qv_0 \xrightarrow{a} Q) \otimes_Q (Qv_1 \xrightarrow{0} Q) \otimes_Q \cdots \otimes_Q (Qv_{p-1} \xrightarrow{0} Q).$$

As noted above, $\psi_{\text{cyc}}^p = \phi^p \circ \text{res} \circ t_\Sigma^p$, where $\phi^p : K_0^Z(Q; C_p) \rightarrow K_0^Z(Q)[\zeta_1]$ is the function $\phi^p([Y]) = \sum_i \zeta_i [Y^{(\zeta_i)}]$. By Lemma 3.11, $\phi^p([X \otimes Y]) = \phi^p([X]) \cup \phi^p([Y])$ in $K_0(Q)[\zeta_1]$. It follows that

$$\begin{aligned} \psi_{\text{cyc}}^p([\text{Kos}(a)]) &= \phi^p([T^p(\text{Kos}(a))]) \\ &= \phi^p([Qv_0 \xrightarrow{a} Q]) \cup \phi^p([Qv_1 \xrightarrow{0} Q]) \cup \cdots \cup \phi^p([Qv_{p-1} \xrightarrow{0} Q]), \end{aligned}$$

where the action of the terms on the right is understood to be restricted to C_p . It is clear that $\phi^p([Qv_0 \xrightarrow{a} Q]) = [(Q \xrightarrow{a} Q)] = [\text{Kos}(a)]$ and

$$\phi^p(Qv_i \xrightarrow{0} Q) = \phi^p([Q] - [Qv_i]) = [Q] - \zeta_i [Q]$$

for $i \geq 1$. Hence

$$\psi_{\text{cyc}}^p([\text{Kos}(a)]) = [\text{Kos}(a)] \prod_{i=1}^{p-1} (1 - \zeta_i).$$

Finally, observe that $\prod_{i=1}^{p-1} (1 - \zeta_i)$ is the result of evaluating $\prod_{i=1}^{p-1} (t - \zeta_i)$ at $t = 1$ and that

$$\prod_{i=1}^{p-1} (t - \zeta_i) = (t^p - 1)/(t - 1) = 1 + t + \cdots + t^{p-1}.$$

Thus $\prod_{i=1}^{p-1} (1 - \zeta_i) = p$ and we get

$$\psi_{\text{cyc}}^p([\text{Kos}(a)]) = p[\text{Kos}(a)].$$

This completes the proof of Theorem 3.7. $\square$

The next Corollary uses the following notation. If Q is regular, $Z \subseteq \text{Spec}(Q)$ is a closed subset, and M is a finitely generated Q -module with $\text{supp}(M) \subseteq Z$, we write $[M] \in K_0^Z(Q)$ for the class of a finite projective resolution of M . For an abelian group A , define $A_{\mathbb{Q}} := A \otimes_{\mathbb{Z}} \mathbb{Q}$.

Corollary 3.12. *Let p be a prime. Assume Q is a regular A_p -algebra of dimension d and $Z \subseteq \text{Spec}(Q)$ is a closed subset of codimension c . Then there is a decomposition*

$$K_0^Z(Q)_{\mathbb{Q}} = \bigoplus_{i=c}^d K_0^Z(Q)_{\mathbb{Q}}^{(i)},$$

which is independent of p , such that $K_0^Z(Q)_{\mathbb{Q}}^{(i)}$ is the eigenspace of ψ_{cyc}^p of eigenvalue p^i . Moreover, if M is a finitely generated Q -module supported on Z , then

$$[M] \in \bigoplus_{i=\text{codim}_Q(M)}^d K_0^Z(Q)_{\mathbb{Q}}^{(i)}.$$

Proof. Gillet and Soulé [GS87, 5.3] prove that such a decomposition exists for any “degree k Adams operation” ψ^k , satisfying their four axioms (A1)–(A4). The result thus follows from Theorem 3.7. (The Gillet-Soulé axioms involve all schemes, and their Proposition 5.3 applies to all regular schemes, but their proof of this result applies to regular affine schemes if the axioms hold just for affine schemes.) $\square$

We can use our cyclic Adams operators to recover a proof of Serre’s Vanishing Theorem. This was proven by Gillet and Soulé using the Adams operations they construct. But notice that the construction of our operators ψ_{cyc}^p does not involve the use of λ -operations nor the fact that $\bigoplus_{\mathbb{Z}} K_0^{\mathbb{Z}}(Q)$ is a special lambda ring, both of which are complicated aspects of Gillet and Soulé’s proof.

Corollary 3.13 (Serre’s Vanishing Conjecture). *(cf. [GS87, Corollary 5.6]) Let $(Q, \mathfrak{m})$ be a regular local ring of dimension d and let M and N be finitely generated Q -modules such that $\text{supp}(M) \cap \text{supp}(N) = \{\mathfrak{m}\}$. Define $\chi_Q(M, N) = \sum_i (-1)^i \text{length Tor}_i^Q(M, N)$. If $\text{codim}_{\text{Spec } Q}(\text{supp } M) + \text{codim}_{\text{Spec } Q}(\text{supp } N) > d$, then $\chi_Q(M, N) = 0$.*

Proof. Let p be any prime distinct from the residue characteristic of Q , so that p is invertible in Q . We start by reducing to the case where Q contains the p -th roots of unity. Since $\frac{1}{p} \in Q$, the map $Q \rightarrow Q[e^{\frac{2\pi i}{p}}]$ is finite, étale. Let Q' be the localization of $Q[e^{\frac{2\pi i}{p}}]$ at any one of the maximal ideals lying over $\mathfrak{m}$. Then $\mathfrak{m}Q'$ is the maximal ideal of Q' and $\text{Tor}_j^Q(M, N) \otimes_Q Q' \cong \text{Tor}_j^{Q'}(M \otimes_Q Q', N \otimes_Q Q')$ for all j , and hence $\chi_Q(M, N) = \chi_{Q'}(M \otimes_Q Q', N \otimes_Q Q')$.

We may thus assume that Q contains all p -th roots of unity. In this case ψ_{cyc}^p satisfies the Gillet-Soulé axioms by Theorem 3.7, and hence the proofs of [GS87, 5.4, 5.6] apply verbatim. $\square$

4. COMMUTATIVITY OF THE CYCLIC ADAMS OPERATIONS

In this section, we prove that the cyclic Adams operations commute, when defined. For any integer $k \geq 1$, set $A_k = \mathbb{Z}[\frac{1}{k}, e^{\frac{2\pi i}{k}}]$.

Proposition 4.1. *Assume p and q are distinct primes and Q is a commutative, Noetherian A_{pq} -algebra. Then for any closed subset Z of $\text{Spec}(Q)$, we have an equality*

$$\psi_{\text{cyc}}^p \circ \psi_{\text{cyc}}^q = \psi_{\text{cyc}}^q \circ \psi_{\text{cyc}}^p$$

of endomorphisms of $K_0^{\mathbb{Z}}(Q)$.

Proof. Let ζ_p, ζ_q be primitive p -th, q -th roots of unity, and consider the diagram

$$\begin{array}{ccccc} K_0^{\mathbb{Z}}(Q) & \xrightarrow{t^p} & K_0^{\mathbb{Z}}(Q; C_p) & \xrightarrow{t^q} & K_0^{\mathbb{Z}}(Q; C_p \times C_q) \\ & \searrow \psi_{\text{cyc}}^p & \downarrow \phi^p & & \downarrow \phi^p \\ & & K_0^{\mathbb{Z}}(Q)[\zeta_p] & & K_0^{\mathbb{Z}}(Q; C_q)[\zeta_p] \\ & & & \searrow \psi_{\text{cyc}}^q & \downarrow \phi^q \\ & & & & K_0^{\mathbb{Z}}(Q)[\zeta_p, \zeta_q], \end{array}$$

where for $l = p, q$, t^l is defined as the composition of the map t_{Σ}^l of Theorem 2.2 with the restriction map induced by the inclusion $Q[C_l] \subseteq Q[\Sigma_l]$, and ϕ^l is defined

as in the proof of Theorem 3.7. Since ψ_{cyc}^q and ϕ^q are group homomorphisms, the two bottom arrows are well-defined.

The triangle in this diagram commutes by definition, and we will show the trapezoid commutes momentarily. Granting this, we obtain

$$\begin{aligned}
 \psi_{\text{cyc}}^q(\psi_{\text{cyc}}^p([X])) &= \phi^q(\phi^p(t^q(t^p([X])))) \\
 &= \sum_{i,j} \zeta_p^i \zeta_q^j [(T^{pq}(X)^{(\zeta_p^i)})(\zeta_q^j)] \\
 &= \sum_{\eta} \eta [T^{pq}(X)^{(\eta)}],
 \end{aligned}
 \tag{4.2}$$

where the last sum ranges over all pq -th roots of unity η . The last equality follows from the fact that for any complex with a $C_p \times C_q$ action, the actions of C_p and C_q commute and each action is diagonalizable. More precisely, for a complex U with an action of $C_p \times C_q$, we claim that for each fixed i and j satisfying $1 \leq i \leq p$ and $1 \leq j \leq q$ we have

$$(U^{(\zeta_p^i)})^{(\zeta_q^j)} = U^{(\zeta_p^i \zeta_q^j)}.$$

The containment $\subseteq$ is clear by definition, and as U decomposes both as $U = \bigoplus_j \bigoplus_i (U^{(\zeta_p^i)})^{(\zeta_q^j)}$ and as $U = \bigoplus_{i,j} U^{(\zeta_p^i \zeta_q^j)}$, equality follows. Since the last expression of (4.2) is symmetric in p and q , the proposition follows.

It remains to show the trapezoid commutes. Let $Y \in \mathcal{P}^Z(Q, C_p)$. Then Y decomposes as $Y = \bigoplus_{\zeta} Y^{(\zeta)}$ where ζ ranges over all p -th roots of unity. We have

$$\phi^q(\phi^p(t^q([Y]))) = \phi^q \left(\sum_{\zeta} \zeta [T^q(Y)^{(\zeta)}] \right) = \sum_{\zeta} \zeta \phi^q([T^q(Y)^{(\zeta)}])$$

where the sum ranges over all p -th roots of unity and the superscript (ζ) refers to the (diagonal) C_p -action on $T^q(Y)$.

For a fixed p -th root of unity ζ , let S_{ζ} denote the set $\{(\zeta_1, \dots, \zeta_q)\}$ of ordered q -tuples of p -th roots of unity satisfying $\zeta_1 \cdots \zeta_q = \zeta$. The group C_q acts on S_{ζ} in the evident way. We have

$$T^q(Y)^{(\zeta)} = \bigoplus_{(\zeta_1, \dots, \zeta_q) \in S_{\zeta}} Y^{(\zeta_1)} \otimes_Q \cdots \otimes_Q Y^{(\zeta_q)}.$$

as objects of $\mathcal{P}^Z(Q, C_q)$, where the action of C_q on the right is given by the action on S_{ζ} . For each orbit $O \subseteq S_{\zeta}$, the summand

$$Y_O := \bigoplus_{(\zeta_1, \dots, \zeta_q) \in O} Y^{(\zeta_1)} \otimes_Q \cdots \otimes_Q Y^{(\zeta_q)}$$

of $T^q(Y)^{(\zeta)}$ is an object of $\mathcal{P}^Z(Q; C_q)$, and so we have

$$\phi^q([T^q(Y)^{(\zeta)}]) = \sum_O \phi^q([Y_O]).$$

Since q is prime, each orbit O has order either 1 or q . In the latter case Y_O is extended, since

$$Y_O \cong Q[C_q] \otimes_Q Y^{(\zeta_1)} \otimes_Q \cdots \otimes_Q Y^{(\zeta_q)},$$

where $(\zeta_1, \dots, \zeta_q)$ is any chosen element of O , and hence, by Lemma 3.10, $\phi^q([Y_O]) = 0$ for such orbits. If $|O| = 1$, then its only element is $(\zeta_1, \dots, \zeta_1)$ with $\zeta_1^q = \zeta$. In this case, $Y_O = T^q(Y^{(\zeta_1)})$ and so

$$\phi^q([Y_O]) = \psi_{\text{cyc}}^q(Y^{(\zeta_1)}).$$

Using that $(p, q) = 1$ and $Y^{(\zeta_1^q)} \cong Y^{(\zeta_1)}$ in $\mathcal{P}^Z(Q)$, we conclude

$$\phi^q(\phi^p(t^q([Y]))) = \sum_{\zeta_1} \zeta_1^q \psi_{\text{cyc}}^q([Y^{(\zeta_1^q)}]) = \sum_{\zeta} \zeta \psi_{\text{cyc}}^q([Y^{(\zeta)}]) = \psi_{\text{cyc}}^q(\phi^p([Y])).$$

□

Using this proposition, we extend the definition of the cyclic Adams operations to all positive integers. If $k = p_1^{e_1} \cdots p_l^{e_l}$ is the prime factorization of an integer k and Q is an A_k -algebra, we set

$$\psi_{\text{cyc}}^k = (\psi_{\text{cyc}}^{p_1})^{\circ e_1} \circ \cdots \circ (\psi_{\text{cyc}}^{p_l})^{\circ e_l}.$$

Remark 4.3. It seems likely that

$$\psi_{\text{cyc}}^k([X]) = \sum_{\zeta} \zeta [T^k(X)^{(\zeta)}],$$

where the sum ranges over all k -th roots of unity. This formula is known to hold in other contexts; see, e.g., Benson [Ben84] and Theorem 6.12 below.

5. LAMBDA OPERATIONS AND AGREEMENT WITH THOSE OF GILLET-SOULÉ

As mentioned in the introduction, Gillet-Soulé [GS87] equip $K_0^Z(Q)$ with λ operations by using the Dold-Puppe construction of exterior powers on chain complexes. The goal of this section is to prove that, for each $k \geq 1$, if $k!$ is invertible in Q , then the Gillet-Soulé operator λ_{GS}^k agrees with the operator given by taking “naive” k -th exterior powers of complexes. We believe that this fact has been observed before by others, including M. Hashimoto (see [KR00, §2]), but, as far as we know, a proof is not available in the literature. We therefore provide a careful one here.

Let us explain what we mean by the “naive” exterior powers of a complex. Let Q_{sign} denote Q endowed with the structure of a left $Q[\Sigma_k]$ -module via the sign representation: $\sigma \cdot q = \text{sign}(\sigma)q$ for $\sigma \in \Sigma_k, q \in Q$. For a complex of Q -modules Y equipped with an action of Σ_k , define $Y^{(\text{sign})} = \text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, Y)$. For any complex of Q -modules X , define

$$\Lambda_Q^k(X) := T^k(X)^{(\text{sign})},$$

where Σ_k acts on $T^k(X)$ as before.

For example, if X is concentrated in even degrees, then $\Lambda_Q^k(X)$ is the usual k -th exterior power of X , realized as the submodule of anti-symmetric tensors in the k -th tensor power of X . Similarly if X is concentrated in odd degrees, $\Lambda_Q^k(X)$ is the k -th divided power of X .

Remark 5.1. We define $\Lambda_Q^k(X)$ as a submodule of $T^k(X)$, but one could just as well define it to be a quotient module, using instead the formula $T^k(X) \otimes_{Q[\Sigma_n]} Q_{\text{sign}}$. For X concentrated in even degrees, this gives the usual k -th exterior power realized as a quotient of the k -th tensor power. When X is concentrated in odd degrees, one gets the k -th *symmetric* power, realized as a quotient in the standard way. If $k!$ is a unit in Q , these are naturally isomorphic constructions.

The reason we call these “naive” exterior powers is that, in general, they do not preserve acyclicity, as the following example shows.

Example 5.2. Let X be a complex of projective Q modules concentrated in degrees 1 and 2:

$$X = (\cdots \rightarrow 0 \rightarrow P_2 \xrightarrow{d} P_1 \rightarrow 0 \rightarrow \cdots).$$

Then

$$\begin{aligned} \Lambda_Q^k(X) &= (\cdots \rightarrow 0 \rightarrow \Lambda_Q^k(P_2) \rightarrow \Lambda_Q^{k-1}(P_2) \otimes_Q P_1 \rightarrow \Lambda_Q^{k-2}(P_2) \otimes_Q \Gamma_Q^2(P_1) \rightarrow \cdots \\ &\rightarrow \Lambda_Q^2(P_2) \otimes_Q \Gamma_Q^{k-2}(P_1) \rightarrow P_2 \otimes_Q \Gamma_Q^{k-1}(P_1) \rightarrow \Gamma_Q^k(P_1) \rightarrow 0 \rightarrow \cdots), \end{aligned}$$

where $\Lambda_Q^i(P_2)$ and $\Gamma_Q^j(P_1)$ are usual exterior and divided powers of (non-graded) Q -modules. In this complex $\Lambda_Q^i(P_2) \otimes_Q \Gamma_Q^{k-i}(P_1)$ lies in degree $k+i$, and the differential sends $x_1 \wedge \cdots \wedge x_i \otimes \gamma$ to

$$\sum_j (-1)^{j-1} x_1 \wedge \cdots \wedge x_{j-1} \wedge x_{j+1} \wedge \cdots \wedge x_i \otimes d(x_j) \cdot \gamma$$

where $\cdot$ is the multiplication operator for the divided power algebra $\Gamma_Q(P_1)$ (note that, since $\Lambda_Q^i(P_2)$ is by definition a submodule of $T_Q^i(P_2)$, the symbol $x_1 \wedge \cdots \wedge x_i$ should be interpreted as being the element $\sum_{\sigma \in \Sigma_i} \text{sign}(\sigma) x_{\sigma(1)} \otimes \cdots \otimes x_{\sigma(i)}$).

Now suppose that P_1 is free with basis $x_1, \dots, x_n$, $P_2 = P_1$, and d is the identity map. Then $\Lambda^k(X)$ is in fact a summand of the Koszul complex for the commutative ring $\Gamma_Q(P_1)$ on the sequence $x_1, \dots, x_n$ regarded as elements of $\Gamma_Q(P_1)$:

$$\Lambda^k(X) = (\cdots \rightarrow \Gamma_Q^{k-2}(P_1)^{\oplus \binom{n}{2}} \rightarrow \Gamma_Q^{k-1}(P_1)^{\oplus n} \xrightarrow{(x_1, \dots, x_n)} \Gamma_Q^k(P_1) \rightarrow 0 \rightarrow \cdots)$$

Taking $n = 1$ and $x = x_1$ gives

$$\begin{aligned} \Lambda^k(X) &= (\cdots \rightarrow 0 \rightarrow Qx^{(k-1)} \xrightarrow{x} Qx^{(k)} \rightarrow 0 \rightarrow \cdots) \\ &\cong (\cdots \rightarrow 0 \rightarrow Q \xrightarrow{k} Q \rightarrow 0 \rightarrow \cdots). \end{aligned}$$

So if k is not invertible in Q , $\Lambda_Q^k(X)$ is not acyclic even though X is.

Since the Dold-Puppe construction does preserve acyclicity, this example also shows that one must invert k in order for the two k -th exterior power operations to agree up to quasi-isomorphism.

Remark 5.3. We will need to assume $k!$ is a unit, not just that k is a unit, in order to show that the naive k -th exterior power agrees, up to quasi-isomorphism, with the k -th exterior power defined by Dold-Puppe. We do not know if this assumption is essential (but suspect that it is). It turns out that assuming merely that k is invertible suffices for the naive k -th exterior power to preserve acyclicity.

Remark 5.4. If X is concentrated in degrees 1 and 0, then $\Lambda_Q^k(X)$ is quasi-isomorphic to the complex $N(\Lambda^k(K(X)))$ defined by Dold and Puppe, without any assumptions on Q ; see [Köc01, 2.7].

We now assume $k!$ is a unit in Q . In this case, (the proof of) Maschke’s Theorem implies that Q_{sign} is a summand of $Q[\Sigma_p]$ and hence is a projective $Q[\Sigma_k]$ -module. The functor $Y \mapsto Y^{(\text{sign})}$ is thus exact and hence determines a homomorphism

$$K_0^Z(Q; \Sigma_k) \rightarrow K_0^Z(Q).$$

We define

$$(5.5) \quad \lambda_{\text{naive}}^k : K_0^Z(Q) \rightarrow K_0^Z(Q)$$

to be the composition of

$$K_0^Z(Q) \xrightarrow{t_{\Sigma}^k} K_0^Z(Q, \Sigma_k) \xrightarrow{[Y] \mapsto [Y^{(\text{sign})}]} K_0^Z(Q),$$

where the first map is from Theorem 2.2. So,

$$\lambda_{\text{naive}}^k([X]) = [\Lambda_Q^k(X)]$$

for any $X \in \mathcal{P}^Z(Q)$.

Remark 5.6. The previous example shows that one must, at the least, assume k is a unit in order for λ_{naive} to be well-defined. We presume one must in fact assume $k!$ is invertible in order for it to be well-defined. The issue is that $\Lambda_Q^k(-)$ seems unlikely to preserve all quasi-isomorphisms unless $k!$ is invertible.

The following result is likely well-known to the experts, but we include a formal proof for lack of a suitable reference. It applies to an arbitrary complex M of Q -modules that is concentrated in non-negative degrees. For such a complex M , $\Lambda_Q^k(M)$ is, as before, defined to be $T_Q^k(M)^{(\text{sign})} = \text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, T_Q^k(M))$.

Likewise, for a simplicial Q -module A , we define $T_Q^k(A)$ to be the simplicial module obtained by applying the functor $T_Q^k(-)$ degree-wise to A . The simplicial Q -module $T_Q^k(A)$ has an evident (unsigned) action of Σ_k , given by $\sigma \cdot (a_1 \otimes \cdots \otimes a_k) = a_{\sigma^{-1}(1)} \otimes \cdots \otimes a_{\sigma^{-1}(k)}$, making it a simplicial left $Q[\Sigma_k]$ -module. Finally, $\Lambda_Q^k(A)$ is defined to be the simplicial Q -module obtained by applying $\text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, -)$ degree-wise to $T_Q^k(A)$.

Proposition 5.7. *Let Q be a commutative ring and $k \geq 1$ and integer such that $k!$ is invertible in Q . If M is any complex of Q -modules concentrated in non-negative degrees, then there is a natural quasi-isomorphism*

$$\Lambda_Q^k(M) \xrightarrow{\sim} N(\Lambda_Q^k(K(M)))$$

of chain complexes, where K denotes the Dold-Puppe functor from chain complexes concentrated in non-negative degrees to simplicial modules and N denotes the functor taking a simplicial Q -module to its associated normalized chain complex.

Proof. We first recall some well-known results about simplicial modules and their normalized chain complexes. These ideas go back to Eilenberg and MacLane [EML53, 5.3]; we refer the reader to the nice exposition found in [SS03] for more details.

For a simplicial Q -module A and integer $k \geq 1$, we have the *shuffle map*

$$\nabla : T^k(N(A)) \rightarrow N(T^k(A))$$

and the *Alexander-Whitney map*

$$AW : N(T^k(A)) \rightarrow T^k(N(A)),$$

both of which are natural transformations, and they satisfy the following properties:

- $AW \circ \nabla$ is the identity map,
- $\nabla \circ AW$ is chain homotopic to the identity map, and
- ∇ is equivariant for the actions of Σ_k .

Concerning the last point, the action of Σ_k on $T^k(N(A))$ is the one we introduced in §2 above on a tensor power of a complex, and the action of Σ_k on $N(T^k(A))$ is induced from the action on the simplicial module $T^k(A)$, using that N is functorial. Indeed, since ∇ is injective, the action of Σ_k on $T^k(N(A))$ is the unique one making ∇ equivariant.

It is important to note that AW is *not* equivariant for the action of Σ_k , and so while ∇ is an equivariant quasi-isomorphism, it is not in general an equivariant homotopy equivalence. This defect is precisely why we must assume $k!$ is invertible for this proof.

Since we are assuming $k!$ is invertible, Q_{sign} is a projective $Q[\Sigma_k]$ -module and thus the functor $(-)^{(\text{sign})} = \text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, -)$ takes equivariant quasi-isomorphisms (i.e., quasi-isomorphisms of complexes of left $Q[\Sigma_k]$ -modules) to quasi-isomorphisms. In particular, the map

$$\nabla^{(\text{sign})} : \Lambda_Q^k(N(A)) = T^k(N(A))^{(\text{sign})} \xrightarrow{\sim} N(T^k(A))^{(\text{sign})}$$

induced by the shuffle map is a quasi-isomorphism. Moreover, by Lemma 5.9 below applied with $R = Q[\Sigma_k]$, $V = Q_{\text{sign}}$, and $B = T^k(A)$, we have an isomorphism

$$\begin{aligned} N(T^k(A))^{(\text{sign})} &= \text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, N(T^k(A))) \\ &\cong N(\text{Hom}_{Q[\Sigma_k]}(Q_{\text{sign}}, T^k(A))) = N(\Lambda_Q^k(A)). \end{aligned}$$

Putting these results together gives a natural quasi-isomorphism of complexes of Q -modules

$$(5.8) \quad \Lambda_Q^k(N(A)) \xrightarrow{\sim} N(\Lambda_Q^k(A))$$

for any simplicial Q -module A .

Now let M be a complex of Q -modules concentrated in non-negative degrees. Since there is a natural isomorphism $N(K(M)) \cong M$ of complexes, we have $T^k(N(K(M)))^{(\text{sign})} \cong T^k(M)^{(\text{sign})} = \Lambda_Q^k(M)$, and thus the proposition follows from (5.8) applied to $A = K(M)$. $\square$

Lemma 5.9. *Let R be a (not necessarily commutative) ring and B a simplicial left R -module. Let V be any left R -module. Then*

$$N(\text{Hom}_R(V, B)) \cong \text{Hom}_R(V, N(B))$$

where $N(-)$ is the functor taking simplicial modules to normalized chain complexes.

Note that in this lemma, $\text{Hom}_R(V, B)$ denotes applying $\text{Hom}_R(V, -)$ degreewise to B , and so $\text{Hom}_R(V, B)$ is a simplicial module over the center of R . On the other hand, $\text{Hom}_R(V, N(B))$ denotes applying $\text{Hom}_R(V, -)$ degreewise to a chain complex in the usual way. The isomorphism is an isomorphism of chain complexes over the center of R .

Proof. Let C denote the functor sending simplicial modules to non-normalized complexes. Recall $C(B)_n = B_n$ with differential given by the alternating sum of the boundary maps. Then it is clear that we have an equality (not just an isomorphism)

$$C(\text{Hom}_R(V, B)) = \text{Hom}_R(V, C(B)).$$

Now $N(B)$ is by definition the quotient complex of $C(B)$ defined by modding out by the subcomplex generated by degenerate simplices, but it is easier to prove the

lemma by using the Moore complex: this is defined as the subcomplex $M(B)$ of $C(B)$ with

$$M(B)_n = \cap_{i=1}^n \ker(d_i : B_n \longrightarrow B_{n-1}).$$

Note that the differential on $M(B)$ is d_0 .

The composition of

$$M(B) \longrightarrow C(B) \longrightarrow N(B)$$

is an isomorphism of chain complexes, and the lemma thus follows from the equality

$$M(\mathrm{Hom}_R(V, B)) = \mathrm{Hom}_R(V, M(B)),$$

which is evident from the definitions. $\square$

Theorem 5.10. *If Q is a commutative, Noetherian ring and $k \geq 1$ is an integer such that $k!$ is invertible in Q , then the functions $\lambda_{\mathrm{naive}}^k$ and λ_{GS}^k on $K_0^Z(Q)$ coincide for all Z .*

Proof. Proposition 5.7 gives that $\lambda_{\mathrm{naive}}^k([P]) = \lambda_{GS}^k([P])$ if $P \in \mathcal{P}^Z(Q)$ is concentrated in non-negative degrees. To conclude $\lambda_{\mathrm{naive}}^k$ and λ_{GS}^k coincide on all elements of $K_0^Z(Q)$, recall that every element of $K_0^Z(Q)$ equals $[P]$ for some complex $P \in \mathcal{P}^Z(Q)$, and moreover we have $[P] = [P[2n]]$ for all $n \in \mathbb{Z}$ (where $P[i]$ is the complex with $P[i]_d = P_{i+d}$). For n sufficiently negative, $P[2n]$ is concentrated in non-negative degrees. $\square$

6. AGREEMENT OF ψ_{cyc} WITH ψ_{GS}

As in the previous section, we assume Q is a commutative, Noetherian ring and k is a positive integer such that $k!$ is invertible in Q . By Theorem 5.10, $\lambda_{\mathrm{naive}}^k = \lambda_{GS}^k$ on $K_0^Z(Q)$ for all Z and thus in this section we write this common operator simply as λ^k . The main result of this section, which is ultimately due to Atiyah, is that the k -th Adams operation defined via the k -th Newton polynomial in the λ^i operators, $1 \leq i \leq k$, agrees with the cyclic Adams operation ψ_{cyc}^k .

In [Ati66] Atiyah works over the complex numbers, and so some care is needed to adapt his argument to our situation. For a commutative ring A and finite group G , let $P(A; G)$ denote the category of left $A[G]$ -modules that are finitely generated and projective as A -modules, and write $R_A(G)$ for its Grothendieck group. $R_A(G)$ is naturally isomorphic to the group $K_0^{\mathrm{Spec}(A)}(A; G)$ introduced above. We will only consider $R_A(G)$ when $|G|$ is invertible in A , and in this case (the proof of) Maschke's Theorem gives that a left $A[G]$ -module is projective as an $A[G]$ -module if and only if it is projective as an A -module.

For groups G, G' there is a pairing

$$R_A(G) \otimes_{\mathbb{Z}} R_A(G') \rightarrow R_A(G \times G')$$

induced by $-\otimes_A -$ with the evident $G \times G'$ -action. Taking $G = \Sigma_i$ and $G' = \Sigma_j$ and composing this pairing with extension of scalars along $\Sigma_i \times \Sigma_j \cong \Sigma_{i,j} \leq \Sigma_{i+j}$ induces a pairing

$$(6.1) \quad - \star - : R_A(\Sigma_i) \otimes_{\mathbb{Z}} R_A(\Sigma_j) \rightarrow R_A(\Sigma_{i+j}),$$

which is a special case of the pairing constructed in §2. As before, this pairing is commutative and associative and hence determines a non-unital ring

$$R_A(\Sigma) = \bigoplus_{i \geq 1} R_A(\Sigma_i).$$

(We could include $i = 0$, interpreting $R_A(\Sigma_0)$ as $\mathbb{Z}$, and make this into a unital ring, but for our purposes that would be less convenient.) The dual version of this pairing is used by Atiyah in [Ati66, §1] and the version that occurs here (defined only over the complex numbers) appears in work of Knutson, where he calls it the “outer product” [Knu73, Page 127].

For a closed subset Z of $\text{Spec}(Q)$ define $\text{Op } K_0^Z(Q)$ to be the set of functions from $K_0^Z(Q)$ to itself. Since $K_0^Z(Q)$ is a non-unital ring under $\cup$, $\text{Op } K_0^Z(Q)$ is also a non-unital ring with $(\alpha + \beta)(x) = \alpha(x) + \beta(x)$ and $(\alpha \cup \beta)(x) = \alpha(x) \cup \beta(x)$, for $\alpha, \beta \in \text{Op } K_0^Z(Q)$ and $x \in K_0^Z(Q)$ (note that composition of operations is not involved).

Remark 6.2. It is perhaps more sensible to define $\text{Op } K_0^Z(Q)$ to consist of just the operations that are natural for ring homomorphisms in a suitable sense, as Atiyah does in a different context. The larger ring used here turns out to be more useful for our purposes.

Recall we assume Q is a $\mathbb{Z}[\frac{1}{k!}]$ -algebra. Then for $1 \leq i \leq k$, we have a bilinear pairing

$$R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i) \otimes_{\mathbb{Z}} K_0^Z(Q; \Sigma_i) \rightarrow K_0^Z(Q)$$

given by $[M] \otimes [Y] \mapsto \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_i]}(M, Y)$ for $M \in P(\mathbb{Z}[\frac{1}{k!}]; \Sigma_i)$ and $Y \in \mathcal{P}^Z(Q; \Sigma_i)$. This is well-defined since the assumption that $k!$ is invertible ensures that M is projective as a left $\mathbb{Z}[\frac{1}{k!}][\Sigma_i]$ -module.

Precomposing this pairing with the map $t_{\Sigma}^i : K_0^Z(Q) \rightarrow K_0^Z(Q; \Sigma_i)$ defined in Theorem 2.2 gives an additive map

$$t : R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i) \rightarrow \text{Op } K_0^Z(Q),$$

written $\rho \mapsto t_{\rho}$. In detail, for $\rho = [M]$ with $M \in P(\mathbb{Z}[\frac{1}{k!}]; \Sigma_i)$, we have

$$t_{\rho}([X]) = [\text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_i]}(M, T^k(X))] \in K_0^Z(Q),$$

for any $X \in \mathcal{P}^Z(Q)$.

Example 6.3. If $\sigma_i \in R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i)$ is the class of the sign representation, then $t_{\sigma_i} = \lambda^i$, where λ^i is as defined in the beginning of this section.

Lemma 6.4. *If $i + j \leq k$, then for $\rho \in R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i), \rho' \in R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_j)$ we have $t_{\rho} \cup t_{\rho'} = t_{\rho \star \rho'}$.*

Proof. Since t is additive, we may assume ρ and ρ' are classes represented by modules $M \in P(\mathbb{Z}[\frac{1}{k!}]; \Sigma_i), N \in P(\mathbb{Z}[\frac{1}{k!}]; \Sigma_j)$. For any X in $\mathcal{P}^Z(Q)$ we have the Q -linear isomorphisms

$$\begin{aligned} & \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_i]}(M, T^i(X)) \otimes_Q \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_j]}(N, T^j(X)) \\ & \cong \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_i] \otimes_{\mathbb{Z}[\frac{1}{k!}]} \mathbb{Z}[\frac{1}{k!}][\Sigma_j]}(M \otimes_{\mathbb{Z}[\frac{1}{k!}]} N, T^i(X) \otimes_Q T^j(X)) \\ & = \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_{i+j}]}(M \otimes_{\mathbb{Z}[\frac{1}{k!}]} N, T^{i+j}(X)) \\ & \cong \text{Hom}_{\mathbb{Z}[\frac{1}{k!}][\Sigma_{i+j}]} \left((M \otimes_{\mathbb{Z}[\frac{1}{k!}]} N) \otimes_{\mathbb{Z}[\frac{1}{k!}][\Sigma_{i+j}]} \mathbb{Z}[\frac{1}{k!}][\Sigma_{i+j}], T^{i+j}(X) \right), \end{aligned}$$

where the last isomorphism holds by adjointness since $T^{i+j}(X)$ is a $\mathbb{Z}[\frac{1}{k!}][\Sigma_{i+j}]$ -module. The result follows. $\square$

We now relate these constructions to Atiyah's work.

When $A = \mathbb{C}$, instead of the ring $R_{\mathbb{C}}(\Sigma)$ considered here, Atiyah defines a non-unital ring $R_{\mathbb{C}}(\Sigma)^* = \bigoplus_{i \geq 1} R_{\mathbb{C}}(\Sigma_i)^*$, where $R_{\mathbb{C}}(\Sigma_i)^* := \text{Hom}_{\mathbb{Z}}(R_{\mathbb{C}}(\Sigma_i), \mathbb{Z})$. The multiplication rule is given by the composition of

$$R_{\mathbb{C}}(\Sigma_i)^* \times R_{\mathbb{C}}(\Sigma_j)^* \cong R_{\mathbb{C}}(\Sigma_i \times \Sigma_j)^* \xrightarrow{\text{res}^*} R_{\mathbb{C}}(\Sigma_{i+j})^*$$

where res is restriction of scalars and res^* is its $\mathbb{Z}$ -linear dual.

In fact, the rings $R_{\mathbb{C}}(\Sigma)^*$ and $R_{\mathbb{C}}(\Sigma)$ are canonically isomorphic. For recall that for any finite group G there is a $\mathbb{Z}$ -linear perfect pairing

$$\langle -, - \rangle : R_{\mathbb{C}}(G) \times R_{\mathbb{C}}(G) \rightarrow \mathbb{Z}$$

sending (ρ, ρ') to $\frac{1}{|G|} \sum_g \text{trace } \rho(g) \text{trace } \rho'(g^{-1})$. In particular, these pairings determine isomorphisms $R_{\mathbb{C}}(\Sigma_i) \cong R_{\mathbb{C}}(\Sigma_i)^*$, which, by Frobenius reciprocity, are compatible with the multiplication maps, so that $R_{\mathbb{C}}(\Sigma) \cong R_{\mathbb{C}}(\Sigma)^*$ as rings.

One advantage the ring $R_{\mathbb{C}}(\Sigma)^*$ has is that there is an evident map

$$C(\Sigma_k)^* \rightarrow R_{\mathbb{C}}(\Sigma_k)^*$$

where $C(G)^*$ denotes the free abelian group on the set of conjugacy classes of a finite group G . (We may identify $C(G)^*$ as the $\mathbb{Z}$ -linear dual of $C(G)$, the set of $\mathbb{Z}$ -valued functions on G that are invariant on conjugacy classes.) The map sends $\tau \in \Sigma_k$ to the function $[\rho] \mapsto \text{trace } \rho(\tau)$ (recall that the character table of Σ_k has only integer entries). These maps assemble to form a ring homomorphism

$$C(\Sigma)^* = \bigoplus_{i \geq 1} C(\Sigma_i)^* \rightarrow R_{\mathbb{C}}(\Sigma)^*$$

where, for $\tau \in \Sigma_i, \tau' \in \Sigma_j$, the multiplication rule on $C(\Sigma)^*$ sends $[\tau], [\tau']$ to the class of the element of Σ_{i+j} given by the inclusion $\Sigma_i \times \Sigma_j \cong \Sigma_{i,j} \leq \Sigma_{i+j}$.

We thus also have a ring homomorphism

$$(6.5) \quad C(\Sigma)^* \rightarrow R_{\mathbb{C}}(\Sigma)$$

sending the class of $\tau \in \Sigma_k$ to $\sum_i n_i \rho_i$, where the ρ_i 's range over representatives of the irreducible representations and $n_i = \text{trace } \rho_i(\tau)$.

Definition 6.6. Define $\alpha^k \in R_{\mathbb{C}}(\Sigma)$ to be the image of the class of a k -cycle in $C(\Sigma_k)^*$ under the map (6.5).

As we shall see, α^k induces the k -th Adams operation, and the key point in proving this is given by the following result of Atiyah:

Theorem 6.7. [Ati66, 1.8] *In $R_{\mathbb{C}}(\Sigma)$ we have*

$$\alpha^k = Q_k(\sigma_1, \dots, \sigma_k)$$

where Q_k is the k -th Newton polynomial and the σ_i 's are as in Example 6.3.

To apply Atiyah's Theorem to our situation, we use:

Lemma 6.8. *For any positive integer k , the map induced by extension of scalars*

$$R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i) \rightarrow R_{\mathbb{C}}(\Sigma_i)$$

is an isomorphism for $1 \leq i \leq k$. If $i + j \leq k$, then these isomorphisms commute with the pairings $-\star-$ of (6.1).

Proof. As is well-known, the map $R_{\mathbb{Q}}(\Sigma_i) \rightarrow R_{\mathbb{C}}(\Sigma_i)$ is an isomorphism [JK81, p. 37], and so it suffices to prove $R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i) \rightarrow R_{\mathbb{Q}}(\Sigma_i)$ is an isomorphism.

For any regular ring A in which $i!$ is invertible, we may identify $R_A(\Sigma_i)$ with the Grothendieck group of all finitely generated left $A[\Sigma_i]$ -modules. It thus follows from a theorem of Swan [Swa63, Theorem 2] that we have a right exact sequence

$$(6.9) \quad \bigoplus_{p>k} R_{\mathbb{Z}/p}(\Sigma_i) \rightarrow R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i) \rightarrow R_{\mathbb{Q}}(\Sigma_i) \rightarrow 0$$

where the direct sum ranges over primes larger than k . Here, for each such prime p , the map $R_{\mathbb{Z}/p}(\Sigma_i) \rightarrow R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i)$ is induced by restriction of scalars along the surjection $\mathbb{Z}[\frac{1}{k!}][\Sigma_i] \twoheadrightarrow \mathbb{Z}/p[\Sigma_i]$. Every irreducible $\mathbb{Z}/p$ -representation of Σ_i lifts to the integers [JK81, p. 244], and thus $R_{\mathbb{Z}/p}(\Sigma_i)$ is generated by classes of the form $[F \otimes_{\mathbb{Z}} \mathbb{Z}/p]$ where F is a $\mathbb{Z}[\Sigma_i]$ -module that is finitely generated and free as a $\mathbb{Z}$ -module. The image of such a class in $R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_i)$ is trivial since we have the short exact sequence

$$0 \rightarrow F \otimes \mathbb{Z}[\frac{1}{k!}] \xrightarrow{p} F \otimes \mathbb{Z}[\frac{1}{k!}] \rightarrow F \otimes \mathbb{Z}/p \rightarrow 0$$

of $\mathbb{Z}[\frac{1}{k!}][\Sigma_i]$ -modules. Thus the first map in (6.9) is the zero map.

This establishes the first assertion, and the second one is evident from the definitions. $\square$

Definition 6.10. If $k!$ is invertible in Q , write α^k also for the element of $R_{\mathbb{Z}[\frac{1}{k!}]}(\Sigma_k)$ corresponding to $\alpha^k \in R_{\mathbb{C}}(\Sigma_k)$ under the isomorphism of Lemma 6.8.

Define $\psi_{At}^k \in \text{Op } K_0^Z(Q)$ to be t_{α^k} .

Proposition 6.11. *If $k!$ is invertible in Q , then*

$$\psi_{At}^k = Q_k(\lambda^1, \dots, \lambda^k)$$

holds in $\text{Op } K_0^Z(Q)$.

Proof. This is an immediate consequence of Theorem 6.7 and Lemmas 6.4 and 6.8. $\square$

Theorem 6.12. *If Q is a commutative, Noetherian ring and k is a positive integer such that $k!$ is invertible in Q and Q contains all the k -th roots of unity, then the operator*

$$\psi_{At}^k : K_0^Z(Q) \rightarrow K_0^Z(Q)$$

is given by

$$[X] \mapsto \sum_{\zeta} \zeta [T^k(X)^{(\zeta)}]$$

where the sum ranges over all k -th roots of unity ζ and $T^k(X)^{(\zeta)} := \ker(\sigma - \zeta : T^k(X) \rightarrow T^k(X))$ where $\sigma = (1 \ 2 \ \dots \ k)$.

Remark 6.13. If ζ_1 is a primitive k -th root of unity, then

$$\sum_{\zeta} \zeta [T^k(X)^{(\zeta)}] = \sum_{d|k} \mu(d) [T^k(X)^{(\zeta_1^{k/d})}]$$

where μ is the Möbius function.

Proof. A version of this Theorem is proven by Atiyah in a different context, at least when k is prime (see [Ati66, 2.7]). We give a direct proof.

Let $B = \mathbb{Z}[\frac{1}{k!}, \zeta]$ where $\zeta := e^{2\pi i/k}$. Our assumptions on Q make it a B -algebra. As we noted above, there are pairings

$$R_B(G) \otimes_{\mathbb{Z}} K_0^Z(Q; G) \rightarrow K_0^Z(Q)$$

for any finite group G , and these extend to pairings

$$R_B(G)[\zeta] \otimes_{\mathbb{Z}} K_0^Z(Q; G) \rightarrow K_0^Z(Q)[\zeta].$$

For $\beta \in R_B(G)[\zeta]$, let $\beta_*: K_0^Z(Q; G) \rightarrow K_0^Z(Q)[\zeta]$ denote the induced map.

The map

$$[X] \mapsto \sum_{j=0}^{k-1} \zeta^j [T^k(X)^{(\zeta^j)}]$$

is the composition of

$$K_0^Z(Q) \xrightarrow{t_{\Sigma}^k} K_0^Z(Q; \Sigma_k) \xrightarrow{\text{res}} K_0^Z(Q; C_k) \xrightarrow{\beta_*^k} K_0^Z(Q)[\zeta]$$

where $\beta^k \in R(C_k)[\zeta]$ is $\sum_{j=0}^{k-1} \zeta^j [B_{\zeta^j}]$. (Here B_{ζ^j} is the rank one representation of C_k over B such that $(1 \ 2 \ \dots \ k)$ acts as multiplication by ζ^j .) By Frobenius reciprocity, this coincides with the composition of

$$K_0^Z(Q) \xrightarrow{t_{\Sigma}^k} K_0^Z(Q; \Sigma_k) \xrightarrow{\text{ind}(\beta^k)_*} K_0^Z(Q)[\zeta]$$

where $\text{ind}: R(C_k)[\zeta] \rightarrow R(\Sigma_k)[\zeta]$ is given by extension of scalars. It therefore suffices to prove $\text{ind}(\beta^k) = \alpha^k$ in $R(\Sigma_k)[\zeta] \supseteq R(\Sigma_k)$.

To prove this, since

$$\langle -, - \rangle: R_B(\Sigma_k)[\zeta] \otimes_{\mathbb{Z}[\zeta]} R_B(\Sigma_k)[\zeta] \rightarrow \mathbb{Z}[\zeta].$$

is a perfect pairing, it suffices to prove $\langle \alpha^k, - \rangle = \langle \text{ind}(\beta^k), - \rangle$. The map $\langle \alpha^k, - \rangle$ sends ρ to $\text{trace}(\rho(\sigma))$ where $\sigma := (1 \ 2 \ \dots \ k)$. On the other hand, using Frobenius reciprocity for characters, $\langle \text{ind}(\beta^k), - \rangle = \langle \beta^k, \text{res}(-) \rangle$, where the pairing on the right is the one for the group C_k , and so

$$\langle \text{ind}(\beta^k), \rho \rangle = \frac{1}{k} \sum_{i=0}^{k-1} \text{trace}(\beta^k(\sigma^i)) \text{trace}(\rho(\sigma^{-i})).$$

Now,

$$\text{trace}(\beta^k(\sigma^i)) = \sum_{j=0}^{k-1} \zeta^j \zeta^{ij} = \sum_{j=0}^{k-1} \zeta^{(i+1)j} = \begin{cases} k, & \text{if } i = k-1 \text{ and} \\ 0, & \text{if } 0 \leq i \leq k-2. \end{cases}$$

We conclude that

$$\langle \text{ind}(\beta^k), \rho \rangle = \frac{1}{k} k \text{trace}(\rho(\sigma^{-(k-1)})) = \text{trace}(\rho(\sigma)).$$

□

Recall that Gillet and Soulé define their k -th Adams operation by

$$\psi_{GS}^k = Q_k(\lambda^1, \dots, \lambda^k).$$

Corollary 6.14. *Let Q be a commutative, Noetherian ring and k an integer such that $k!$ is invertible in Q and Q contains all the k -th roots of unity. Then $\psi_{\text{cyc}}^k = \psi_{GS}^k$ as operators on $K_0^Z(Q)$.*

Proof. Let $k = p_1^{e_1} \cdots p_m^{e_m}$ be the prime factorization of k . Recall that

$$\psi_{\text{cyc}}^k = (\psi_{\text{cyc}}^{p_1})^{\circ e_1} \circ \cdots \circ (\psi_{\text{cyc}}^{p_m})^{\circ e_m}$$

by definition. The analogous formula holds for ψ_{GS}^k by [FL85, I.6.1]. It therefore suffices to consider the case that $k = p$ is prime. In this case, the result follows from Proposition 6.11 and Theorem 6.12. $\square$

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